

Fax: 08816-227318 off: 08816-224072, 224119,228342 Mobile: 9491334119 DANTULURI NARAYANA RAJU COLLEGE

(Autonomous) BHIMAVARAM, W.G.DIST, ANDHRA PRADESH, INDIA, PIN- 534202. (Accredited at 'B<sup>++</sup>, level by NAAC) (Affiliated to Adikavi Nannaya University, Rajamahendravaram)

E – CONTENT PAPER: M 104, TOPOLOGY M. Sc. I YEAR, SEMESTER - I UNIT – I: METRIC SPACES

PREPARED BY K, C. TAMMI RAJU, M. Sc. HEAD OF THE DEPARTMENT DEPARTMENT OF MATHEMATICS, PG COURSES DNR COLLEGE (A), BHIMAVARAM – 534202

### M.Sc. Paper: 104, TOPOLOGY, UNIT: I, METRIC SPACES

**Definition**: Let X be a nonempty set and d:  $X \times X \rightarrow \mathbb{R}$  be a function. d is said to be a *metric* on X if

(i)  $d(x, y) \ge 0 \forall x, y \in X \text{ and } d(x, y) = 0 \text{ iff } x = y.$  (Non negativity)

(ii)  $d(x, y) = d(y, x) \forall x, y \in X.$  (symmetry)

(iii)  $d(x, y) \le d(x, z) + d(z, y) \forall x, y, z \in X$  (Triangle in equality).

If d is a metric on X then (X, d) is called a *metric space*. d(x, y) is called the distance between x and y.

**Example:** Define d:  $\mathbb{R} \times \mathbb{R} \to \mathbb{R}$  by d(x, y) = |x - y| where  $\mathbb{R}$  is the set of all real numbers. Then d is a metric called *usual metric* on  $\mathbb{R}$ . Solution: (i)  $d(x, y) = |x - y| \ge 0$ . d(x, y) = 0 iff |x - y| = 0 iff x = y. (ii) d(x, y) = |x - y| = |y - x| = d(y, x)(iii)  $d(x, y) = |x - y| = |x - z + z - y| \le |x - z| + |z - y| = d(x, z) + d(z, y)$ . Hence d is a metric on  $\mathbb{R}$ .

**Example:** Define d:  $\mathbb{C} \times \mathbb{C} \to \mathbb{R}$  by  $d(z_1, z_2) = |z_1 - z_2|$  where  $\mathbb{C}$  is the set of all complex numbers. Then d is a metric on  $\mathbb{C}$ .

Solution: Let  $z_1, z_2, z_3 \in \mathbb{C}$ . (i)  $d(z_1, z_2) = |z_1 - z_2| \ge 0$  and  $d(z_1, z_2) = 0$  iff  $|z_1 - z_2| = 0$  iff  $z_1 = z_2$ . (ii)  $d(z_1, z_2) = |z_1 - z_2| = |-(z_1 - z_2)| = |z_2 - z_1| = d(z_2, z_1)$ . (iii)  $d(z_1, z_2) = |z_1 - z_2| = |z_1 - z_3 + z_3 - z_2| \le |z_1 - z_3| + |z_3 - z_2|$  $= d(z_1, z_3) + d(z_3, z_2)$ .  $\therefore$  d is a metric called usual metric on  $\mathbb{C}$ .

**<u>Problem</u>**: Let X be a nonempty set and d:  $X \times X \rightarrow \mathbb{R}$  be a function satisfying the following two conditions.

(i) d(x, y) = 0 if and only if x = y.

(ii)  $d(x, y) \le d(x, z) + d(y, z) \forall x, y, z \in X.$ 

Then d is a metric on X.

**Solution**: (i) Put y = x in (ii). Then  $d(x, x) \le d(x, z) + d(x, z) \Rightarrow 0 \le 2 d(x, z)$  $\Rightarrow d(x, z) \ge 0.$ 

(ii) Put x = z in (ii).  $d(z, y) \le d(z, z) + d(y, z) \Rightarrow d(z, y) \le 0 + d(y, z)$ .

 $\Rightarrow$  d(z, y)  $\leq$  d(y, z) and this is true  $\forall$  y, z  $\in$  X.

 $\therefore$  d(y, z)  $\leq$  d(z, y) is also true. Hence d(y, z) = d(z, y)  $\forall$  y, z  $\in$  X.

(iii) By (ii)  $d(x, y) \le d(x, z) + d(y, z) = d(x, z) + d(z, y)$  since d(y, z) = d(z, y),  $\therefore d(x, y) \le d(x, z) + d(z, y) \forall x, y, z \in X$ . Hence d is a metric on X. Example: Let  $X \ne \phi$ . Define d:  $X \times X \rightarrow \mathbb{R}$  by d(x, y) = 0 if x = y and d(x, y) = 1 if  $x \ne y$ . Then d is a metric on X called *discrete metric* and so (X, d) is a metric space called *discrete metric space*. Solution: (i) Clearly  $d(x, y) \ge 0$  and d(x, y) = 0 iff x = y. (ii) If x = y then d(x, y) = 0 = d(y, x). If  $x \ne y$ , then d(x, y) = 1 = d(y, x). Thus, d(x, y) = d(y, x). (iii) Suppose x = y = z, then d(x, y) = 0 = 0 + 0 = d(x, z) + d(z, y). Suppose  $x \ne y \ne z$ . Then  $d(x, y) = 0 \le 1 + 1 = d(x, z) + d(z, y)$ . Suppose  $x \ne y$ . If  $x = z, y \ne z$  then d(x, y) = 1 = 0 + 1 = d(x, z) + d(z, y). Similar is the case when  $x \ne y, x \ne z, y = z$ . Suppose no two are equal. Then  $d(x, y) = 1 \le 1 + 1 = d(x, z) + d(z, y)$ . Thus, in all the cases  $d(x, y) \le d(x, z) + d(z, y)$ . Hence d is a metric on X.

**Problem**: Let (X, d) be a metric space. Show that d<sub>1</sub> defined by  $d_1(x, y) = \frac{d(x,y)}{1+d(x,y)}$  is a metric on X. Show that X is a bounded set in (X,  $d_1$ ). **Solution**: Let x, y,  $z \in X$ . Since  $d(x, y) \ge 0$ ,  $d_1(x, y) = \frac{d(x,y)}{1+d(x,y)} \ge 0$ .  $d_1(x, y) = 0$  iff  $\frac{d(x,y)}{1+d(x,y)} = 0$  iff d(x, y) = 0 iff x = y. Also  $d_1(x, y) = \frac{d(x, y)}{1+d(x, y)} = \frac{d(y, x)}{1+d(y, x)} = d_1(y, x)$ .  $\therefore d_1$  is symmetric. Again  $d_1(x, y) = \frac{d(x, y)}{1+d(x, y)} \le \frac{d(x, z)}{1+d(x, z)} + \frac{d(z, y)}{1+d(z, y)} = d_1(x, z) + d_1(z, y)$ . Hence  $d_1$  is also a metric on X. (i) For any x,  $y \in X$ ,  $0 \le d(x, y) \le 1 + d(x, y)$  $\Rightarrow 0 \le \frac{d(x, y)}{1+d(x, y)} \le 1$ 

∴  $d(X) = \sup \{d_1(x, y): x, y \in X\} \le 1$ . This shows that X is bounded in the metric space  $(X, d_1)$ .

**<u>Definition</u>**: Let X be a nonempty set and d:  $X \times X \rightarrow \mathbb{R}$  be a function such that

- (i)  $d(x, y) \ge 0 \forall x, y \in X \text{ and } x = y \implies d(x, y) = 0.$
- (ii)  $d(x, y) = d(y, x) \forall x, y \in X.$

(iii)  $d(x, y) \le d(x, z) + d(z, y) \forall x, y, z \in X.$ Then d is said to be a *pseudo* – *metric* on X.

**Note:** Every metric is a pseudo – metric. But converse is not true.

**Example:** Let X be a set with  $|X| \ge 2$ . Define  $d(a, b) = 0 \forall a, b \in X$ . Then d is a pseudo metric but not a metric.

**Solution:** Clearly d is a pseudo metric. Let  $a \neq b$ . Then also d(a, b) = 0.  $\therefore$  d is not a metric.

**Example:** Let  $X = \{1, 2, 3\}$ . Define  $d : X \times X \rightarrow \mathbb{R}$  by d(1, 1) = d(2, 2)= d(3, 3) = d(1, 2) = d(2, 1) = 0; d(2, 3) = d(3, 2) = d(3, 1) = d(1, 3) = 1. Then d is a pseudo metric but not a metric. **Solution**: Clearly d is a pseudo metric.  $1 \neq 2$  but d(1, 2) = 0. So, d is not a metric.

**Example:** Give two examples of pseudo – metric which are not metrics.

**Problem**: Let X be a Pseudo metric on X and define '~' on X by  $x \sim y \Leftrightarrow d(x, y) = 0$ . (i) Show that '~' is an equivalence relation (ii) Define a metric on the set of all equivalence classes. **Solution**: (i) ~ is reflexive:  $x ~ x ~ \forall ~ x \in X$  since d(x, x) = 0.  $\sim$  is symmetric: Suppose x  $\sim$  y.  $\Rightarrow$  d(x, y) = 0  $\Rightarrow$  d(y, x) = 0.  $\Rightarrow$  y ~ x.  $\sim$  is transitive: Suppose x  $\sim$  y, y  $\sim$  z  $\Rightarrow$  d(x, y) = 0 and d(y, z) = 0. Now  $d(x, z) \le d(x, y) + d(y, z) = 0 + 0 = 0$ .  $\Rightarrow$  d(x, z) = 0  $\Rightarrow$  x ~ z. Hence  $\sim$  is an equivalence relation. Define  $d^{*}([x], [y]) = d(x, y)$ . Then  $d^{*}([x], [y]) = d(x, y) \ge 0$ .  $d^{*}([x], [y]) = 0$  iff d(x, y) = 0 iff  $x \sim y$  iff [x] = [y].  $d^{*}([x], [y]) = d(x, y) = d(y, x) = d^{*}([y], [x]).$  $d^{*}([x], [y]) = d(x, y) \le d(x, z) + d(z, y) = d^{*}([x], [z]) + d^{*}([z], [y]).$ Hence d\* is a metric on the set of all equivalence classes  $\{[x] : x \in X\}$ .

**Definition**: Let X be a nonempty set. If for each  $x \in X$ , there corresponds a real number ||x||, and it satisfies the conditions

- (i)  $||x|| \ge 0$  and ||x|| = 0 iff x = 0.
- (ii)  $||-x|| = ||x|| \forall x \in X.$
- (iii)  $||x + y|| \le ||x|| + ||y|| \forall x, y \in X$

then ||x|| is called *norm* of  $x \in X$ .

**Example**: Let ||x|| be norm of  $x \in X$  as defined as above. If we define d(x, y) = ||x - y|| then (X, d) is a metric space and 'd' is called the metric *induced by the norm*. **Proof:** Let  $x, y \in X$ . (i) Then  $d(x, y) = ||x - y|| \ge 0$ . Now d(x, y) = 0 iff ||x - y|| = 0 iff x - y = 0 iff x = y. (ii)d(x, y) = ||x - y|| = ||-(y - x)|| = ||y - x|| = d(y, x)

(iii)Let x, y,  $z \in X$ . Then  $d(x, y) = ||x - y|| = ||x - z + z - y|| \le ||x - z|| + ||z - y|| = d(x, z) + d(z, y)$ .

 $\therefore$  (X, d) is a metric space.

**<u>Define</u>**: Let  $f: [0, 1] \to \mathbb{R}$ . F is said to be *bounded* if there exists  $k \in \mathbb{R}$  such that  $|f(x)| \le k$  for every  $x \in [0, 1]$ .

**Example**: Let X = {f / f: [0, 1]  $\rightarrow \mathbb{R}$ , f is bounded and continuous}. Define ||f|| by  $||f|| = \int_0^1 |f(x)| dx$  (here the integral involved is the Riemann integral) Then d defined by d(f, g) =  $||f - g|| = \int_0^1 |f(x) - g(x)| dx$  is induced metric. **Solution**:  $||f|| = \int_0^1 |f(x)| dx \ge 0 \because |f(x)| \ge 0$ . ||f|| = 0 iff  $\int_0^1 |f(x)| dx = \text{iff } |f(x)| = 0 \forall x$  iff f = 0 (zero function.  $||-f|| = \int_0^1 |-f(x)| dx = \int_0^1 |f(x)| dx = ||f||$ Let f, g  $\in$  X. Then  $||f + g|| = \int_0^1 |(f + g)(x)| dx \le \int_0^1 \{|f(x)| + |g(x)|\} dx$   $= \int_0^1 |f(x)| dx + \int_0^1 |g(x)| dx = ||f|| + ||g|| \therefore ||f|| = \int_0^1 |f(x)| dx$  defines norm on X.

Hence d defined by  $d(f, g) = ||f - g|| = \int_0^1 |f(x) - g(x)| dx$  is induced metric.

**Example**: Let  $X = \{f / f: [0, 1] \rightarrow \mathbb{R}, f \text{ is bounded and continuous}\}$ . Define ||f|| by  $||f|| = \sup \{|f(x)|: x \in [0, 1]\}$ . Then d defined by  $d(f, g) = ||f - g|| = \sup \{|f(x)|: x \in [0, 1]\}$ .

 $\{|f(x) - g(x)|: x \in [0, 1]\}$  is a metric and this metric space is denoted by C[0, 1]

Solution: Let  $f \in X$ . Then  $||f|| = \sup \{|f(x)| : x \in [0, 1]\} \ge 0 \because |f(x)| \ge 0$ . ||f|| = 0 iff  $\sup \{|f(x)| : x \in [0, 1]\} = 0$  iff  $|f(x)| \forall x \in [0, 1]$  iff f = 0 (zero function.  $||-f|| = \sup \{|-f(x)| : x \in [0, 1]\} = \sup \{|f(x)| : x \in [0, 1]\} = ||f||$ Let  $f, g \in X$ . Then  $||f + g|| = \sup \{|(f + g)(x)| : x \in [0, 1]\}$   $= \sup \{|f(x) + g(x)|\} \le \sup \{|f(x)| + |g(x)|\} \le \sup \{|f(x)|\} + \sup \{|g(x)|\} = ||f|| + ||g||$ .  $\therefore ||f|| = \sup \{|f(x)| : x \in [0, 1]\}$  defines norm on X. d defined on X by d(f, g) =  $||f - g|| = \sup \{|f(x) - g(x)| : x \in [0, 1]\}$  is a metric on X.

**SUBSPACE** 

**Definition**: Let (X, d) be a metric space and  $Y \subseteq X$ . Then the restrictions of 'd' to Y, then (Y, d) is a metric space and (Y, d) is called *subspace* of (X, d). **Definition**: Let (X, d) be a metric space and  $A \subseteq X$ .

- (i) If  $x \in X$  then the distance from x to A,  $d(x, A) = \inf \{d(x, a) / a \in A\}$ .
- (ii) The diameter of the set A,  $d(A) = \sup \{d(x, y) / x, y \in A\}$ .
- (iii) If d(A) = ±∞ then A is said to have infinite diameter, otherwise, it is said to have finite diameter. Note that if A = \$\ophi\$ then d(\$\ophi\$) = sup {d(x, y) / x, y ∈ \$\ophi\$} = sup \$\ophi\$ = -∞ and so \$\ophi\$ has infinite diameter.
- (iv) A is said to be bounded if d(A) is finite. A mapping  $f: Y \to X$  where  $Y \neq \phi$  and (X, d) is a metric space is said to be bounded if the set f(Y) is bounded in (X, d).

**Example:** Let  $\mathbb{R}^k$  be the Euclidean space.

Define  $d(x, y) = |x - y| \forall x, y \in \mathbb{R}^k$ . Then d is a metric on  $\mathbb{R}^k$ .

# **OPEN SETS**

Let (X, d) be a metric space. Let  $x_0 \in X$  and r be a positive real number. Then  $N_r(x_0) = S_r(x_0) = \{x \in X / d(x, x_0) < r\}$  is called the open sphere with centre  $x_0$  and radius r. It is also called neighbourhood of  $x_0$  with radius r.

<u>Note</u>:  $S_r(x_0) \neq \phi$ .

**Example**: (i) If (X d) is a metric space where  $X \neq \phi$  and 'd' is a metric on X, defined by d(x, y) = 0 if x = y and 1 if  $x \neq y$ . Then for every  $x_0 \in X$ ,  $S_l(x_o) = \{x_0\}$ .

(ii) Consider ( $\mathbb{R}$ , d) where  $\mathbb{R}$  is the set of all real numbers, d is a usual metric on  $\mathbb{R}$ . Then for any  $x_0 \in \mathbb{R}$ ,  $S_r(x_0) = (x_0 - r, x_0 + r)$ .

**Definition** : Let X be a metric space. All points and sets mentioned here are elements and subsets of X.

- (i) A point p is a limit point of the set E if every neighbourhood of p contains a point q such that p ≠ q and q ∈ E; The set of all limit points of E is denoted by D(E).
- (ii) If  $p \in E$  and p is not a limit point of E, then p is called an isolated point of E;
- (iii) A set E is said to be closed if every limit point of E is a point of E;
- (iv) A point p of E is said to be an interior point of E if there exists a neighbourhood N of p such that  $p \in N \subseteq E$ . The set of all interior points of A, is called the interior of A. It is denoted by Int (A);
- (v) A set E is open if every point of E is an interior point. Equivalently, a subset G of the metric space X is called an open set if given  $x \in G$

there exists a positive real number r such that  $S_r(x) \subseteq G$ ;

- (vi) A set E is said to be perfect if E is closed and every point of E is a limit point of E;
- (vii) E is bounded if there exists a real number M and a point  $q \in X$  such that d(p,q) < M, for all  $p \in E$ .

**Definition:** A subset E of a metric space X is said to be dense in X if every point of X is a limit point of E or a point of E, or both.

**Note:** Consider the set  $\mathbb{R}$  of real numbers with usual metric d. The set [0, 1) is not open as a subset of  $\mathbb{R}$ , since  $0 \in [0, 1)$  is not an interior point. If we consider [0, 1) as a metric space X in its own right, as a subspace of the real line, then [0, 1) is open as a subset of X, since from this point of view it is the full space.

**Theorem:** In any metric space X the empty set and the full space X are open sets.

**<u>Proof:</u>** To show that  $\phi$  is open, we must show that each point in  $\phi$  is the centre of an open sphere contained in  $\phi$ ; but since there are no points in  $\phi$ , the requirement is automatically satisfied. Hence  $\phi$  is open.

Since every open sphere centred on each of the points in X, is contained in X, we have X is open.

 $\Rightarrow$  y  $\in$  S<sub>r</sub>(x<sub>0</sub>). Hence S<sub>s</sub>(x)  $\subseteq$  S<sub>r</sub>(x<sub>0</sub>).  $\therefore$  S<sub>r</sub>(x<sub>0</sub>) is an open set.

**Theorem:** Let X be a metric space. A subset G of X is open if and only if it is a union of open spheres

**<u>Proof</u>**: Suppose G is Open. If  $G = \phi$ , then it is the union of the empty class of open spheres. If  $G \neq \phi$ , then for any  $x \in G \exists r_x > 0$  such that  $S_{r_x}(x) \subseteq G$ .

Then G =  $\bigcup_{x \in G} S_{r_x}(x)$ 

Conversely suppose  $G = \bigcup_{x \in I} S_{r_x}(x)$ , where  $\{S_{r_x}(x)\} / x \in I\}$  is a collection of open spheres.

If  $I = \phi$ , then  $G = \phi$  which is an open set.

Suppose  $I \neq \phi$ . Let  $y \in G$ .

Since  $G = \bigcup_{x \in I} S_{r_x}(x)$ , we have  $y \in S_{r_x}(x)$  for some  $x \in I$ .

By above lemma,  $\exists r > 0 \ni S_r(y) \subseteq S_{r_x}(x)$ .

Hence  $S_r(y) \subseteq S_{r_x}(x) \subseteq G$ . This shows that G is open.

**Theorem:** Let X be a metric space. Then (i) union of open sets in X is open; and (ii) finite intersection of open sets in X is open.

**<u>Proof</u>**: (i) Let  $\{G_i\}_{i \in I}$  be a collection of open sets. Write  $G = \bigcup_{i \in I} G_i$ . We have to show that G is open.

If I =  $\phi$  then the union of the empty class of open sets  $G_i$  is G =  $\phi$  which is open If I

 $\neq \phi$ , then by above theorem, each  $G_i$  is a union of open spheres. Again by above Theorem G is open.

(ii) Let  $\{G_i\}_{1 \le i \le n}$  be a finite collection of open sets in X. Claim:  $G = \bigcap_{i=1}^{n} G_i$  is open.

If I =  $\phi$  then the class of  $\{G_i\}_{1 \le i \le n}$  is  $\phi$  and hence  $\bigcap_{i=1}^n G_i = X$  which is open. Let I  $\neq \phi$ . If G =  $\phi$  then G is open. Suppose G  $\neq \phi$ . Let  $x \in G = \bigcap_{i=1}^n G_i$ . Since each G<sub>i</sub> is open  $\exists r_i > 0$  such that  $S_{r_i}(x) \subseteq G_i$ . Write  $r = \min\{r_1, r_2, ..., r_n\}$ . Then  $S_r(x) \subseteq S_{r_i}(x) \subseteq G_i$  for all  $1 \le i \le n$ , which shows that  $S_r(x) \subseteq \bigcap_{i=1}^n G_i = G$ . Hence G is open.

**<u>Remark</u>**: Intersection of infinite collection of open sets need not be open. For, consider  $\mathbb{R}$  with usual metric. Write  $G_i = \left(-\frac{1}{i}, \frac{1}{i}\right)$ . Then  $G = \bigcap_{i=1}^{\infty} G_i = \{0\}$  which is not open.

<u>**Problem</u>**: Let G be an open set in  $\mathbb{R}$ . Define ~ on G as x, y  $\in$  G, x ~ y if and only if  $\exists$  open interval (a, b) such that x, y  $\in$  (a, b)  $\subseteq$  G. Then</u>

- (i)  $\sim$  is an equivalence relation
- (ii) For any  $x \in G$ , if  $I_x = \bigcup \{(a, b) \mid x \in (a, b) \subseteq G\}$ , then  $I_x$  is an open interval such that  $x \in I_x \subseteq G$ .
- (iii)  $[x] = I_x$  and
- $(iv) \quad G=\cup \, I_x, x\in G.$

**Solution**: (i) Let  $x \in G$ . Since G is open  $\exists r > 0 \ni x \in S_r(x) = (x - r, x + r) \subseteq G$ .  $\therefore x \sim x \forall x \in G$ . Viz.  $\sim$  is reflexive.

Let x, y  $\in$  G  $\ni$  x ~ y. Then  $\exists$  open interval (a, b) such that x, y  $\in$  (a, b)  $\subseteq$  G.

 $\Rightarrow \exists$  open interval (a, b) such that y, x  $\in$  (a, b)  $\subseteq$  G.

 $\Rightarrow$  y ~ x. Viz. ~ is symmetric.

Let x, y,  $z \in G \ni x \sim y$  and  $y \sim z$ .

Then  $\exists$  open intervals (a, b), (c, d)  $\ni x, y \in (a, b) \subseteq G$  and  $y, z \in (c, d) \subseteq G$ . Since  $y \in (a, b) \cap (c, d)$  and  $(a, b) \cup (c, d)$  is an interval we get  $x, z \in (a, b) \cup (c, d) \subseteq G$ .  $\therefore x \sim z$ . Viz.  $\sim$  is transitive.

Hence  $\sim$  is an equivalence relation.

(ii) Let  $x \in G$  and  $I_x = \bigcup \{(a, b) / x \in (a, b) \subseteq G\}$ . Then  $I_x$  is an open set. Since the intersection of all the intervals involved in this union contains x, we have that  $I_x$  is nonempty. Thus,  $I_x$  is an interval such that  $x \in I_x \subseteq G$ .

(iii) Let 
$$u \in [x]$$
.  
Then  $u \sim x \implies \exists$  open interval  $(a, b)$  such that  $u, x \in (a, b) \subseteq G$ 

 $\Rightarrow u \in (a, b) \subseteq I_x. \therefore [x] \subseteq I_x.$ 

Let  $y \in I_x$ . Then  $y \in (a, b)$  for some (a, b) with  $x \in (a, b) \subseteq G$ 

 $\Rightarrow$  y, x  $\in$  (a, b)  $\subseteq$  G  $\Rightarrow$  y ~ x  $\Rightarrow$  y  $\in$  [x]. Hence [x] = I<sub>x</sub>.

(iv) Since the set of equivalence classes  $[x] = I_x$ ,  $x \in G$  for some partition for G, we have  $G = \bigcup I_x$ ,  $x \in G$ .

<u>**Theorem</u>**: Every non-empty open set on the real line is the union of a countable disjoint class of open intervals.</u>

**<u>Proof</u>**: Let G be a non-empty open subset of the real line. Let x be a point of G. Since G is open, x is the centre of a bounded open interval contained in G. Define  $I_x = \bigcup \{(a, b) \mid x \in (a, b) \subseteq G\}$ .

Next we observe that if x and y are two distinct points of G then  $I_x$  and  $I_y$  are either disjoint or identical.

For, suppose  $z \in I_x \cap I_y \Rightarrow z \in I_x$  and  $z \in I_y$ .

Then  $I_z = I_x$  and  $I_y = I_z$  (by above problem). Therefore  $I_x = I_y$ .

Consider the class I of all distinct sets of the form  $I_x$  for some point x in G. This is a disjoint class of open intervals, and G is its union. It remains to prove that I is countable.

Let G<sub>r</sub> be the set of rational points in G. Clearly G<sub>r</sub> is non-empty.

Define f:  $G_r \rightarrow I$  as  $f(r) = [r] = I_r$ . If  $I_x \in I$  then  $I_x$  contains at least one rational number u. Now  $u \in I_x \subseteq G \Rightarrow u \in G_r$ . Also  $f(u) = [u] = I_u = I_x$ . Hence f is onto. Since  $G_r$  is countable and f:  $G_r \rightarrow I$  is onto, we have that I is countable.

**<u>Definition</u>**: Let (X, d) be a metric space,  $A \subseteq X$  and  $x \in A$ . Then x is said to be an interior point of A if there exists r > 0 such that  $S_r(x) \subseteq A$ .

The set of all interior points of A is called the interior of A. It is denoted by Int (A). So Int (A) =  $\{x \in A \text{ and } Sr(x) \subseteq A \text{ for some } r\}$ .

<u>**Proposition**</u>: Write  $X = \mathbb{R}$ , the set of real numbers with usual metric. Find Int (Q), where Q is the set of all rational numbers.

**Solution:** Let  $x \in Int (Q) \Rightarrow$  there exists a real number r > 0 such that  $S_r(x) \subseteq Q$  $\Rightarrow (x - r, x + r) \subseteq Q$ . Since r > 0, we have that  $x - r \neq x + r$ . We know that between any two real numbers there is an irrational number.  $\therefore \exists$  an irrational number q such that  $x - r < q < x + r \Rightarrow q \in (x - r, x + r) \subseteq Q$ .  $\therefore Q$  contains an irrational number q, a contradiction. Hence Int  $Q = \phi$ .

**<u>Result</u>**: (i) Int (A) is an open subset of A; (ii) Int (A) contains every open subset of A; (iii) Int (A) is the largest open subset of A.

**Proof**: (i) Clearly Int (A) ⊆ A. Let  $x \in$  Int (A). Then  $\exists r > 0$  such that  $S_r(x) \subseteq A$ . Let  $y \in S_r(x)$ . Then  $\exists s > 0$  such that  $S_s(y) \subseteq S_r(x) \subseteq A$ .  $\Rightarrow y \in$  Int (A).  $\Rightarrow S_r(x) \subseteq$  Int (A) for all  $x \in$  Int (A). Hence Int (A) is an open set. (ii) Let G be an open set of A. Let  $x \in G$ . Since G is open  $\exists r > 0$  such that  $S_r(x) \subseteq$ G. Now  $S_r(x) \subseteq G \subseteq A \Rightarrow S_r(x) \subseteq A \Rightarrow x \in$  Int (A). Therefore  $G \subseteq$  Int (A). (iii) From (i), Int (A) is an open set. If Int (A) is not the largest open set contained in A, then there exists an open set G in A such that Int (A) ⊂ G. But form (ii), we get  $G \subseteq$  Int (A). Therefore  $G \subseteq$  Int (A). Therefore  $G \subseteq$  Int (A). Therefore  $G \subseteq$  Int (A).

**<u>Result</u>**: A is open if and only if A = Int (A). <u>**Proof:**</u> Suppose A is open. Then by a result Int (A) is the largest open subset of A. Hence A = Int (A). Conversely A = Int (A) implies that A is open since Int (A) is open.

**<u>Result</u>**: Int (A) is the union of all open subsets of A. <u>**Proof**</u>: Let {G<sub>i</sub> / i ∈ I} be the collection of all open subsets contained in A. Since each G<sub>i</sub> is open and G<sub>i</sub> ⊆ Int (A).  $\Rightarrow \bigcup_{i \in I} G_i ⊆ Int (A)$ . Let x ∈ Int (A)  $\Rightarrow \exists r > 0 \Rightarrow S_r(x) ⊆ A$ . Since S<sub>r</sub>(x) is open, we have that S<sub>r</sub>(x) = G for some j ∈ I. So x ∈ S<sub>r</sub>(x) = G<sub>j</sub> ⊆  $\bigcup_{i \in I} G_i$ . Hence Int (A) ⊆  $\bigcup_{i \in I} G_i$  Thus Int(A) =  $\bigcup_{i \in I} G_i$ .

#### **CLOSED SETS**

**Definition:** A subset F of a metric Space X is called a closed set if it contains each of its limit points.

**Theorem**: In any metric space X, the empty set  $\phi$  and the full space X are closed sets.

**<u>Proof</u>**: Since  $\phi$  contains no limit points, we have that  $\phi$  is closed. Since X contains all points of the metric space, we have that X is closed.

**Theorem**: A set E is open if and only if  $E^c$  (the complement of E) is closed.

<u>**Proof**</u>: Suppose E is open. Let x be a limit point of E<sup>c</sup>. we have to show that  $x \in E^c$ . If  $x \notin E^c$  then  $x \in (E^c)^c = E$ . Since E is open and  $x \in E$ , there exists r > 0 such that  $S_r(x) \subseteq E \Rightarrow S_r(x) \cap E^c = \phi$ .  $\Rightarrow x$  is not a limit point of E<sup>c</sup>, a contradiction.  $\therefore x \in E^c$ . Hence  $E^c$  is closed.

Converse: Suppose  $E^c$  is closed. Now we show that E is open. Let  $y \in E$ . Then  $y \notin E^c \Rightarrow y$  is not a limit point of  $E^c \Rightarrow \exists$  a neighbourhood N of y such that  $N \cap E^c = \phi \Rightarrow y \in N \subseteq E$ .  $\therefore$  y is an interior point of E. Since y is an arbitrary point in E, we have that every point of E is interior point of E. Hence E is open.

<u>Corollary</u>: A set F is closed if and only if  $F^c$  is open. <u>Proof</u>: Follows from the above theorem.

**Definition:** Let X be a metric space.  $x_0 \in X$ , r be a non negative real number. Then  $S_r[x_0] = \{x \mid x \in X, d(x, x_0) \le r\}$  is called the *closed sphere* with centre  $x_0$  and radius r.

 $\begin{array}{l} \hline \textbf{Theorem:} & \text{In a metric space } X, \text{ each closed sphere } S_r[x_0] \text{ is a closed set.} \\ \hline \textbf{Proof:} & \text{First we show that } Y = \text{the complement of } S_r[x_0] \text{ is open.} \\ \hline \text{If } Y = \phi, \text{ then it is open. Suppose } Y \neq \phi. \text{ Let } x \in Y \text{ then } d(x, x_0) > r. \\ \hline \text{Let } s = d(x, x_0) - r > 0. \text{ Consider } S_s(x). \text{ Let } z \in S_s(x). \text{ Then } d(x, z) < s. \\ \hline \text{So } d(x_0, x) \leq d(x_0, z) + d(z, x). \\ \hline \Rightarrow d(x_0, z) \geq d(x_0, x) - d(x, z) > d(x_0, x) - s = r \\ \hline \Rightarrow d(x_0, z) > r \Rightarrow z \notin S_r[x_0] \\ \hline \Rightarrow z \in Y. \text{ Hence } S_s(x) \subseteq Y. \\ \hline \therefore \text{ for any } x \in Y, \exists s > 0 \Rightarrow x \in S_s(x) \subseteq Y. \\ \hline \therefore Y \text{ is open. Hence } S_r[x_0] \text{ is closed.} \end{array}$ 

**Theorem:** (i) Let X be a metric space. Then (i) any intersection of closed sets in X is closed; ie. If  $\{F_{\alpha} / \alpha \in I\}$  is a collection of closed sets then  $\cap F_{\alpha}$  is closed. (ii) any finite union of closed sets in X is closed. Ie. For any finite collection  $F_1$ ,  $F_2$ , ...,  $F_n$  of closed sets,  $F_1 \cup F_2 \cup ... \cup F_n$  is closed.

....

 $\begin{array}{l} \underline{Proof:} \hspace{0.2cm} (i) \hspace{0.2cm} \text{Let} \hspace{0.2cm} \{F_{\alpha} \, / \, \alpha \in I\} \hspace{0.2cm} \text{be a collection of closed sets} \\ \\ \text{Since each } F_{\alpha} \hspace{0.2cm} \text{is closed, we have that } F_{\alpha}{}^{c} \hspace{0.2cm} \text{is open.} \\ \\ \{F_{\alpha}{}^{c}: \alpha \in I\} \hspace{0.2cm} \text{is a collection of open sets.} \\ \\ \text{By a theorem, } \cup F_{\alpha}{}^{c} \hspace{0.2cm} \text{is open.} \Rightarrow (\cap F_{\alpha})^{c} = \cup F_{\alpha}{}^{c} \hspace{0.2cm} \text{is open} \\ \\ \\ \Rightarrow \cap F_{\alpha} \hspace{0.2cm} \text{is closed.} \\ \\ (ii) \hspace{0.2cm} \text{Let} \hspace{0.2cm} F_{i}, \hspace{0.2cm} l \leq i \leq n, \hspace{0.2cm} \text{are open sets.} \end{array}$ 

Now  $(F_1 \cup F_2 \cup ... \cup F_n)^c = F_1^c \cap F_2^c \cap ... \cap F_n^c$  is open  $\Rightarrow F_1 \cup F_2 \cup ... \cup F_n$  is closed.

**Example**: Consider the following sub sets of  $\mathbb{R}^2$ 

(i)  $\{z \in \mathbb{C} \mid |z| \le 1\}$  is open, not closed, not perfect, bounded.

(ii)  $\{z \in \mathbb{C} \mid |z| \le 1\}$  is closed, not open, perfect and bounded.

(iii) A finite set is closed, not open, not perfect, bounded.

(iv) The set of all integers is closed, not open, not perfect and not bounded.

(v)  $E = \{1/n : n \in \mathbb{N}\}$  is not closed, not open, not perfect but bounded. Here note that this set has only limit point 0, and  $0 \notin E$ .

(vi)  $\mathbb{C}$  (set of complex numbers) is closed, open, perfect but not bounded.

(vii) (a, b) as a subset of  $\mathbb{R}^2$ , is not closed, open, not perfect but bounded.

<u>Note</u>: (i) If {  $F_{\alpha}$ } is a collection of sets then { $(\cup F_{\alpha})^{c} = \cap F_{\alpha}^{c}$ .

(ii) An arbitrary union of closed sets need not be closed.

For, Consider  $A_n = \left[-\frac{1}{n}, \frac{1}{n}\right]$  for  $n \in \mathbb{N}$ . Then  $\bigcup_{n=1}^{\infty} A_n = (0, 1)$  which is not closed, because 0 and 1 are limit points of (0, 1) and these are not in (0, 1).

**Theorem:** Let E be a nonempty set of real numbers which is bounded above. Let  $y = \sup E$ . Then (i)  $y \in \overline{E}$  and (ii)  $y \in E$  if E is closed.

**<u>Proof</u>**: (i) If  $y \in E$ , then clearly  $y \in E \subseteq \overline{E}$ . Suppose  $y \notin E$ . Now  $y = \sup E \Rightarrow$  for any  $\varepsilon > 0$ ,  $y - \varepsilon$  is not an upper bound  $\Rightarrow \exists x \in E$  such that  $y - \varepsilon < x < y$  $\Rightarrow x \in (y - \varepsilon, y + \varepsilon) = S_{\varepsilon}(y)$  and  $x \in E \Rightarrow x \in \{E \cap S_{\varepsilon}(y)\} - \{y\}$  $\Rightarrow y$  is a limit point of  $E \Rightarrow y \in D(E) \subseteq E \cup D(E) = \overline{E}$ . (ii) If E is closed then  $E = \overline{E}$  and hence  $y \in \overline{E} = E$ .

### **Construction of the CANTOR set.**

To construct the Cantor set, we proceed as follows: Write  $F_1 = [0, 1]$ . From  $F_1$ , delete the open interval  $(\frac{1}{3}, \frac{2}{3})$  which is an open middle third of  $F_1$ . Write  $F_2 = [0, 1] - (\frac{1}{3}, \frac{2}{3}) = [0, \frac{1}{3}] \cup [\frac{2}{3}, 1]$ . Now from  $F_2$ , delete the middle thirds of two pieces.

Write  $F_3 = F_2 - \left\{ \left(\frac{1}{9}, \frac{2}{9}\right) \cup \left(\frac{7}{9}, \frac{8}{9}\right) \right\} = \left[0, \frac{1}{9}\right] \cup \left[\frac{2}{9}, \frac{3}{9}\right] \cup \left[\frac{6}{9}, \frac{7}{9}\right] \cup \left[\frac{8}{9}, 1\right]$ If we continue this process of deleting the open middle third of intervals, we obtain a sequence of closed sets  $F_n$  such that  $F_n \supseteq F_{n+1} \supseteq \dots$ Now write  $F = \bigcap_{n=1}^{\infty} F_n$ . This F is called the Cantor set.

<u>Note</u>: (i) By above construction, since each  $F_n$  is a finite union of closed intervals, we have that each  $F_n$  is closed. So,  $F = \bigcap_{n=1}^{\infty} F_n$  is closed. Hence Cantor's set is closed.

(ii) Since we are deleting the open middle third intervals from each  $F_n$  finally F contains the end points of the closed intervals of  $F_n$  for each n. The end points of the closed intervals in  $F_1$  are 0, 1. The end points of the closed intervals in  $F_2$  are 0, 1/3, 2/3 and 1. The end points of the closed interval in  $F_3$  are 0, 1/9, 2/9, 6/9, 7/9, 8/9, 1. Therefore F contains 0, 1/3, 2/3, 1/9, 2/9, ...

Therefore, there are some numbers in F other than the end points.

(iii) The cardinal number 0f F is c, the cardinal number of the continuum.

(iv) We can define a bijection f:  $[0, 1) \rightarrow F$ . For this, let  $x \in [0, 1)$ .

Suppose  $x = 0.b_1b_2...$  be its binary expansion. Now each bn is either 0 or 1. Write  $t_n = 2b_n$  for each n, and write  $f(x) = 0.t_1t_2...$  Now

consider f(x) = 0.  $t_1t_2$ ... as a number of ternary expansion.

Now  $f(x) \in F$ . Now it can be verified that f is one to one and onto.

(v) Let us consider the sum of lengths of the open intervals removed at every stage. First stage we removed the open interval (1/3, 2/3) and its length is 1/3. Second stage we removed (1/9, 2/9) and (7/9, 8/9). The sum of the length of these two intervals is 1/9 + 1/9 = 2/9 and so continuing this way we obtain a sequence of lengths 1/3, 2/9, 4/27, ... These numbers form a geometric progression with first term 1/3 and common ratio 2/3.

Therefore, the sum is  $\frac{1}{3} + \frac{2}{9} + \frac{4}{27} + \dots = \frac{\frac{1}{3}}{1 - \frac{2}{3}} = 1$ 

**<u>Definition</u>**: Let X be a metric space and  $A \subseteq X$ . Then the closure of A (denoted by  $\overline{A}$ ) is defined by  $\overline{A} = A \cup D(A)$  where D(A) is the set of all limit points of A.

**<u>Result</u>:** A is closed if and only if  $A = \overline{A}$  **<u>Proof</u>:** (i) Suppose A is closed  $\Rightarrow$  all the limit points of A are in  $A \Rightarrow D(A) \subseteq A$   $\Rightarrow \overline{A} = A \cup D(A) \subseteq A \Rightarrow \overline{A} \subseteq A$ . Hence  $A = \overline{A}$ Converse: Suppose  $A = \overline{A}$   $\Rightarrow A \cup D(A) \subseteq A \Rightarrow D(A) \subseteq A$  $\Rightarrow all the limit points of A are in A \Rightarrow A is closed.$ 

**Result:**  $\overline{A}$  is a closed superset of A which is contained in any closed superset of A (equivalently, (i)  $A \subset \overline{A}$  (ii)  $\overline{A} = \overline{A}$ ; and (iii) B is a closed set such that  $A \subset B$  then  $\bar{A} \subset B$  (iv)  $\bar{A}$  equals to the intersection of all closed supersets of A. **Proof**: (i) By the definition of  $\overline{A}$ , we have that  $A \subset \overline{A}$ . (ii) To show that  $\overline{A} = \overline{A}$ ; Clearly  $\overline{A} \subseteq \overline{A}$ . Let  $x \in \overline{A}$ . Then either  $x \in \overline{A}$  or  $x \in D(\overline{A})$  = the set of all limit points of  $\overline{A}$ . If  $x \in \overline{A}$ , it is clear. Suppose  $x \in D(\overline{A}) \Rightarrow x$  is a limit point of  $\overline{A}$ . If  $x \in A$  then clearly  $x \in A \subset \overline{A}$ . Suppose  $x \notin A$ , Consider  $S_r(x)$  and r > 0. Since it is a limit point of  $\overline{A}$  there exists y  $\in \overline{A} \cap S_r(x)$  such that  $x \neq y, y \in S_r(x) \Longrightarrow d(x, y) < r$ . Now  $y \in \overline{A} = A \cup D(A)$ . If  $y \in A$  then  $y \in A \cap S_r(x)$ . If  $y \notin A$  then  $y \in D(A) \Rightarrow y$ is a limit point of A. Put s = r - d(x, y). Then s > 0 and  $\exists z \in A \cap S_s(y)$  and  $z \neq y$ . Now  $d(x, z) \le d(x, y) + d(y, z) < d(x, y) + s = r$ .  $\therefore$  either y or z is in A and also is in S<sub>r</sub>(x).  $\therefore$  x is a limit point of A which implies that  $x \in D(A) \subseteq A \cup D(A) = \overline{A}$ .  $\therefore \overline{\overline{A}} \subseteq \overline{A}$ . Hence  $\overline{A} = \overline{\overline{A}}$ . (iii) Let B be a closed set such that  $A \subset B$ . Now we wish to show that  $\overline{A} \subset B$ . For this, let  $x \in \overline{A} \Rightarrow x \in A$  or  $x \in D(A)$  (since  $A = A \cup D(A)$ ]. If  $x \in A$  then  $x \in B$  (since  $A \subseteq B$ ). If  $x \in D(A)$ , then since  $D(A) \subseteq D(B)$  we have  $x \in D(B)$  $\Rightarrow$  x  $\in$  B  $\cup$  D(B) =  $\overline{B}$  = B (since B is closed). Hence  $x \in \overline{A} \Rightarrow x \in B$ . This shows that  $\overline{A} \subset B$ . (iv) Let  $\{B_i | i \in I\}$  is the collection of all closed supersets of A. By (iii),  $\overline{A} \subseteq B$  for all  $i \in I \Longrightarrow \overline{A} \subseteq \cap B_i$ Since  $\overline{A}$  is closed and  $A \subseteq \overline{A}$ , we have that  $\overline{A}$  belongs to the collection  $\{B_i \mid i \in I\}$  $\Rightarrow \cap B_i \subset \overline{A}.$ Hence  $\overline{A} = \bigcap B_i$ .

**Definition:** Let X be a metric space,  $A \subseteq X$ .  $x \in X$  is said to be a *boundary point* of A if each open sphere centred on the point x intersects both A and A'. The set of all boundary points of A is called the *boundary* of A.

Note: (i) The boundary of A equals

(ii) The boundary of A is a closed set. (iii) A is closed iff it contains its boundary.

**Example**: Consider R with usual metric. Write  $x = 0 \in R$ , A = (0, 1), B = [0, 1]. x is a boundary point of both A and B.  $x \notin A$  and  $x \in B$ . Therefore, a boundary point x of a set X need not be in the set X.

**<u>Result</u>**: Let  $x \notin A$ . Then x is a limit point of A iff x is a boundary point of A. <u>**Proof**</u>: Suppose  $x \notin A$  and x is a limit point of A.  $\Rightarrow x \in D(A)$  and  $x \in A'$   $\Rightarrow$  for every r > 0, the nbd  $S_r(x)$  intersects both A and A'.  $\Rightarrow x$  is a boundary point of A. Conversely, suppose x is a boundary point of A.  $\Rightarrow S_r(x)$  intersects A for every r > 0. Also given  $x \notin A$ .  $\therefore x$  is a limit point of A.

### CONVERGENCE, COMPLETENESS AND BAIRE'S THEOREM

**Definition**: Let (X, d) be a metric space and  $\{x_n\}$  be a sequence of points in X. Then  $\{x_n\}$  *converges* if  $\exists$  a point  $x \in X \ni$  for each  $\varepsilon > 0 \exists$  a positive integer m  $\exists d(x_n, x) < \varepsilon \forall n \ge m$ . This fact is denoted by  $x_n \to x$  or  $\lim x_n = x$ . or equivalently, for each open sphere  $S_{\varepsilon}(x) \exists m \in \mathbb{Z}^+ \ni x_n \in S_{\varepsilon}(x) \forall n \ge m$ .

<u>Note</u>: The following two conditions are equivalent: (i)  $\{x_n\}$  converges to x in a metric space (X, d) and (ii)  $\{d(x_n, x)\}$  converges to a real number 0.

 $\begin{array}{l} \underline{Problem}: \mbox{ Let } X \mbox{ be a metric space. If } \{x_n\}, \{y_n\} \mbox{ are sequences in } X \ensuremath{\:\ni\)} x_n \ensuremath{\:\rightarrow\)} x \mbox{ and } y_n \ensuremath{\:\rightarrow\)} y \mbox{ then } d(x_n, y_n) \ensuremath{\:\rightarrow\)} d(x, y). \\ \underline{Solution}: \mbox{ Let } \epsilon > 0. \mbox{ Since } x_n \ensuremath{\:\rightarrow\)} x \ensuremath{\:\exists\)} k_1 \ensuremath{\in\)} \mathbb{Z}^+ \ensuremath{\:\rightarrow\)} d(x_n, x) < \epsilon/2 \ensuremath{\:\vee\)} n \ge k_1. \\ \mbox{ Since } y_n \ensuremath{\:\rightarrow\)} y \ensuremath{\:\cong\)} k_2 \ensuremath{\:\in\)} \mathbb{Z}^+ \ensuremath{\:\rightarrow\)} d(x_n, x) < \epsilon/2 \ensuremath{\:\rightarrow\)} n \ge k_1. \\ \mbox{ Since } y_n \ensuremath{\:\rightarrow\)} y \ensuremath{\:\cong\)} k_2 \ensuremath{\:\in\)} \mathbb{Z}^+ \ensuremath{\:\rightarrow\)} d(x_n, x) < \epsilon/2 \ensuremath{\:\times\)} n \ge k_1. \\ \mbox{ Now take } k = max \ensuremath{\:\in\)} k_1, k_2 \ensuremath{\:\times\)} n \ge k_2. \\ \mbox{ Now take } k = max \ensuremath{\:\in\)} k_1, k_2 \ensuremath{\:\times\)} n \ge k_1, k_2 \ensuremath{\:\times\)} n \ge k_2. \\ \mbox{ Then } d(x_n, y_n) \le d(x_n, x) + d(x, y) + d(y, y_n) \\ \ensuremath{\:\rightarrow\)} d(x_n, y_n) - d(x, y) + d(y_n, y) \\ \ensuremath{\:\rightarrow\)} d(x_n, y_n) \ensuremath{\:\times\)} d(x_n, x_n) + d(y_n, y) \\ \ensuremath{\:\rightarrow\)} d(x, y) - d(x_n, y_n) \ensuremath{\:\le\)} d(x, x_n) + d(y_n, y) < \epsilon/2 + \epsilon/2 = \epsilon \ensuremath{\:\otimes\)} n \ge k...(ii) \\ \ensuremath{\:\times\)} From (i) and (ii) \ensuremath{\:\mid\)} d(x_n, y_n) \ensuremath{\:\rightarrow\)} d(x, y) \ensuremath{\:<\)} d(x, y) \ensuremath{\:\times\)} d$ 

**<u>Definition</u>**: A sequence  $\{x_n\}$  of points in a metric space (X, d) is said to be a *Cauchy sequence* if for each  $\varepsilon > 0 \exists k \in \mathbb{Z}^+ \mathfrak{z} \ d(x_n, x_m) < \varepsilon \forall n, m \ge k$ .

<u>Note</u>: (i) Every convergent sequence is a Cauchy sequence (ii) Is the converse true? Justify your answer.

**Proof**: (i) Let the sequence  $\{x_n\}$  converge to x. Let  $\varepsilon > 0$ . Then corresponding to  $\varepsilon/2 > 0 \exists k \in \mathbb{Z}^+ \ni d(x_n, x) < \varepsilon/2 \forall n \ge k$ . Take n,  $m \ge k$ . Now  $d(x_n, x_m) \le d(x_n, x) + d(x, x_m) < \varepsilon/2 + \varepsilon/2 = \varepsilon$ . (ii) The converse is not true. Write X = (0, 1]. Consider the usual metric of real numbers on X. Then (X, d) is a metric space. Write  $x_n = 1/n$  for each  $n \in \mathbb{N}$ . Then  $\{x_n\}$  is a Cauchy sequence. For, let  $\varepsilon > 0$ . Take  $k \in \mathbb{Z}^+ \ni k > 1/\varepsilon$ . Let  $n \ge m \ge k$ . Then  $|x_n - x_m| = \left|\frac{1}{n} - \frac{1}{m}\right| = \frac{1}{m} - \frac{1}{n} < \frac{1}{m} < \frac{1}{k} < \varepsilon$ . The sequence  $\{x_n\} = \left\{\frac{1}{n}\right\} \to 0$  but  $0 \notin X$ . Hence  $\{x_n\}$  is not a convergent sequence in X.

<u>Note</u>: Let (X, d) be a metric space and  $\{x_n\}$  be a sequence in X  $\ni x_n \rightarrow x$  and  $x_n \rightarrow x'$  in X. Then x = x'.

**Definition**: A metric space X is said to be complete if every Cauchy sequence in X is convergent.

 $\begin{array}{l} \underline{\textbf{Theorem}}: \mbox{ If a convergent sequence in a metric space has infinitely many distinct points then its limit is a limit point of the set of points of the sequence.}\\ \underline{\textbf{Proof}}: \mbox{ Let } X \mbox{ be a metric space and } \{x_n\} \mbox{ be a convergent sequence in } X. \mbox{ Suppose } x \in X \ni x_n \to x. \mbox{ Write } A = \{x_n / n \ge 1\}. \mbox{ Then } A \mbox{ is an infinite set.} \mbox{ If possible suppose } x \mbox{ is ant a limit point of } A. \mbox{ Then } \exists r > 0 \ni S_r(x) \cap A \setminus \{x\} = \varphi. \mbox{ } \Longrightarrow S_r(x) \cap A = \varphi \mbox{ or } S_r(x) \cap A = \{x\}. \Rightarrow S_r(x) \cap A \subseteq \{x\}. \mbox{ Since } r > 0 \mbox{ and } x_n \to x, \end{tabular} \mbox{ k \in } \mathbb{Z}^+ \ni d(x_n, x) < r \end{tabular} n \ge k. \mbox{ } \Longrightarrow x_n \in S_r(x) \Rightarrow x_n \in S_r(x) \cap A \Rightarrow x_n \in S_r(x) \cap A \subseteq \{x\} \Rightarrow x_n = x \end{tabular} \mbox{ is } n \ge k. \mbox{ } \therefore A = \{x_1, x_2, ..., x_{k-1}, x\}. \end{array}$ 

 $\Rightarrow$  A is finite which is a contradiction. Hence X is a limit point of A.

**Theorem**: Let X be a complete metric space and Y be a subspace of X. Then Y is complete iff Y is closed.

**<u>Proof</u>**: Let Y be complete. Let  $y \in X$  be a limit point of Y.  $\therefore$  For each  $n \in \mathbb{N}$ ,  $\exists y_n \in S_{\underline{1}}(y) \cap Y \setminus \{y\}$ .

 $\underline{Claim}: \{y_n\} \rightarrow y. \text{ Let } \epsilon \geq 0. \text{ Take } k \in \mathbb{Z}^+ \mathfrak{i} k \geq 1/\epsilon. \text{ Let } n \geq k. \\ \text{Then } d(y_n, y) \leq 1/n \leq 1/k \leq \epsilon. \therefore y_n \rightarrow y. \therefore \{y_n\} \text{ is a Cauchy sequence in } Y. \text{ Since } Y$ 

is complete,  $y_n \rightarrow y'$  for some y' in Y.

Since  $y_n \rightarrow y$  and  $y_n \rightarrow y'$ , we have  $y = y' \in Y$ . Hence Y is closed.

Converse: Suppose Y is closed. Let  $\{y_n\}$  be a Cauchy sequence in Y. Since Y  $\subseteq X$ ,  $\{y_n\}$  is a Cauchy sequence in X.

Since X is complete,  $\exists y \in X \ni y_n \rightarrow y$ .

Case (i) If the sequence  $\{y_n\}$  contains only a finite number of elements then y is a member of the sequence which repeats infinite number of times.

So,  $y = y_m$  for some m and hence  $y = y_m \in Y$ .

Case (ii): Suppose  $\{y_n\}$  contains infinite number of distinct elements.

Then y is a limit point of  $\{y_n / n \ge 1\}$ . Since  $\{y_n / n \ge 1\} \subseteq Y$ , y is a limit point of Y. Since Y is closed,  $y \in Y$ . Hence  $\{y_n\} \rightarrow y$  for some  $y \in Y$ . Hence Y is complete.

<u>**Definition**</u>: A sequence  $\{A_n\}$  of subsets of a metric space is called a decreasing sequence if  $A_1 \supseteq A_2 \supseteq \dots \supseteq A_n \supseteq A_{n+1} \supseteq \dots$ 

<u>Cantor Intersection Theorem</u>: Let X be a complete metric space, and  $\{F_n\}$  be a decreasing sequence of non – empty closed subsets of X such that  $d(F_n) \rightarrow 0$ . Then  $F = \bigcap_{n=1}^{\infty} F_n$  contains exactly one point. **Proof:** 

# <u>Proof</u>:

<u>Claim</u>: F can not contain more than one element.

If possible suppose  $x, y \in F \ni x \neq y$ .  $\therefore \varepsilon = d(x, y) > 0$ .

Since  $d(F_n) \rightarrow 0$ ,  $\exists k \in \mathbb{Z}^+ \ni d(F_n) \leq \varepsilon \forall n \geq k$ .

Since  $x,\,y\in F\subseteq F_n,\,\epsilon=d(x,\,y)\leq d(F_n)\leq\epsilon$  , a contradiction.

Hence F can not contain more than one element.

Claim: F contains at least one point.

Choose  $x_n$  in  $F_n \forall n \ge 1$ . Now we show that  $\{x_n\}$  is a Cauchy sequence.

Let  $\varepsilon > 0$ . Since  $d(F_n) \to 0$ ,  $\exists k \in \mathbb{Z}^+ \mathfrak{i} d(F_n) \leq \varepsilon \forall n \geq k$ . Now take m,  $n \geq k$ .

W.L.G. we may assume that  $m \ge n$ . Now  $x_m \in F_m \subseteq F_k$ ;  $x_n \in F_n \subseteq F_k$ .

Hence  $x_m, x_n \in F_k$  and so  $d(x_m, x_n) \le d(F_k) \le \epsilon$ .  $\therefore \{x_n\}$  is a Cauchy sequence. Since X is complete,  $\exists x \in X \ni x_n \rightarrow x$ .

**Case (i)**: Suppose  $\{x_n : n \ge 1\}$  contains only a finite number of elements. Then x repeats in the sequence on and after certain stage. I.e.  $\exists k \in \mathbb{Z}^+ \ni x_n = x \forall n \ge k$ . Since  $F_1 \supseteq F_2 \supseteq ..., x \in F_n \forall n. \therefore x \in \bigcap_{n=1}^{\infty} F_n$ .

**Case (ii)**: Suppose  $\{x_n : n \ge 1\}$  contains infinite number of elements. Then x is a limit point of the set  $\{x_n / n \ge 1\}$ . Clearly x is a limit point of  $\{x_n / n \ge k\} \forall k. \Rightarrow x$  is a limit point of  $\{x_n / n \ge k\} \subseteq F_k$ .  $\Rightarrow x \in F_k$  since each  $F_k$  is closed. This is true for all k.  $\therefore x \in \bigcap_{n=1}^{\infty} F_n$ .

**<u>Definition</u>**: Let (X, d) be a metric space and  $A \subseteq X$ . A is said to be nowhere dense if Int  $(\overline{A}) = \phi$ .

**<u>Result</u>**: Let X be a metric space and  $A \subseteq X$ . Then the following are equivalent.

- (i) A is a nowhere dense set.
- (ii) A does not contain any non empty open set.
- (iii) Each non empty open set has a non empty open subset disjoint from  $\overline{A}$ .
- (iv) Each non empty open set has a non empty open subset disjoint from A.
- (v) Each non empty open set contains a open sphere disjoint from A.

**<u>Proof</u>**: (i)  $\Rightarrow$  (ii). Suppose A is nowhere dense.  $\Rightarrow$  Int ( $\overline{A}$ ) =  $\phi$ .

If A contains a non – empty open set G then  $\phi \neq G \subseteq Int(A) \subseteq Int(\overline{A}) = \phi$ , a contradiction.

(ii)  $\Rightarrow$  (iii). Let G be a non – empty open subset. By (ii)  $G \not\subseteq \overline{A} \Rightarrow \exists x \in G \setminus \overline{A} \Rightarrow x \in G \cap (\overline{A})'$  Put  $H = G \cap (\overline{A})'$ Since  $\overline{A}$  is closed  $(\overline{A})'$  is open and hence  $H = G \cap (\overline{A})'$  is a open set and  $x \in H$ .  $\therefore$  G contains a non – empty open set such that  $H \cap \overline{A} = \phi$ .

(iii)  $\Rightarrow$  (iv). Let G be a non – empty open set.

By (iii)  $\exists$  a non – empty open subset H of G  $\ni$  H  $\cap \overline{A} = \phi$ .

Now  $H \cap A \subseteq H \cap \overline{A} = \phi \Longrightarrow H \cap A = \phi$ .

 $(iv) \Rightarrow (v)$ . Let G be a non – empty open set.

By (iv)  $\exists$  a non – empty open subset H of G with H  $\cap$  A =  $\phi$ .

Let  $x \in H$ . Since H is open  $\exists r > 0$  such that  $S_r(x) \subseteq H$ .

Now  $S_r(x) \cap A \subseteq H \cap A = \phi \Longrightarrow S_r(x) \cap A = \phi$ .

Hence G contains a non – empty open sphere  $S_r(x)$  such that  $S_r(x) \cap A = \phi$ .

 $(v) \Rightarrow (1)$ : Suppose each non – empty open set contain a open sphere disjoint from A. If possible suppose Int  $(\bar{A}) \neq \phi$ . Write G = Int  $(\bar{A})$ . By  $(v) \exists x \in X, r > 0$  such that  $S_r(x) \subseteq G$  and  $S_r(x) \cap A = \phi$ . Now  $S_r(x) \cap A = \phi \Rightarrow x \notin A$  and x is not a limit point of A.  $\Rightarrow x \notin \bar{A}$ . On the other hand,  $x \in S_r(x) \subseteq G \subseteq$  Int  $(\bar{A}) \subseteq \bar{A}$  a contradiction. Hence Int  $(\bar{A}) = \phi$ .

**<u>Problem</u>**: Show that a closed set A is nowhere dense iff its complement is everywhere dense.

<u>**Proof**</u>: Suppose A is closed and nowhere dense.

Since A is nowhere dense Int  $(\overline{A}) = \phi$ .

⇒ Int (A) =  $\phi$  since A is closed. Let U be any open set with U  $\cap$  A' =  $\phi$  ⇒ U ⊆ A. ⇒ U ⊆ Int (A) since U is open ⇒ U =  $\phi$ . I. the only open set disjoint from A' is  $\phi$ . Hence  $\overline{A'} = X$ . Conversely suppose A' is dense. Int ( $\overline{A}$ ) = Int (A) ⊆ A ⇒ (Int ( $\overline{A}$ ))  $\cap$  A' =  $\phi$ ⇒ Int ( $\overline{A}$ ) =  $\phi$  since the only open set disjoint from A' is  $\phi$ . Hence A is nowhere dense.

**<u>Baire's Theorem</u>**: If  $\{A_n\}$  is a sequence of nowhere dense sets in a complete metric space X, then there exists a point in X which is not in any of the  $A_n$ 's. **Proof**: Since X is a non – empty open set and  $A_1$  is a nowhere dense set,  $\exists$  an open sphere  $S_r(x) \ni S_r(x) \cap A_1 = \phi$ . Let  $0 < t_1 < 1$ . Let  $r_1 = \min \{r, t_1\}$ . Clearly  $r_1 < 1$ . Since  $S_{r_1}(x) \subseteq S_r(x)$  we have  $S_{r_1}(x) \cap A_1 = \phi$ . Put  $G_1 = S_{r_1}(x)$ . Define  $F_1 = S_{r_1/2}[x]$ . Clearly  $d(F_1) < 1$ . Now  $F_1$  is closed,  $G_1$  is open and  $F_1 \subset G_1$ . Also Int  $(F_1) = S_{\underline{r_1}}(x)$  is open and  $A_2$  is nowhere dense, there exists an open sphere  $G_2 \subseteq Int(F_1) and G_2 \cap A_2 = \phi$ . Suppose  $G_2 = S_{r_2}(x_1)$ . Define  $F_2 = S_{r_2/2}[x_1]$ . Clearly  $d(F_2) < 1/2$ . Now  $F_2$  is closed,  $G_2$  is open and  $F_2 \subseteq G_2$ . Also, Int  $(F_2) = S_{\underline{r_2}}(x_1)$  is open and  $A_3$  is nowhere dense,  $\exists$  an open sphere  $G_3 \subseteq Int (F_2) and G_3 \cap A_3 = \phi.$ If we continue this process, we get  $G_1 \supseteq F_1 \supseteq G_2 \supseteq F_2 \supseteq G_3 \supseteq \dots \ni d(F_n) \to 0$ ,  $F_n$  is closed,  $G_n$  is open,  $G_n \cap A_n = \phi$ . Since  $d(F_n) \rightarrow 0$  and each  $F_n$  is closed, by Cantor's intersection theorem, we have that  $\bigcap_{n=1}^{\infty} F_n \neq \phi$ . Let  $a \in \bigcap_{n=1}^{\infty} F_n$ . Since  $a \in F_n$  for each n,  $F_n \subseteq G_n$ , and  $G_n \cap A_n = \phi$ , we have that a  $\notin$  A<sub>n</sub> for any n. Hence  $a \in X$  and  $a \notin A_n$  for all n.

<u>**Theorem</u></u>: If a complete metric space is the union of a sequence of its subsets then the closure of at least one set in the sequence must have non – empty interior. <u><b>Proof**</u>: Let X be a complete metric space and  $X = \bigcup_{i=1}^{\infty} A_i$ . If possible, suppose that Int  $(\overline{A_i}) = \phi \forall i$ . Each  $A_i$  is a nowhere dense. So  $\{A_n\}$  is a sequence of nowhere dense sets. By a Baire's theorem,  $\exists a \in X \ni a \notin \bigcup_{i=1}^{\infty} A_i$ , a contradiction to the fact that  $X = \bigcup_{i=1}^{\infty} A_i$ . Hence Int  $(A_i) \neq \phi$  for some i.</u> <u>Note</u>: A subset A of a metric space X is said to be of first category if it can be represented as the union of sequence of nowhere dense sets. A is said to be second category if it is not first category. Every complete metric space is second category.

#### **CONTINUOUS MAPPINGS**

**Definition**: Let X and Y be metric spaces with metrics  $d_1$  and  $d_2$ . Let f be a mapping of X into Y. F is said to be continuous at a point  $x_0$  in X if either of the following two conditions is satisfied.

- (i) for each  $\varepsilon > 0$ ,  $\exists \delta > 0 \ni d_1(x, x_0) < \delta \Longrightarrow d_2(f(x), f(x_0)) < \varepsilon$ .
- (ii) for each open sphere  $S_{\varepsilon}(f(x_0))$  centered on  $f(x_0)$ ,  $\exists$  an open sphere  $S_{\delta}(x_0)$  centred on  $x_0 \ni f(S_{\delta}(x_0)) \subseteq S_{\varepsilon}(f(x_0))$ .

**<u>Theorem</u>**: Let X and Y be metric spaces and f is a mapping of X into Y. Then f is continuous at  $x_0$  if and only if  $x_n \to x_0 \Rightarrow f(x_n) \to f(x_0)$ . **<u>Proof</u>**: Suppose f is continuous at  $x_0$ . Let  $\{x_n\}$  be a sequence in X  $\ni x_n \to x_0$ . Let  $S_{\varepsilon}(f(x_0)$  be an open sphere centred at  $f(x_0)$ . Since f is continuous at  $x_0$ ,  $\exists$  an open sphere  $S_{\delta}(x_0) \ni f(S_{\delta}(x_0)) \subseteq S_{\varepsilon}(f(x_0))$ . Since  $x_n \to x_0$ ,  $\exists k \in \mathbb{Z}^+ \ni x_n \in S_{\delta}(x_0) \forall n \ge k$ . Then  $x_n \in S_{\delta}(x_0) \Rightarrow f(x_n) \in f(S_{\delta}(x_0)) \subseteq S_{\varepsilon}(f(x_0)) \forall n \ge k$ .  $\therefore f(x_n) \to f(x_0)$ .

Converse: Suppose  $x_n \to x_0 \Rightarrow f(x_n) \to f(x_0)$ . If possible, suppose that f is not continuous at  $x_0$ . Then  $\exists \epsilon > 0 \Rightarrow S_{\epsilon}(f(x_0))$  does not contain  $f(S_{\delta}(x_0))$  for any  $\delta > 0$ . For  $n \in \mathbb{N}, \frac{1}{n} > 0 \Rightarrow f\left(S_{\frac{1}{n}}(x_0)\right) \nsubseteq S_{\epsilon}(f(x_0))$ . Take  $x_n \in S_{\frac{1}{n}}(x_0) \Rightarrow f(x_n) \notin S_{\epsilon}(f(x_0))$ . Now  $\{x_n\}$  is a sequence of points from X and  $x_n \to x_0$ . Since  $x_n \to x_0$ , we have  $f(x_n) \to f(x_0)$  by hypothesis. Since  $\epsilon > 0, \exists k \in \mathbb{Z}^+ \Rightarrow d_Y(f(x_n), f(x_0)) < \epsilon \forall n \ge k$ .  $\Rightarrow f(x_n) \in S_{\epsilon}(f(x_0)) \forall n \ge k$ , a contradiction. Hence f is continuous.

**Definition**: Let X and Y be metric spaces. A mapping f:  $X \rightarrow Y$  is said to be continuous if f is continuous at every point of X.

<u>**Theorem</u></u>: Let X and Y be metric spaces and f a mapping of X into Y. Then f is continuous if and only if x\_n \to x \Rightarrow f(x\_n) \to f(x). <u><b>Proof**</u>: f is continuous iff f is continuous at  $x \forall x \in X$  iff  $x_n \to x \Rightarrow f(x_n) \to f(x) \forall x \in X$ . (by above theorem).</u>

**<u>Theorem</u>**: Let X and Y be metric spaces and f is a mapping of X into Y. Then f is continuous iff  $f^{-1}(G)$  is open in X whenever G is open in Y. **<u>Proof</u>**: Suppose f is continuous. Let G be an open set in Y. Let  $p \in f^{-1}(G) \Rightarrow f(p) \in G$ . Since G is open  $\exists \varepsilon > 0$ ,  $S_{\varepsilon}(f(p)) \subseteq G$ . Since f is continuous  $\exists \delta > 0$ ,  $\ni f(S_{\delta}(p)) \subseteq G$   $\Rightarrow S_{\delta}(p) \subseteq f^{-1}(G)$ .  $\Rightarrow$  p is an interior point of  $f^{-1}(G)$ .  $\therefore$  every point of  $f^{-1}(G)$  is an interior point. Hence  $f^{-1}(G)$  is open. Converse: Suppose  $f^{-1}(G)$  is open for all open sets G in Y. Let  $p \in X$ . Let  $\varepsilon > 0$ . Since  $S_{\varepsilon}(f(p))$  is open in Y,  $f^{-1}(S_{\varepsilon}f(p))$  is open in X. Since  $p \in f^{-1}(S_{\varepsilon}f(p)) \exists \delta > 0 \ni S_{\delta}(p) \subseteq f^{-1}(S_{\varepsilon}f(p)) \Rightarrow f(S_{\delta}(p)) \subseteq S_{\varepsilon}f(p)$ . This shows that f is continuous at p. Since p is an arbitrary point in X, f is continuous on X.

**Problem**: Let X and Y be metric spaces and  $\phi \neq A \subseteq X$ . If f, g are continuous mappings from X to Y  $\ni$  f(x) = g(x)  $\forall x \in A$  then f(y) = g(y)  $\forall y \in \overline{A}$ . **Solution**: Let  $y \in \overline{A}$ . If  $y \in A$  then g(y) = f(y). If  $y \notin A$ , then since  $A \neq X$ ,  $y \in \overline{A} \Rightarrow y$  is a limit point of A. Let  $y_n \in A \cap S_{\frac{1}{n}}(y) \setminus \{y\}$ . Consider  $\{y_n\}$ . Since for each n,  $y_n \in S_{\frac{1}{n}}(y)$ ,  $y_n \rightarrow y$ . Since f is continuous, f(y<sub>n</sub>)  $\rightarrow$  f(y). Since g is continuous, g(y<sub>n</sub>)  $\rightarrow$  g(y). Hence f(y) = lim f(y<sub>n</sub>) = lim g(y<sub>n</sub>) = g(y).

**Definition**: Let f be a mapping from metric space  $(X, d_1)$  to a metric space  $(Y, d_2)$ . Then f is said to be uniformly continuous on X if given  $\varepsilon > 0$ ,  $\exists \ \delta > 0 \Rightarrow d_1(x, x') < \delta \Rightarrow d_2(f(x), f(x')) < \varepsilon$ .

<u>**Theorem</u>**: Let X be a metric space, Y be a complete metric space and let A be a dense subspace of X. If f is uniformly continuous mapping of A into Y, then f can be extended uniquely to uniformly continuous mapping g:  $X \rightarrow Y$ .</u>

**<u>Proof</u>**: If A = X, then the conclusion is obvious. Assume that  $A \neq X$ . Then  $\exists$  point in X which is not in A.

Define  $g : X \to Y$  as follows. If  $x \in A$  then g(x) = f(x).

If  $x \notin A$ , then since  $A \neq X$ ,  $x \in \overline{A} \Rightarrow x$  is a limit point of some convergent sequence  $\{x_n\}$  in  $A \Rightarrow \{x_n\}$  is a Cauchy sequence in  $X \Rightarrow \{f(x_n)\}$  is a Cauchy sequence in Y since f is uniformly continuous. Since Y is complete  $\{f(x_n)\}$  is convergent sequence in Y. Define  $g(x) = \lim f(x_n)$ .

<u>Claim</u>: g is well defined. Let  $\{x_n\}$ ,  $\{y_n\}$  be sequences in A  $\ni x_n \to x$ ,  $y_n \to x$ . We know that  $d_1(x_n, y_n) \to d_1(x, x) = 0$ . Since f is uniformly continuous,  $d_2(f(x_n), f(y_n)) \to 0$ . Since  $d_2(f(x_n), f(y_n)) \to d_2(\lim f(x_n), \lim f(y_n)) = 0$ , we have  $\lim f(x_n) = \lim f(y_n)$ . Hence g is well defined.

**Claim**: g is uniformly continuous. Let  $\varepsilon > 0$ . Since f is uniformly continuous on A,  $\exists \delta > 0 \Rightarrow d_1(a, a') < \delta$  $\Rightarrow$  d<sub>2</sub>(f(a), f(a')) <  $\varepsilon \forall$  a, a'  $\in$  A... (i). Let x,  $x' \in X$  with  $d_1(x, x') < \delta/3$ . We show that  $d_2(g(x), g(x')) < 3\varepsilon$ . If  $x, x' \in A$ , then clearly  $d_1(x, x') \leq \delta \Rightarrow d_2(f(x), f(x')) \leq \epsilon \Rightarrow d_2(g(x), g(x')) \leq \epsilon$ . Suppose x,  $x' \notin A$ . Then x,  $x' \in \overline{A}$ .  $\Rightarrow \exists$  sequences  $\{x_n\}, \{x_n'\}$  in A  $\ni x_n \rightarrow x$  and  $x_n' \rightarrow x'$ . Since f is uniformly continuous on A, it follows that  $g(x) = \lim_{x \to \infty} f(x_n)$  and  $g(x') = \lim_{n \to \infty} f(x_n').$ Since  $x_n \to x$ ,  $x_n' \to x'$ ,  $\exists k \not i \forall n \ge k$ ,  $d_1(x_n, x) < \delta/3$  and  $d_1(x_n', x') < \delta/3$ . Now  $d_1(x_n, x_n') \le d_1(x_n, x) + d_1(x, x') + d_1(x', x_n') < \delta/3 + \delta/3 + \delta/3 = \delta$ .  $\Rightarrow$  d<sub>2</sub>(f(x<sub>n</sub>), f(x<sub>n</sub>')) <  $\varepsilon$  by (i)  $\forall$  n ≥ k. Now  $d_2(g(x), g(x')) \le d_2(g(x), f(x_n)) + d_2(f(x_n), f(x_n')) + d_2(f(x_n'), g(x')) \le 3\varepsilon$  for sufficiently large n. Hence  $d_1(x, x') < \delta \Rightarrow d_2(g(x), g(x')) < 3\epsilon$ . This is true for all  $\varepsilon > 0$ . Hence g is uniformly continuous.

 $\begin{array}{l} \underline{Claim}: g \text{ is unique.} \\ \text{Let } g_1, g_2 \text{ be two extensions of } f. \\ \text{If possible, suppose } g_1 \neq g_2. \\ \text{Since } g_1(a) = f(a) = g_2(a) \ \forall \ a \in A. \ g_1(x) \neq g_2(x) \ \text{for some } x \in X \setminus A. \\ \text{Since } x \in X = \overline{A}, \ \exists \ \text{sequence } \{x_n\} \ \text{in } A \ni x_n \rightarrow x. \\ \text{Let } S_1 \ \text{and } S_2 \ \text{be two disjoint spheres with the centers } g_1(x) \ \text{and } g_2(x) \ \text{respectively.} \\ \text{Since } g_1 \ \text{and } g_2 \ \text{are uniformly continuous, they are continuous.} \\ \therefore \ g_1^{-1}(S_1) \ \text{and } g_2^{-1}(S_2) \ \text{are open sets in } X \ni \ \text{that } x \in g_1^{-1}(S_1) \cap g_2^{-1}(S_2). \\ \therefore \ g_1^{-1}(S_1) \cap g_2^{-1}(S_2) \ \text{is open and } x_n \rightarrow x \ \exists \ k \ni x_n \in g_1^{-1}(S_1) \cap g_2^{-1}(S_2) \ \forall n \ge k. \end{array}$ 

Since  $x_n \in A$ ,  $g_1(x_n) = f(x_n) = g_2(x_n) \in S_1 \cap S_2$ , a contradiction as  $S_1 \cap S_2 = \phi$ . Hence  $g_1 = g_2$ .

**<u>Definition</u>**: Let  $(X, d_1)$ ,  $(Y, d_2)$  be two metric spaces and f:  $X \rightarrow Y$  a bijection. f is said to be an isometry if for any x,  $x' \in X$ ,  $d_2(f(x), f(x')) = d_1(x, x')$ .

#### SPACES OF CONTINUOUS FUNCTIONS

A normed linear space X is a linear space in which there is defined a real number ||x|| for every element x satisfying, (i)  $||x|| \ge 0$  and ||x|| = 0 iff x = 0 (ii)  $||x + y|| \le ||x|| + ||y||$ , (iii) ||ax|| = |a|||x|| scalar a and x,  $y \in X$ .

**Definition**: A Banach space is a normed linear space which is complete as a metric space.

**Lemma**: If f and g are continuous real functions defined on a metric space (X, d) then f + g and af are also continuous, where a is any real number.

**<u>Proof</u>**: Let  $\varepsilon > 0$ . Take  $x_0 \in X$ . Since  $\varepsilon/2 > 0$  and f is continuous,  $\exists \delta_1 > 0 \ni x \in X$ ,  $d(x, x_0) < \delta_1 \Rightarrow |f(x) - f(x_0)| < \varepsilon/2$ . Since g is continuous  $\exists \delta_2 > 0 \ni x \in X$ ,  $d(x, x_0) < \delta_2 \Rightarrow |g(x) - g(x_0)| < \varepsilon/2$ . Take  $\delta = \min \{\delta_1, \delta_2\}$ . Now  $d(x, x_0) < \delta \Rightarrow |(f + g)(x) - (f + g)(x_0)| \le |f(x) - f(x_0)| + |g(x) - g(x_0)| < \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon$ .  $\therefore$  f + g is continuous.

Let  $\varepsilon > 0$ . Corresponding to  $\varepsilon' = \varepsilon / |a| > 0$ , since f is continuous,  $\exists \delta > 0 \ni x \in X$ ,  $d(x, x_0) < \delta \Rightarrow |f(x) - f(x_0)| < \varepsilon'$ .

Now let  $x \in X$ ,  $d(x, x_0) < \delta$ Then  $|af(x) - af(x_0)| = |a||f(x) - f(x_0)| < |a| \varepsilon' = \varepsilon$ . Hence af is continuous.

<u>Note</u>: Consider a non – empty set X. Write  $L = \{f / f: X \rightarrow \mathbb{R}\}$ .

Define (f + g)(x) = f(x) + g(x) for f,  $g \in L$  and for any a in  $\mathbb{R}$ ,  $f \in L$ , (af)(x) = a{f(x)}. With these operations L is a linear space over  $\mathbb{R}$ . Let B = {f / f : X  $\rightarrow \mathbb{R}$ , f is bounded}. Then B is a linear subspace of L. Write C(X,  $\mathbb{R}$ ) = {f / f : X  $\rightarrow \mathbb{R}$ , f is continuous and bounded} where (X, d) is a metric space. Clearly C(X,  $\mathbb{R}$ )  $\subseteq$  B. **Lemma**:  $C(X, \mathbb{R})$  is a closed subset of the metric space B. **Proof**: Clearly  $C(X, \mathbb{R}) \subseteq B$ . Let  $f \in \overline{C(X, \mathbb{R})}$ . Let  $\varepsilon > 0$  and  $x_0 \in X$ . Let d be the metric on X. Since  $\varepsilon/3 > 0$  and  $f \in \overline{C(X, \mathbb{R})} \exists f_0 \in C(X, \mathbb{R}) \ni ||f - f_0|| < \varepsilon/3$ . Now for any  $x \in X$ ,  $|f(x) - f_0(x)| \le \sup \{|f(x) - f_0(x)| / x \in X\} = ||f - f_0|| < \varepsilon/3$ . Since  $f_0 \in C(X, \mathbb{R})$  it is continuous at  $x_0$ .  $\therefore \exists \delta > 0 \ni d(x, x_0) < \delta \Rightarrow |f_0(x) - f_0(x_0)| < \varepsilon/3$ . Now  $d(x, x_0) < \delta \Rightarrow |f(x) - f(x_0)| \le |f(x) - f_0(x)| + |f_0(x) - f_0(x_0)| + |f_0(x_0) - f(x_0)| < \varepsilon/3 + \varepsilon/3 + \varepsilon/3 = \varepsilon$ .  $\therefore$  f is continuous at  $x_0$ .

Since  $x_0$  is arbitrary we have that  $f \in C(X, \mathbb{R})$ .  $\therefore \overline{C(X, \mathbb{R})} = C(X, \mathbb{R})$ . Hence  $C(X, \mathbb{R})$  is closed.

<u>Theorem</u>: The set  $C(X, \mathbb{R})$  of all bounded and continuous real functions defined on a metric space X is a real Banach space with respect to pointwise addition and scalar multiplication, and the norm defined by  $||f|| = \sup |f(x)|$ .

**<u>Proof</u>**: By a lemma f + g, af  $\in C(X, \mathbb{R})$  for any f,  $g \in C(X, \mathbb{R})$  and  $a \in \mathbb{R}$ . With respect to these operations  $C(X, \mathbb{R})$  is a linear space.

Define  $||f|| = \sup |f(x)|$  for any  $f \in C(X, \mathbb{R})$ . This is a norm.

 $\therefore$  C(X,  $\mathbb{R}$ ) is a normed linear space.

If we define d(f, g) = ||f - g|| then d is a metric on  $C(X, \mathbb{R})$ . With respect to this metric  $C(X, \mathbb{R})$  is a closed subset of B. Since B is complete and  $C(X, \mathbb{R})$  is closed subset of **B**,  $C(X, \mathbb{R})$  is complete. Hence  $C(X, \mathbb{R})$  is a Banach space.

<u>Note</u>: Let (X, d) be a metric space. Write  $C(X, \mathbb{C}) = \{f: f: X \to \mathbb{C}, f \text{ is bounded and continuous}\}$ . Define  $||f|| = \sup |f(x)|$  for any  $f \in C(X, \mathbb{C})$ . Then  $C(X, \mathbb{C})$  is a normed complex linear space.

<u>**Theorem</u>**: The set  $C(X, \mathbb{C})$  of all bounded and continuous complex functions defined on a metric space X is a complex Banach space with respect to pointwise addition and scalar multiplication, and the norm defined by  $||f|| = \sup |f(x)|$ .</u>

# EUCLIDEAN AND UNITARY SPACES.

Note: Let n be a fixed positive integer. Then  $\mathbb{R}^n = \{(x_1, x_2, ..., x_n) | x_i \in \mathbb{R}, 1 \le i \le n\}$ . Clearly  $\mathbb{R}^n$  is a linear space over  $\mathbb{R}$ . For  $x = (x_1, x_2, ..., x_n)$  define Euclidean norm  $||x|| = \sqrt{|x_1|^2 + |x_2|^2 + \cdots + |x_n|^2}$ .

**Lemma:** (Cauchy Inequality) Let  $x = (x_1, x_2, ..., x_n)$  and  $y = (y_1, y_2, ..., y_n)$  be two n - tuples of real (or complex) numbers. Then  $\sum_{i=1}^{n} |x_i y_i| \le ||x|| ||y||$ . Ie  $\sum_{i=1}^{n} |x_i y_i| \le \left(\sum_{i=1}^{n} |x_i|^2\right)^{\frac{1}{2}} \left(\sum_{i=1}^{n} |y_i|^2\right)^{\frac{1}{2}}$ **Proof**: Let a, b be any two non – negative real numbers. Then  $(a - b)^2 \ge 0 \Rightarrow a^2 + b^2 \ge 2ab$  $\Rightarrow$  (a + b)<sup>2</sup>  $\geq$  4ab  $\Rightarrow \frac{a+b}{2} \ge (ab)^{\frac{1}{2}}...(i).$ If x = 0 or y = 0 then  $\sum_{i=1}^{n} |x_i y_i| = 0 = ||x|| ||y||$ . Assume  $x \neq 0$  and  $y \neq 0$ . Take  $a_i = \frac{|x_i|^2}{\|x\|^2}$  and  $b_i = \frac{|y_i|^2}{\|y\|^2}$ . From (i)  $\frac{|x_i||y_i|}{\|x\|\|y\|} \le \frac{\frac{|x_i|^2}{\|x\|^2} + \frac{|y_i|^2}{\|y\|^2}}{2}$  for  $1 \le i \le n$ . Now summing  $\sum_{i=1}^{n} \frac{|x_i||y_i|}{\|x\|\|y\|} \le \sum_{i=1}^{n} \frac{\frac{|x_i|^2}{\|x\|^2} + \frac{|y_i|^2}{\|y\|^2}}{2}$  $=\frac{\sum_{i=1}^{n}\frac{|x_{i}|^{2}}{||x||^{2}}+\sum_{i=1}^{n}\frac{|y_{i}|^{2}}{||y||^{2}}}{2}=\frac{\sum_{i=1}^{n}|x_{i}|^{2}}{||x||^{2}}+\frac{\sum_{i=1}^{n}|y_{i}|^{2}}{||y||^{2}}}{2}=\frac{||x||^{2}}{2}+\frac{||y||^{2}}{||x||^{2}}+\frac{||y||^{2}}{||y||^{2}}}{2}=\frac{1+1}{2}=1.$  $\therefore \frac{\sum_{i=1}^{n} |x_i y_i|}{\|x\|\|y\|} \le 1 \Longrightarrow \sum_{i=1}^{n} |x_i y_i| \le \|x\| \|y\|$ **Lemma**: Minkowski's inequality. Let  $x = (x_1, x_2, ..., x_n)$  and  $y = (y_1, y_2, ..., y_n)$  be two n – tuples of real (or complex) numbers. Then  $||x + y|| \le ||x|| + ||y||$ . Or in other words  $(\sum_{i=1}^{n} |x_i + y_i|^2)^{1/2} \le (\sum_{i=1}^{n} |x_i|^2)^{1/2} + (\sum_{i=1}^{n} |y_i|^2)^{1/2}.$ **Proof:** If ||x + y|| = 0 then clearly  $||x + y|| \le ||x|| + ||y||$ . Suppose  $||x + y|| \neq 0$ . Then  $||x + y||^2 = \sum_{i=1}^n |x_i + y_i|^2$  $=\sum_{i=1}^{n} |x_i + y_i| |x_i + y_i|$  $\leq \sum_{i=1}^{n} |x_i + y_i| (|x_i| + |y_i|)$  since  $|x_i + y_i| \leq |x_i| + |y_i|$  $=\sum_{i=1}^{n} |x_{i} + y_{i}| |x_{i}| + \sum_{i=1}^{n} |x_{i} + y_{i}| |y_{i}|$  $\leq ||x + y|| ||x|| + ||x + y|| ||y||$  by Cauchy's inequality. = ||x + y||(||x|| + ||y||).ie.  $||x + y||^2 \le ||x + y||(||x|| + ||y||)$ . Hence  $||x + y|| \le ||x|| + ||y||$  since  $||x + y|| \ne 0$ .

**<u>Problem</u>**: Show that Int  $F = \phi$  where F is the Cantor's set.



Fax: 08816-227318 off: 08816-224072, 224119,228342 Mobile: 9491334119 ANTULURI NARAYANA RAJU COLLEGE

(Autonomous) BHIMAVARAM, W.G.DIST, ANDHRA PRADESH, INDIA, PIN- 534202. (Accredited at 'B<sup>++</sup>, level by NAAC) (Affiliated to Adikavi Nannaya University, Rajamahendravaram)

E – CONTENT PAPER: M 104, TOPOLOGY M. Sc. I YEAR, SEMESTER - I UNIT – II: TOPOLOGICAL SPACES

PREPARED BY K, C. TAMMI RAJU, M. Sc. HEAD OF THE DEPARTMENT DEPARTMENT OF MATHEMATICS, PG COURSES DNR COLLEGE (A), BHIMAVARAM – 534202

# **TOPOLOGICAL SPACES**.

# (104: TOPOLOGY, UNIT II)

**Definition**: Let X be a non – empty set. A family  $\tau$  of subsets of X is called a topology on X if it satisfies the following conditions:

- (i)  $\tau$  is closed under unions, and
- (ii)  $\tau$  is closed under finite intersections.

If  $\tau$  is a topology on X, then  $(X, \tau)$  is called a topological space. The members of  $\tau$  are called open sets.

<u>Note</u>: Since the union of empty class of sets is empty,  $\phi \in \tau$ . Since the intersection of empty class of sets is X,  $X \in \tau$ . Hence in any topology  $\tau$  on X,  $\phi$ ,  $X \in \tau$ .

**Definition**: Let X be a non – empty set and  $\tau$  be the family of all subsets of X. Then  $\tau$  is a topology on X and it is called the discrete topology on X, and  $(X, \tau)$  is called discrete topological space.

<u>Note</u>: in this case every subset of X is open.

**Definition**: Let X be a non – empty set and  $\tau = \{\phi, X\}$ . Then  $\tau$  is a topology on X and it is called the indiscrete topology on X, and  $(X, \tau)$  is called indiscrete topological space.

<u>Note</u>: in this case the only open sets are  $\phi$  and X.

**Example:** Let  $X = \{a, b, c\}$  where a, b, c are distinct and (i)  $\tau = \{\phi, \{a\}, \{b\}, \{a, b\}, X\}$ . Then  $\tau$  is a topology on X. (ii)  $\tau = \{\phi, \{b\}, \{c\}, \{b, c\}, X\}$ . Then  $\tau$  is a topology on X. (iii)  $\tau = \{\phi, \{a\}, \{a, b\}, X\}$ . Then  $\tau$  is a topology on X. (iv)  $\tau = \{\phi, \{a\}, X\}$ . Then  $\tau$  is a topology on X. (v)  $\tau = \{\phi, \{a\}, \{b\}, X\}$ . Then  $\tau$  is not a topology on X.(vi)  $\tau = \{\phi, \{a, c\}, \{b, c\}, \{a, b\}, X\}$ . Then  $\tau$  is not a topology on X. (vi)  $\tau = \{\phi, \{a, c\}, \{b, c\}, \{a, b\}, X\}$ . Then  $\tau$  is not a topology on X. (vi)  $\tau = \{\phi, \{a, c\}, \{b, c\}, \{a, b\}, X\}$ . Then  $\tau$  is not a topology on X. (vi)  $\tau = \{\phi, \{a\}, \{a, c\}, \{b, c\}, \{a, b\}, X\}$ . Then  $\tau$  is not a topology on X.

**Example**: Let (X, d) be any metric space. Let  $\Im$  be the set of all open sets with respect to metric d. Then  $\Im$  is a topology called usual topology on the metric space (X, d).

**Definition**: A metrizable space is a topological space X with the property that there exists at least one metric on the set X whose class of generated open sets is precisely the given topology.

**Problem**: Let X be a non – empty set and  $\Im$  be the discrete topology on X. Show that  $(X, \mathfrak{I})$  is a metrizable space.

**<u>Proof</u>**: Define d :  $X \times X \rightarrow \mathbb{R}$  by d(x, y) = 0 if x = y, and d(x, y) = 1 if x \neq y. Then (X, d) is a metric space. Here  $S_{1/2}(x)$  is an open set and  $S_{1/2}(x) = \{x\}$ .

 $\therefore$  {x} is open  $\forall x \in X$ . For any subset A of X, since A =  $\bigcup_{a \in A} \{a\}$ , A is open in (X, d). Hence every subset of X is open in (X, d).

 $\therefore$  The open sets in (X,  $\Im$ ) and open sets in (X, d) are same. Hence  $(X, \mathfrak{I})$  is metrizable.

**Problem**: Let X be a non – empty set  $\exists |X| \ge 2$  and  $\Im$  be indiscrete topology on X. Show that  $(X, \mathfrak{I})$  is not metrizable space.

**Proof**: Given  $\mathfrak{T} = \{\phi, X\}$ .  $\therefore$  The only open sets in  $(X, \mathfrak{T})$  are  $\phi$  and X. If possible, suppose  $(X, \Im)$  is metrizable.  $\Rightarrow \exists$  a metric d on X  $\Rightarrow$  the open sets in (X, d) are precisely the open sets in (X,  $\Im$ ). Since  $|X| \ge 2$ ,  $\exists a, b \in X \ni a \neq b$ . Take r = d(a, b) > 0. Then  $Sr_{/2}(a)$  and  $Sr_{/2}(b)$  are disjoint non – empty open sets.

Now  $\phi \neq Sr_{/2}(a) \in \mathfrak{I} = \{\phi, X\} \Rightarrow Sr_{/2}(a) = X$ 

 $\Rightarrow$  b  $\in$  X =  $Sr_{/2}(a)$ , a contradiction.

Hence  $(X, \mathfrak{I})$  is not metrizable.

**<u>Theorem</u>**: Let  $\mathfrak{I}_1$  and  $\mathfrak{I}_2$  be two topologies on a non – empty set X. Show that  $\mathfrak{I}_1 \cap \mathfrak{I}_2$  is a topology on X.

**<u>Proof</u>**: Let  $\{G_i\}_{i \in I}$  be an arbitrary collection of elements from  $\mathfrak{I}_1 \cap \mathfrak{I}_2$ . Since  $\mathfrak{I}_1 \cap \mathfrak{I}_2 \subseteq \mathfrak{I}_1$  and  $\mathfrak{I}_1 \cap \mathfrak{I}_2 \subseteq \mathfrak{I}_2, \{G_i\}_{i \in I}$  is a collection of elements from  $\mathfrak{I}_1$  as well as  $\mathfrak{I}_2$ . Since  $\mathfrak{I}_1$  and  $\mathfrak{I}_2$  are topologies  $\cup G_i \in \mathfrak{I}_1$  and  $\cup G_i \in \mathfrak{I}_2$  $\Rightarrow \cup G_i \in \mathfrak{J}_1 \cap \mathfrak{J}_2.$ 

 $\therefore \mathfrak{I}_1 \cap \mathfrak{I}_2$  is closed under arbitrary unions.

Let  $G_i$ ,  $1 \le i \le n$  be a finite collection of elements from  $\mathfrak{I}_1 \cap \mathfrak{I}_2$ . Since  $\mathfrak{I}_1 \cap \mathfrak{I}_2 \subseteq \mathfrak{I}_1$  and  $\mathfrak{I}_1 \cap \mathfrak{I}_2 \subseteq \mathfrak{I}_2$ ,  $G_i$ ,  $1 \le i \le n$  is a collection of elements from  $\mathfrak{I}_1$  as well as  $\mathfrak{I}_2$ . Since  $\mathfrak{I}_1$  and  $\mathfrak{I}_2$  are topologies  $\bigcap_{i=1}^n G_i \in \mathfrak{I}_1$  and  $\bigcap_{i=1}^n G_i \in \mathfrak{I}_2$ 

 $\Rightarrow \bigcap_{i=1}^n G_i \in \mathfrak{I}_1 \cap \mathfrak{I}_2.$ 

 $\therefore \mathfrak{I}_1 \cap \mathfrak{I}_2$  is closed under finite intersections.

Hence  $\mathfrak{I}_1 \cap \mathfrak{I}_2$  is a topology on X.

**Definition**: Let  $(X, \mathfrak{I})$  be a topological space and Y be a non – empty subset of X. Let  $\mathfrak{I}_Y = \{A \mid A = Y \cap G, G \in \mathfrak{I}\}$ . Then  $(Y, \mathfrak{I}_Y)$  is a topological space and  $\mathfrak{I}_Y$  is called the *relative topology* on Y, and  $(Y, \mathfrak{I}_Y)$  is called *subspace* of  $(X, \mathfrak{I})$ .

**<u>Example</u>**: Let  $X = \{a, b, c\}$  of distinct elements and  $\mathfrak{I} = \{\phi, \{a\}, \{a, c\}, \{a, b\}, X\}$ . Then  $\mathfrak{I}$  is a topology on X. Let  $Y = \{a, b\}$ .

Then  $\mathfrak{J}_Y = \{Y \cap G \mid G \in \mathfrak{J}\} = \{\phi, \{a\}, Y\}$  is a relative topology on Y. So  $(Y, \mathfrak{J}_Y)$  is

a *subspace* of  $(X, \mathfrak{I})$ .

**<u>Problem</u>**: Verify that a subspace  $(Y, \mathfrak{I}_Y)$  of topological space  $(X, \mathfrak{I})$  is itself a topological space.

**Solution**: Let  $\{H_{\alpha}: \alpha \in \Delta\}$  be a collection of elements from  $\mathfrak{I}_{Y}$ .  $\therefore$  for each  $\alpha$ ,  $H_{\alpha} = Y \cap G_{\alpha}$  for some  $G_{\alpha} \in \mathfrak{I}$ . Since  $\cup G_{\alpha} \in \mathfrak{I}, \cup H_{\alpha} = \cup (Y \cap G_{\alpha}) = Y \cap (\cup G_{\alpha}) \in \mathfrak{I}_{Y}$ . Hence  $\mathfrak{I}_{Y}$  is closed under arbitrary unions.

Let  $H_i$ ,  $1 \le i \le n$  be a finite collection of elements from  $\mathfrak{I}_Y$ . Then  $H_i = Y \cap G_i$  for some  $G_i \in \mathfrak{I}$  for  $1 \le i \le n$ . Since  $\bigcap_{i=1}^n G_i \in \mathfrak{I}$ ,  $\bigcap_{i=1}^n H_i = \bigcap_{i=1}^n (Y \cap G_i) = Y \cap \bigcap_{i=1}^n G_i \in \mathfrak{I}_Y$ .  $\therefore \mathfrak{I}_Y$  is closed under finite intersections also. Hence  $\mathfrak{I}_Y$  is itself a topology on Y.

**<u>Problem</u>**: Let X be an infinite set and  $\mathfrak{I}$  consist of empty set together with all the subsets of X whose complements are finite. Show that  $(X, \mathfrak{I})$  is a topological space. This topology is called the topology of finite complements.

**Solution**: Given  $\mathfrak{I} = \{\phi\} \cup \{A \subseteq X \ni X \setminus A \text{ is finite}\}.$ (i) Let  $\{G_{\alpha}\}$  be any class of sets from  $\mathfrak{I}$ . If each  $G_{\alpha}$  is empty, then  $\cup G_{\alpha}$  is also empty and hence  $\cup G_{\alpha} \in \mathfrak{I}$ . Now suppose  $\exists \alpha_0 \ni G_{\alpha_0} \neq \phi$ . Then  $X \setminus (\bigcup G_{\alpha}) \subseteq X \setminus G_{\alpha_0}$  since  $G_{\alpha_0} \subseteq \bigcup G_{\alpha}$   $\therefore X \setminus (\bigcup G_{\alpha})$  is finite since  $X \setminus G_{\alpha_0}$  is finite.  $\therefore \bigcup G_{\alpha} \in \mathfrak{F}$ .  $\Rightarrow \mathfrak{F}$  is closed under arbitrary unions. (ii) Let  $G_i \in \mathfrak{F}$  for  $1 \le i \le n$ . Let  $G = \bigcap_{i=1}^n G_i$ . If at least one  $G_i = \phi$  then  $G = \bigcap_{i=1}^n G_i = \phi \in \mathfrak{F}$ . Suppose  $G_i \neq \phi \forall i \ni 1 \le i \le n$ . Since  $\phi \neq G_i \in \mathfrak{F}$ ,  $X \setminus G_i$  is finite  $\forall i \ni 1 \le i \le n$ . Now  $X \setminus G = X \setminus \bigcap_{i=1}^n G_i = \bigcup_{i=1}^n X \setminus G_i$  is finite since finite union of finite sets is finite.  $\Rightarrow G \in \mathfrak{F}$ .

Hence  $\mathfrak{T}$  is closed under finite intersections. Hence  $(X, \mathfrak{T})$  is a topological space.

**<u>Problem</u>**: Let X be an uncountable set and  $\mathfrak{I}$  consist of empty set together with all the subsets of X whose complements are countable. Show that  $(X, \mathfrak{I})$  is a topological space.

Solution: Let X be an uncountable set. Given  $\mathfrak{T} = \{\phi\} \cup \{A \subset X \ni X \setminus A \text{ is countable}\}.$ (i) Let  $\{G_{\alpha}\}$  be any class of sets from  $\mathfrak{I}$ . If each  $G_{\alpha}$  is empty, then  $\cup G_{\alpha}$  is also empty and hence  $\cup G_{\alpha} \in \mathfrak{J}$ . Now suppose  $\exists \alpha_0 \ni G_{\alpha_0} \neq \phi$ . Then  $X \setminus (\bigcup G_{\alpha}) \subseteq X \setminus G_{\alpha_0}$  since  $G_{\alpha_0} \subseteq \bigcup G_{\alpha}$  $\therefore$  X \ ( $\cup$ G<sub> $\alpha$ </sub>) is countable since X \  $G_{\alpha_0}$  is countable.  $\therefore \cup G_{\alpha} \in \mathfrak{T}$ .  $\Rightarrow \mathfrak{T}$  is closed under arbitrary unions. (ii) Let  $G_i \in \mathfrak{T}$  for  $1 \le i \le n$ . Let  $G = \bigcap_{i=1}^n G_i$ . If at least one  $G_i = \phi$  then  $G = \bigcap_{i=1}^n G_i = \phi \in \mathfrak{I}$ . Suppose  $G_i \neq \phi \forall i \ni 1 \le i \le n$ . Since  $\phi \neq G_i \in \mathfrak{J}$ , X\G<sub>i</sub> is countable  $\forall i \ni 1 \le i \le n$ . Now X \ G = X \  $\bigcap_{i=1}^{n} G_i = \bigcup_{i=1}^{n} X \setminus G_i$  is countable since finite union of countable sets is countable.  $\Rightarrow$  G  $\in$   $\mathfrak{I}$ . Hence  $\Im$  is closed under finite intersections.

Hence  $(X, \mathfrak{I})$  is a topological space.

**Definition**: Let X and Y be topological spaces and f a mapping of X into Y. f is called a *continuous* mapping if  $f^{-1}(G)$  is open in X whenever G is open in Y. f is said to be an *open* **mapping** if f(G) is open in Y whenever G is open in X. If f is continuous, then f(X) is called continuous image of X. If f is a bijection, continuous mapping and open mapping then f is called a *homeomorphism*. If  $f: X \to Y$  is a homeoporphism then X and Y are said to be *homeomorphic*. In this Y is called a *homeorphic image* of X.

# ELEMENTARY CONCEPTS

**Definition**: A *closed set* in a topological space is a set whose complement is open.

**Theorem:** Let  $(X, \mathfrak{I})$  be a topological space. Then (i) any intersection of closed sets in X is closed and (ii) any finite union of closed sets in X is closed.

**<u>Proof</u>**: (i) Let  $\{F_i\}$  be a class of closed sets in  $X \Rightarrow F_i' \in \mathfrak{I}$  for all  $i \in I$ .

 $\Rightarrow \bigcup_{i \in I} F_i' \in \mathfrak{I}$  $\Rightarrow (\bigcup_{i \in I} F_i')' \text{ is a closed set}$  $\Rightarrow [(\bigcap_{i \in I} F_i)']' = \bigcap_{i \in I} F_i \text{ is closed.}$  $\therefore \text{ any intersection of closed sets in X is closed}$ 

(ii) Let  $F_i$ ,  $1 \le i \le n$  be closed sets

$$\Rightarrow$$
 F<sub>i</sub>'  $\in$   $\mathfrak{I}$  for  $1 \leq i \leq n$ .

 $\Rightarrow \bigcap_{i=1}^{n} F_i' \in \mathfrak{I}$ 

 $\Rightarrow (\bigcap_{i=1}^{n} F_{i}')'$  is a closed set.

 $\Rightarrow [(\bigcup_{i=1}^{n} F_i)']' = \bigcup_{i=1}^{n} F_i \text{ is closed.}$ 

 $\therefore$  any finite union of closed sets in X is closed.

**Definition**: Let  $(X, \mathfrak{J})$  be a topological space and  $A \subseteq X$ . The intersection of all closed super sets of A, is called the closure of A denoted by  $\overline{A}$ .

<u>Note</u>: A is closed iff  $A = \overline{A}$ .

Suppose A is closed. Clearly  $A \subseteq \overline{A}$ .  $\overline{A} \subseteq A$  since A is a closed superset of A and  $\overline{A}$  is the intersection of all closed super sets of A. Hence  $A = \overline{A}$ . Conversely suppose  $A = \overline{A}$ .  $\overline{A}$  is closed since intersection of closed sets is closed and  $\overline{A}$  is the intersection of all closed supersets of A.

 $\therefore$  A is closed.

**<u>Definition</u>**: A subset A of X, where  $(X, \mathfrak{J})$  is a topological space, is called *dense (everywhere dense)* if  $\overline{A} = X$ .

A topological space X is said to be a *separable* space if it has a countable dense subset.

**Theorem**: Let X be a topological space. If A and B are arbitrary subsets of X, then the operation of forming closure has the following four properties. (i)  $\overline{\phi} = \phi$  (ii)  $A \subset \overline{A}$ . (iii)  $\overline{A} = \overline{A}$  and (iv)  $\overline{A \cup B} = \overline{A} \cup \overline{B}$ . **Proof**: (i) Since X is open X' =  $\phi$  is closed so that  $\overline{\phi} = \phi$ . (ii) Since  $\overline{A}$  is the intersection of all closed supersets of A, A  $\subseteq \overline{A}$ . (iii) Since  $\overline{A}$  is closed  $\overline{\overline{A}} = \overline{A}$ . (iv)  $A \cup B \subset \overline{A} \cup \overline{B}$  since  $A \subset \overline{A}$  and  $B \subset \overline{B}$ . Ie.  $\overline{A} \cup \overline{B}$  is a closed superset of  $A \cup B$ .  $\Rightarrow \overline{A \cup B} \subseteq \overline{A} \cup \overline{B}.$ Again A  $\subset$  A  $\cup$  B  $\subset$   $\overline{A \cup B}$ ie.  $\overline{A \cup B}$  is a closed super set of A and since  $\overline{A}$  is the intersections of all closed super sets of A,  $\overline{A} \subseteq \overline{A \cup B}$ . Similarly  $\overline{B} \subseteq \overline{A \cup B}$ .  $\therefore \bar{A} \cup \bar{B} \subseteq \overline{A \cup B}$ Hence  $\overline{A \cup B} = \overline{A} \cup \overline{B}$ . **Note**:  $A \subseteq B \Rightarrow \overline{A} \subseteq \overline{B}$ .

**<u>Proof</u>**: Since  $A \subseteq B, A \cup B = B$  $\therefore \overline{B} = \overline{A \cup B} = \overline{A} \cup \overline{B} \Rightarrow \overline{A} \subseteq \overline{B}.$ 

**Definition**: A nbd of  $x \in X$ , where  $(X, \mathfrak{J})$  is a topological space, is  $G \in \mathfrak{J}$  (an open set G)  $\ni x \in G$ . A class of nbds of a point  $x \in X$  is called an *open base* for the point if for each nbd G of  $x \exists a$  nbd H in this class  $\ni H \subseteq G$ .

#### Example:

<u>**Theorem</u>**: Let  $(X, \mathfrak{I})$  be a topological space and A be an arbitrary subset of X. Then  $\overline{A} = \{x \mid \text{ each neighbourhood of } x \text{ intersects } A\}$ </u>

**Proof**: Let  $x \in \overline{A}$ . If possible, suppose  $x \notin \{x \mid \text{each neighbourhood of } x \text{ intersects } A\}$ .  $\Rightarrow \exists a \text{ nbd } G \text{ of } x \ni G \cap A = \phi$ .  $\Rightarrow A \subseteq G'$ .  $\Rightarrow \overline{A} \subseteq \overline{G'} = G' \text{ since } G' \text{ is closed.}$   $\Rightarrow x \in G', a \text{ contradiction.}$  $\Rightarrow x \in \{x \mid \text{each neighbourhood of } x \text{ intersects } A\}$ 

⇒  $x \in \{x \mid \text{each neighbourhood of } x \text{ intersects } A\}$ Conversely suppose  $x \in \{x \mid \text{each neighbourhood of } x \text{ intersects } A\}$ . If possible, suppose  $x \notin \overline{A}$ . ⇒  $x \in (\overline{A})'$  and  $(\overline{A})'$  is open. ⇒  $(\overline{A})' \cap A \neq \phi$ , a contradiction. Hence  $x \in \overline{A}$ .

**Definition**: Let X be a topological space and  $A \subseteq X$ . A point x in A is said to be an *isolated point* of A if  $\exists$  nbd G of x  $\ni$  (G  $\cap$  A) \ {x} =  $\phi$ . A point x  $\in$  X is said to be a *limit point* of A if (G  $\cap$  A) \ {x}  $\neq \phi$  for every nbd G of x. The *derived set* denoted by D(A) is the set of all limit points of A.

**<u>Theorem</u>**: Let X be a topological space and  $A \subseteq X$ . Then (i)  $\overline{A} = A \cup D(A)$  and (ii) A is closed iff  $D(A) \subseteq A$ . **<u>Proof</u>**: Suppose  $x \in A \cup D(A)$ . If possible suppose  $x \notin \overline{A}$ .  $\Rightarrow \exists nbd. G \text{ of } x \ni G \cap A = \phi$ .  $\Rightarrow x \notin A \text{ and } (G \cap A) \setminus \{x\} = \phi$ .  $\Rightarrow x \notin A \text{ and } x \notin D(A) \Rightarrow x \notin A \cup D(A) \text{ a contradiction.} \therefore x \in \overline{A}$ . Conversely suppose  $x \in \overline{A}$ . If possible, suppose  $x \notin \overline{A} \cup D(A)$ .  $\Rightarrow x \notin A \text{ and } x \notin D(A)$ .  $\Rightarrow x \notin A \text{ and } x \text{ is not a limit point of } A$ .  $\Rightarrow x \notin A \text{ and } x \text{ is not a limit point of } A$ .  $\Rightarrow x \notin A \text{ and } \exists nbd. G \text{ of } x \ni (G \cap A) \setminus \{x\} = \phi$ .  $\Rightarrow G \cap A = \phi \Rightarrow x \notin \overline{A}$ , a contradiction.

 $\therefore$  x  $\in$  A  $\cup$  D(A). Hence  $\overline{A} = A \cup D(A)$ .

(ii) A is closed iff  $A = \overline{A}$  iff  $A = A \cup D(A)$  iff  $D(A) \subseteq A$ .

**Problem:** Let  $f: X \to Y$  be a mapping of one topological space into another. Show that (i) f is continuous, iff (ii)  $f^{-1}(F)$  is closed in X whenever F is closed in Y, iff (iii)  $f(\overline{A}) \subseteq \overline{f(A)}$  for every subset A of X.

**Proof**: (i)  $\Rightarrow$  (ii). Assume (i). Let F be a closed set in Y  $\Rightarrow$  F' is open  $\Rightarrow$  f<sup>-1</sup>(F') = [f<sup>-1</sup>(F)]' is open in X, since f is continuous.  $\Rightarrow$  f<sup>-1</sup>(F) is closed. (ii)  $\Rightarrow$  (i). Assume (ii). Let G be open in Y.  $\Rightarrow$  G' is closed  $\Rightarrow$  f<sup>-1</sup>(G') = [f<sup>-1</sup>(G)]' is closed by (ii).  $\Rightarrow$  f<sup>-1</sup>(G) is open in X. Hence f is continuous. (ii)  $\Rightarrow$  (iii) Assume (ii). Let  $A \subseteq X$ .  $\overline{f(A)}$  is closed in Y.  $\Rightarrow f^{-1}\overline{f(A)}$  is closed in X. Since  $A \subseteq f^{-1}\overline{f(A)}$ ,  $\overline{A} \subseteq f^{-1}\overline{f(A)}$  $\Rightarrow f(\overline{A}) \subset \overline{f(A)}$ (iii)  $\Rightarrow$  (ii). Assume (iii). Let F be a closed set in Y. Write  $A = f^{-1}(F) \Rightarrow f(A) = F$  $\Rightarrow \overline{f(A)} = \overline{F} = F$  (since F is closed) = f(A). By (iii),  $f(\overline{A}) \subseteq \overline{f(A)} = f(A)$  $\Rightarrow \overline{A} \subset A.$  $\therefore A = \overline{A} \Rightarrow A = f^{-1}(F)$  is closed.

**Theorem**: Let X be a non – empty set and there be given a class of subsets of X which is closed under the formation of arbitrary intersections and finite unions. Then the class of all complements of these sets is a topology on X whose closed sets are precisely those initially given.

**<u>Proof</u>**: Suppose  $\{F_i\}$  is the collection of given sets which is closed under arbitrary intersections and finite unions.

Write  $\mathfrak{T} = \{F_i' \mid i \in \Delta\}$ . Let  $\{F_i'\}_{i \in I}$  where  $I \subseteq \Delta$  be a collection of sets from  $\mathfrak{T}$ . Now  $\cup F_i' = (\cap F_i)'$  and since  $\cap F_i \in \{F_i\}_{i \in \Delta}, (\cap F_i)' \in \mathfrak{T}$ . ie.  $\cup F_i' \in \mathfrak{T}$ . Hence  $\mathfrak{T}$  is closed under arbitrary unions. Let  $F_1', ..., F_n' \in \mathfrak{T}$ .  $\Rightarrow F_1, ..., F_n \in \{F_i\}_{i \in \Delta}$ .

Since the collection is closed under finite unions,  $F_1 \cup ... \cup F_n$  is in this collection  $\Rightarrow (F_1 \cup ... \cup F_n)' \in \mathfrak{I} \Rightarrow F_1' \cap F_2' \cap ... \cap F_n' \in \mathfrak{I}.$ 

Hence  $\Im$  is closed under finite intersections.

Hence  $\Im$  is a topology.

Let F be a closed set in (X,  $\mathfrak{I}$ ) iff F' is open iff  $F' \in \mathfrak{I}$  iff  $F = (F')' \in \{F_i\}_{i \in \Delta}$  iff F is in the collection.

Hence the closed sets in  $(X, \mathfrak{I})$  are precisely the elements in the given collection.

**Theorem**: Let X be a non – empty set and there be given a closure operation which assigns to each subset A of X a subset  $\overline{A}$  of X in such a manner that

(i)  $\overline{\phi} = \phi$  (ii)  $A \subseteq \overline{A}$ . (iii)  $\overline{\overline{A}} = \overline{A}$  and (iv)  $\overline{A \cup B} = \overline{A} \cup \overline{B}$ . If a closed set A is defined to be one for which  $A = \overline{A}$ , then the class of all complements of such sets is a topology on X whose closure operation is precisely that initially given.

**Proof**: Write  $\mathcal{G} = \{A: A \subset X \text{ and } A = \overline{A}\}$ . It suffices if we prove that  $\mathcal{G}$  is closed under arbitrary intersections and finite unions. Let  $A_i \in \mathcal{G}$  for  $1 \le i \le n$ . By (iii)  $\overline{A_1 \cup A_2} = \overline{A_1} \cup \overline{A_2} = A_1 \cup A_2$ . *G* is closed under unions when n = 2. Let *G* be closed under unions when n = k - 1. Assume  $\overline{A_1 \cup A_2 \cup ... \cup A_{k-1}} = A_1 \cup A_2 \cup ... \cup A_{k-1}$ Now  $\overline{A_1 \cup A_2 \cup ... \cup A_k} = \overline{A_1 \cup A_2 \cup ... \cup A_{k-1}} \cup \overline{A_k} = A_1 \cup A_2 \cup ... \cup A_{k-1} \cup A_k$  $\therefore$  By induction  $\overline{A_1 \cup A_2 \cup ... \cup A_n} = A_1 \cup A_2 \cup ... \cup A_n \forall$  integral values of n.  $\therefore$  *G* is closed under finite unions. Now let  $\{A_i\}_{i \in I}$  be a collection of elements from  $\mathcal{G}$ . Then  $A_i = \overline{A_i}$  for each  $i \in I$ . Now  $\bigcap_{i \in I} A_i \subseteq \overline{\bigcap_{i \in I} A_i}$  since by (ii)  $A \subseteq \overline{A}$  for each subset A of X. Again since  $\bigcap_{i \in I} A_i \subseteq A_i$  for each i,  $\overline{\bigcap_{i \in I} A_i} \subseteq \overline{A_i} = A_i$  for each i.  $\Rightarrow \overline{\bigcap_{i \in I} A_i} \subseteq \bigcap_{i \in I} A_i \therefore \overline{\bigcap_{i \in I} A_i} = \bigcap_{i \in I} A_i \therefore \bigcap_{i \in I} A_i \in \mathcal{G}.$ Hence G is closed under arbitrary intersections.  $\therefore \mathfrak{I} = \{ A' | A \in \mathcal{G} \}$  is a topology on X. Now A is closed in X w. r. t.  $\Im$  iff  $A' \in \Im$  iff  $A = (A')' \in \mathcal{G}$  iff  $A = \overline{A}$  and A is closed

### in the given sense.

#### **OPEN BASES AND OPEN SUBBASES**

**Definition**: An open base for X where X is a topological space is a class  $\beta$  of open sets in X with the property that every open set in X is a union of sets from  $\beta$ . Equivalently, if G is a non – empty open set and  $x \in G$  then  $\exists B \in \beta \ni x \in B \subseteq G$ .
**Example**: Let (X, d) be a metric space and  $\mathfrak{I}$  be the induced topology on X. If  $\beta$  is the set of all open spheres in X, then  $\beta$  is an open base for  $(X, \mathfrak{I})$ .

<u>Note</u>: If  $\beta$ ,  $\beta'$  are two collections of open sets of (X,  $\mathfrak{I}$ ),  $\beta$  is open base and  $\beta \subseteq \beta'$  then  $\beta'$  is also an open base for X.

**Definition**: A topological space  $(X, \mathfrak{I})$  which has a countable open base is said to be a second countable space.

Note: Show that the two conditions are equivalent

(i)  $\beta$  is a class of open sets in X with the property that every open set in X is a union of sets from  $\beta$  and

(ii)  $\beta$  is a class of open sets in X  $\ni$  G is a non – empty open set and  $x \in G \Rightarrow \exists B \in \beta \ni x \in B \subseteq G$ .

**<u>Solution</u>**: Claim: (i)  $\Rightarrow$  (ii).

Assume (i). Let G be a non – empty set and  $x \in G$ .

Since G is open by (i)  $\exists B_i \in \beta \ni G = \bigcup_{i \in I} B_i$ .

 $\therefore x \in G = \bigcup_{i \in I} B_i \Longrightarrow \exists B_i \in \beta \text{ for some } i \ni x \in B_i \text{ and hence } x \in B_i \subseteq G, \text{ for } B_i \in \beta.$ 

Claim: (ii)  $\Rightarrow$  (i). Let G be a open set in X.

If G is empty then G is a union of empty class of open sets from  $\beta$ .

Let G be non – empty and  $x \in G$ .

Then by (ii)  $\exists B_x \in \beta \ \exists x \in B_x \subseteq G$ .  $\therefore \bigcup_{x \in G} B_x \subseteq G$ . Again  $x \in G \Rightarrow x \in B_x \subseteq \bigcup_{x \in G} B_x$   $\therefore G \subseteq \bigcup_{x \in G} B_x$ . Hence  $G = \bigcup_{x \in G} B_x$ .

**LINDELOF'S Theorem:** Let X be a second countable space. If a non – empty open set G in X is represented as the union of a class  $\{G_i\}_{i \in I}$  of open sets, then G can be represented as a countable union of  $G_i$ 's.

**<u>Proof</u>**: Since X is a second countable space, X has a countable open base, say,

 $\{B_n\}$ . Given that G be a nonempty open set  $\ni G = \bigcup_{i \in I} G_i$ .

Let  $x \in G$ . Then  $x \in G_{i(x)}$  for some  $i(x) \in I$ .

Since  $G_{i(x)}$  is open and  $x \in G_{i(x)} \exists n(x) \ni x \in B_{n(x)} \subseteq G_{i(x)}$ .

Since  $\{B_n\}$  is a countable class and  $\{B_{n(x)}\}_{x\in G}$  is a subclass of  $\{B_n\}$  we have that  $\{B_{n(x)}\}_{x\in G}$  is a countable class.

For every  $x \in G$ , corresponding to each  $B_{n(x)}$  we have  $G_{i(x)}$ .

 $\therefore$  {G<sub>i(x)</sub>} is also a countable class.

Now  $\bigcup_{x \in G} G_{i(x)} \subseteq \bigcup_{i \in I} G_i = G$ . Let  $y \in G \Rightarrow y \in G_{i(y)} \subseteq \bigcup_{x \in G} G_{i(x)}$ . Hence  $G = \bigcup_{x \in G} G_{i(x)}$  and  $\{G_{i(x)}\}_{x \in G}$  is a countable class.

**Theorem**: Let X be a second countable space. Then any open base for X has a countable subclass which is also an open base.

**Proof**: Given X is second countable.

Let  $\{B_n\}$  be a countable open base for X.

Let {B<sub>i</sub>} be any open base for X. Since each B<sub>n</sub> can be written as union of some B<sub>i</sub>'s (because B<sub>n</sub> is open and {B<sub>i</sub>} is an open base), by Lindelof's theorem, for each non-empty B<sub>n</sub>,  $\exists$  a countable subclass { $(B_i)_{n_k}$ } of the class {B<sub>i</sub>}  $\ni$  B<sub>n</sub> =  $\bigcup_k (B_i)_{n_k}$ . Now the class { $(B_i)_{n_k}/n \ge 1, k \ge 1$ } is a countable subclass of {B<sub>i</sub>}.

We now show that the class  $B = \{(B_i)_{n_k} | n \ge 1, k \ge 1\}$  is an open base for X.

Let G be any nonempty open set and  $x \in G$ .

Since  $\{B_n\}$  is an open base  $\exists n \ni x \in B_n \subseteq G$ .

We know that  $x \in B_n = \bigcup_k (B_i)_{n_k} \subseteq G$  and so B is an open base.

 $\therefore$  B = {( $B_i$ )<sub> $n_k$ </sub>/ $n \ge 1$ ,  $k \ge 1$ } is a countable subclass of {B<sub>i</sub>} which is also an open base for X.

**Note**: The axiom "topological space has a countable open base at each of its points" is called first axiom of countability. A topological space which satisfies this axiom is called a first countable space.

**Theorem**: Every second countable space is separable.

<u>**Proof**</u>: Let X be second countable space. Let  $\{B_n\}$  be a countable open base for X. Choose a point  $x_n$  from each non – empty set  $B_n$ .

Since  $\{B_n\}$  is countable,  $A = \{x_n / n \ge 1\}$  is countable.

<u>Claim</u>:  $\overline{A} = X$ . Clearly  $\overline{A} \subseteq X$ . Let  $x \in X$  and G be a nbd of x.

Now  $\exists$  a basic open set  $B_n \ni x \in B_n \subseteq G$ .

If  $x = x_n$  then  $x \in A \subseteq \overline{A}$ .

If  $x \neq x_n$  for any n, then  $x, x_n \in B_n \subseteq G$  and so  $x_n \in G \cap A \setminus \{x\}$ .

 $\therefore$  for any nbd G of x, G  $\cap$  A \ {x} \  $\neq \phi$ .  $\Rightarrow$  x is a limit point of A and so x  $\in \overline{A}$ .

 $\therefore X \subseteq \overline{A}$ . Hence  $\overline{A} = X$ .

Since, A is countable and  $\overline{A} = X$ , X is separable.

<u>Note</u>: The converse of the above theorem need not be true.

For example, Consider  $\mathbb{R}$  with topology  $\mathfrak{T}$  of finite complements. Let F be a closed set in  $(\mathbb{R}, \mathfrak{T})$ . Then F' is open  $\Rightarrow$  F' =  $\phi$ , or F' = G where G' is a finite set  $\Rightarrow$  F =  $\mathbb{R}$  or F is a finite set.  $\therefore$  Q is neither open nor closed. (Since Q is not a finite set and Q' is not a finite set Q is not open and not closed.) Since the only closed set containing Q is  $\mathbb{R}$  we have  $\overline{Q} = \mathbb{R}$ .  $\therefore$   $\mathbb{R}$  is a separable space.

**<u>Claim</u>**:  $\mathbb{R}$  is not second countable. If possible, suppose  $\mathbb{R}$  is second countable. Then  $\exists$  a countable open base  $\{B_i\}_{i \in I}$ . Consider  $A = \bigcup_{i=1}^{\infty} B_i'$ . Since each  $B_i'$  is finite A is countable union of finite sets.  $\therefore$  A is countable. Since  $\mathbb{R}$  is not countable  $\mathbb{R} \not\subset A$ .  $\therefore \exists y \in \mathbb{R} \setminus A$ . Now write  $G = \mathbb{R} \setminus \{y\}$ . Since  $G' = \{y\}$  is finite,  $G \in \mathfrak{I}$ . Let  $z \in G$ . Since  $\{B_i\}$  is an open base,  $\exists B_k \ni z \in B_k \subseteq G$  for some  $k \in I$ .  $B_k \subseteq G$  $\Rightarrow B_k' \supseteq G' = \{y\}$  $\Rightarrow y \in B_k' \subseteq \bigcup_{i \in I} B_i'$  $\Rightarrow y \in A$ , a contradiction to the selection of y. Hence  $\mathbb{R}$  is not second countable.

**Theorem**: Every separable metric space is second countable.

**<u>Proof</u>**: Let X be a separable metric space. Let A be a countable dense subset of X. Consider Q the set of rational numbers. We know that Q is countable. Consider  $\{S_r(a) / r \in Q\}$  for any  $a \in A$ . Clearly this is a countable class of open spheres around  $a \in A$ . Since A is countable  $\mathcal{B} = \bigcup_{a \in A} \{S_r(a) / r \in Q\} = \{S_r(a) / a \in A, r \in Q\}$  is a countable union of countable class of sets. Hence  $\mathcal{B}$  is a countable class of sets.

<u>Claim</u>:  $\mathcal{B}$  is an open base for X. Let G be an open set and  $x \in G$ . Since G is open  $\exists$  a nbd  $S_r(x)$  with some radius  $r \ni x \in S_r(x) \subseteq G$ . Consider the open sphere  $Sr_{/_3}(x)$ . Since A is dense,  $\overline{A} = X$  and so every point of X is a limit point of A.  $\therefore$  x is a limit point of A and so  $Sr_{/_3}(x) \cap A \neq \phi$ . Choose  $r_1 \in Q \ni \frac{r}{3} < r_1 < \frac{2r}{3}$ . Now take  $a \in Sr_{/3}(x) \cap A$ . Then  $S_{r_1}(a) \in \mathcal{B}$  and  $d(a, x) < r/3 < r_1$ .  $\Rightarrow x \in S_{r_1}(a)$ . To show that  $S_{r_1}(a) \subseteq S_r(x)$ , take  $y \in S_{r_1}(a)$ . Then  $d(x, y) \le d(x, a) + d(a, y) \le \frac{r}{3} + r_1 < \frac{r}{3} + \frac{2r}{3} = r$ .  $\Rightarrow y \in S_r(x)$ .  $\therefore S_{r_1}(a) \subseteq S_r(x) \subseteq G$ .  $\therefore x \in S_{r_1}(a) \subseteq G$ .  $\therefore \mathcal{B}$  is an open base for X and  $\mathcal{B}$  is countable. Hence X is second countable.

**Definition**: Let X be a topological space. An *open subbase* is a class of open subsets of X whose finite intersections form an open base. This open base is called the *open base generated by the open subbase*. The sets in an open subbase are called *subbasic open sets*.

Note: Let  $(X, \mathfrak{J})$  be a topological space and  $\{B_i\}$  be an open subbase (say  $S = \{B_i / i \in I\}$ ). Then  $S^* = \{A_i / A_i = \bigcap_{k=1}^n B_{i_k}$  where  $n \in \mathbb{N}$ ,  $B_{i_k} \in S$  for  $1 \le k \le n\}$  is the open base generated by S. Now  $\mathfrak{J} = \{G / G = \bigcup A_i \text{ where } \{A_i\}$  is a collection of elements from  $S^*\}$ .

**Example**: Consider  $\mathbb{R}$ . Write  $S = \{(a, \infty) / a \in \mathbb{R}\} \cup \{(-\infty, b) / b \in \mathbb{R}\}$ . Then  $S^* = S \cup \{\phi, \mathbb{R}\} \cup \{(a, b) / a, b \in \mathbb{R}\}$ .

Now  $\mathfrak{T} = \{G \mid G = \bigcup A_i \text{ where each } A_i \text{ is from } S^*\} = \{G \mid G \text{ is a union of open intervals of } \mathbb{R}\}$ . Clearly this  $\mathfrak{T}$  is a topology on X induced by the usual metric on  $\mathbb{R}$ . Hence S is an open subbase and S\* is an open base generated by S.

Example:

**Theorem**: Let X be a non – empty set and let C be an arbitrary class of subsets of X. Then C can serve an open sub-base for a topology  $\Im$  on X (in the sense that the class of all unions of finite intersections of sets in C forms a topology on X).

<u>Proof</u>: Write  $\mathcal{B}$  = the class of all finite intersections of sets of  $\mathcal{C}$ . Write  $\mathfrak{T}$  = the class of all arbitrary unions of sets from  $\mathcal{B}$ . If  $\mathcal{C} = \phi$ , then  $\mathcal{B} = \{X\}$  and  $\mathfrak{T} = \{\phi, X\}$ .

In this case clearly  $\Im$  is a topology on X. Now assume that  $\mathcal{C} \neq \phi$ .

**Claim: B** is closed under finite intersections:

For this we prove  $B_1, B_2, ..., B_k \in \mathcal{B} \Rightarrow B_1 \cap B_2 \cap ... \cap B_k \in \mathcal{B}$  for all integral values of k using induction. Suppose for  $k = 2, B_1, B_2 \in \mathcal{B}$ . Then  $B_1 = P_1 \cap P_2 \cap ... \cap P_n$  and  $B_2 = Q_1 \cap Q_2 \cap ... \cap Q_m$ , where  $P_i, Q_j \in \mathcal{C}$  for  $1 \le i \le n$  and  $1 \le j \le m$ . Now  $B_1 \cap B_2 = P_1 \cap P_2 \cap ... \cap P_n \cap Q_1 \cap Q_2 \cap ... \cap Q_m \in \mathcal{B}$ . Assume for k = n - 1 ie.  $B_1, B_2, ..., B_{n-1} \in \mathcal{B} \Rightarrow B_1 \cap B_2 \cap ... \cap B_{n-1} \in \mathcal{B}$ . Let  $B_1, B_2, ..., B_n \in \mathcal{B}$   $\Rightarrow B_1 \cap B_2 \cap ... \cap B_n = (B_1 \cap B_2 \cap ... \cap B_{n-1}) \cap B_n \in \mathcal{B}$ . By induction  $B_1, B_2, ..., B_k \in \mathcal{B} \Rightarrow B_1 \cap B_2 \cap ... \cap B_k \in \mathcal{B}$  for all integral values of k.

Hence  $\mathcal{B}$  is closed under finite intersections.

### Next we show that for any $x \in G \in \mathfrak{I} \exists B \in \mathcal{B} \ni x \in B \subseteq G$ .

For this suppose  $G \in \mathfrak{I}$ . Then by definition of  $\mathfrak{I}$ ,  $G = \bigcup_{i \in I} B_i$  where and  $B_i \in \mathcal{B}$ . Now  $x \in G \Rightarrow x \in B_i$  for some  $i \in I$ .  $\therefore \exists B_i \in \mathcal{B} \ni x \in B_i \subseteq G$ .

# To show that **3** is closed under finite intersections.

Let  $G_1, G_2, ..., G_n \in \mathfrak{J}$  and write  $G^* = G_1 \cap G_2 \cap ... \cap G_n$ . Let  $x \in G^*$ . Then  $x \in G_i$  for  $1 \le i \le n$  $\Rightarrow \exists B_i \in \mathcal{B}, \ 1 \le i \le n \ni x \in B_i \subseteq G_i$ , for  $1 \le i \le n$ . Write  $B_x = B_1 \cap B_2 \cap ... \cap B_n \in \mathcal{B}$  $\therefore x \in G^* \Rightarrow \exists B_x \in \mathcal{B} \ni x \in B_x \subseteq G^*$ . Hence  $G^* = \bigcup_{x \in G^*} B_x \in \mathfrak{J}$ .  $\therefore \mathfrak{J}$  is closed under finite intersections.

# To show that **3** is closed under arbitrary unions:

Let  $\{G_i\}_{i \in I}$  be a collection of elements from  $\mathfrak{T}$ . For each i,  $G_i \in \mathfrak{T} \Longrightarrow \exists \{B_{i_j}\} \ni G_i = \bigcup_j B_{i_j} \text{ and } \{B_{i_j}\} \in \mathcal{B}$ . Now  $\bigcup_i G_i = \bigcup_i \bigcup_j B_{i_j} \in \mathfrak{T}$ .

# $\therefore$ **3** is a topology.

Already we have shown that for any  $G \in \mathfrak{I}$ ,  $x \in G \exists B \in \mathcal{B} \ni x \in B \subseteq G$ .

# $\therefore \mathcal{B} \text{ is an open base for } \mathfrak{J}.$ By construction of $\mathcal{B}$ , $\mathcal{C}$ is open sub-base.

**Definition**: Let X be a non-empty set and C be any class of subsets of X. Write  $\mathcal{B}$  = the class of all finite intersections of sets of C. Write  $\mathfrak{T}$  = the class of all arbitrary unions of sets from  $\mathcal{B}$ . Then  $\mathfrak{T}$  is a topology on X called *topology generated by the class C*.

# WEAK TOPOLOGIES

**<u>Definition</u>**: If  $\mathfrak{I}_1, \mathfrak{I}_2$  are topologies on a set X such that  $\mathfrak{I}_1 \subseteq \mathfrak{I}_2$ , then  $\mathfrak{I}_1$  is said to be weaker than  $\mathfrak{I}_2$ 

<u>Note</u>: Let X be any non-empty set. Then indiscrete topology is the weakest topology and discrete topology is the strongest topology on X.

**Definition**: A partially ordered set X is called a *complete lattice* if every nonempty subset of X has a greatest lower bound and least upper bound.

**Theorem:** Let X be a non-empty set. Then the family of all topologies on X is a complete lattice with respect to the relation "is weaker than". Furthermore, this lattice has a least member and a greatest member.

**<u>Proof</u>**: Let  $\mathcal{G} = \{ \mathfrak{J} \mid \mathfrak{J} \text{ is a topology on } X \}$ . Define a relation  $\leq$  on  $\mathcal{G}$  as  $\mathfrak{I}_1 \leq \mathfrak{I}_2$  iff  $\mathfrak{I}_1$  is weaker than  $\mathfrak{I}_2$  ie.  $\mathfrak{I}_1 \subseteq \mathfrak{I}_2$ . Then  $(\mathcal{G}, \mathfrak{I})$  is a POset. Claim: ( $\mathcal{G}$ ,  $\mathfrak{I}$ ) is a complete lattice. Let  $\phi \neq \mathcal{G}_1 \subseteq \mathcal{G}$ . Write  $\mathfrak{I}_1 = \bigcap_{\mathfrak{I} \in \mathcal{G}_1} \mathfrak{I}$ . Then  $\mathfrak{I}_1$  is a topology on X. Since  $\mathfrak{I}_1 \subseteq \mathfrak{I} \forall \mathfrak{I} \in \mathcal{G}_1, \ \mathfrak{I}_1 \leq \mathfrak{I} \forall \mathfrak{I} \in \mathcal{G}_1.$  $\therefore \mathfrak{I}_1$  is a lower bound for  $\mathcal{G}_1$ . Let  $\mathfrak{I}^*$  be any lower bound of  $\mathcal{G}_1$ . Then  $\mathfrak{I}^* \leq \mathfrak{I} \forall \mathfrak{I} \in \mathcal{G}_1$ .  $\Rightarrow \mathfrak{I}^* \subseteq \mathfrak{I} \forall \mathfrak{I} \in \mathcal{G}_1$ .  $\Rightarrow \mathfrak{I}^* \subseteq \bigcap_{\mathfrak{I} \in \mathcal{G}_1} \mathfrak{I} = \mathfrak{I}_1 \Rightarrow \mathfrak{I}^* \leq \mathfrak{I}_1$ Hence  $\mathfrak{I}_1$  is the glb of  $\mathcal{G}_1$ . Let  $Y = \bigcup_{\mathfrak{I} \in \mathcal{G}_1} \mathfrak{I}$ . Write  $\mathcal{T} = \bigcap \{ \mathfrak{I} \in \mathcal{G} \mid Y \subseteq \mathfrak{I} \}$ . Since  $\mathcal{T}$  is the intersection of a collection of topologies,  $\mathcal{T}$  is a topology. Since  $\bigcup_{\mathfrak{I} \in \mathcal{G}_1} \mathfrak{I} \subseteq \mathcal{T}, \mathfrak{I} \subseteq \mathcal{T} \forall \mathfrak{I} \in \mathcal{G}_1 \Longrightarrow \mathfrak{I} \leq \mathcal{T} \forall \mathfrak{I} \in \mathcal{G}_1$  $\Rightarrow \mathcal{T}$  is an upper bound of  $\mathcal{G}_1$ . Let  $\mathcal{T}^*$  be any upper bound for  $\mathcal{G}_1 \Rightarrow \mathfrak{I} \leq \mathcal{T}^* \forall \mathfrak{I} \in \mathcal{G}_1 \Rightarrow \mathfrak{I} \subseteq \mathcal{T}^* \forall \mathfrak{I} \in \mathcal{G}_1$  $\Rightarrow Y = \bigcup_{\mathfrak{J} \in \mathcal{G}_1} \mathfrak{J} \subseteq \mathcal{T}^*.$  $\Rightarrow \mathcal{T}^* \in \{\mathfrak{I} \in \mathcal{G} / \mathbf{Y} \subseteq \mathfrak{I}\}$  $\Rightarrow \mathcal{T} \subset \mathcal{T}^*.$  $\therefore \mathcal{T} \leq \mathcal{T}^*$  for any upper bound  $\mathcal{T}^*$  of  $\mathcal{G}_1$ .

Hence  $\mathcal{T}$  is the least upper bound of  $\mathcal{G}_{1.}$ Since every subset  $\mathcal{G}_1$  of  $\mathcal{G}$  has glb and lub,  $\mathcal{G}$  is complete.

<u>Note</u>: Let X, Y be topological spaces. If  $\mathfrak{I}$  is the discrete topology on X (ie.  $\wp(X)$ ), then any mapping f: X  $\rightarrow$  Y is continuous.

**Definition**: Let X be a non-empty set. Let  $\{(X_i, \mathfrak{J}_i)_{i \in I} \text{ be a non-empty class of topological spaces. For each <math>i \in I$ , suppose  $f_i: X \to X_i$  is a function. If  $\wp(X)$  is the topology on X then every  $f_i$  is continuous. Write  $\mathfrak{I}^* =$  the intersection of all topologies on X which makes every  $f_i: X \to X_i$  is continuous. This topology  $\mathfrak{I}^*$  is called the weak topology generated by the  $f_i$ 's.

#### THE FUNCTION ALGEBRAS $\mathcal{C}(\mathbf{X}, \mathbb{R}), \mathcal{C}(\mathbf{X}, \mathfrak{C})$ .

**Definition:** An algebra is a linear space whose vectors can be multiplied in such a manner that (i) x(yz) = (xy)z; (ii) x(y + z) = xy + xz and (x + y)z = xz + yz and (iii)  $\alpha(xy) = (\alpha x)y = x(\alpha y)$  for every scalar  $\alpha$ .

If the scalars are real numbers then it is real algebra. If the scalar are complex numbers then the algebra is called complex algebra.

A commutative algebra is an algebra if  $xy = yx \forall x, y$ .

An algebra with identity is an algebra satisfying the following property:  $\exists$  a non-zero element denoted by 1 called the identity such that 1x = x = x1 for every x.

A subalgebra of an algebra is a linear subspace, which contains the product of each pair of its elements.

**Lemma**: If f and g are continuous real or complex functions defined on a topological space X, then f + g, af and fg are also continuous. Furthermore, if f and g are real, then  $f \wedge g$  and  $f \vee g$  are continuous.

<u>**Proof**</u>: (With suitable modifications in similar proof in metric spaces) we can prove that f + g, af are continuous.

Let  $\varepsilon > 0$  and  $x_0 \in X$ . Take  $\varepsilon_1 > 0 \ni \varepsilon_1\{|f(x_0)| + |g(x_0)|\} + \varepsilon_1^2 < \varepsilon_{\dots}(i)$ . Since f, g are continuous at  $x_0$ , corresponding to  $\varepsilon_1 > 0 \exists$  nbds  $G_1$  and  $G_2 \ni x \in G_1$ ,  $x \in G_2$ ,  $|f(x) - f(x_0)| < \varepsilon_1$  and  $|g(x) - g(x_0)| < \varepsilon_1$  respectively. Then  $G = G_1 \cap G_2$  is a nbd of  $x_0$  and let  $x \in G$ . Now  $|(fg)(x) - (fg)(x_0)| = |f(x)g(x) - f(x)g(x_0) + f(x)g(x_0) - f(x_0)g(x_0)| \le |f(x)||g(x) - g(x_0)| + |g(x) - g(x_0)| \le |f(x)||g(x) - g(x$ 

 $\begin{aligned} |g(x_0)||f(x) - f(x_0)| \\ < \varepsilon_1 |f(x) - f(x_0)| + |f(x_0)| \varepsilon_1 + |g(x_0)| \varepsilon_1 \\ < \varepsilon_1^2 + \varepsilon_1 \{|f(x_0)| + |g(x_0)|\} < \varepsilon \text{ by (i).} \end{aligned}$ 

 $\therefore \text{ fg is continuous at } x_0. \text{ Since this is true for any } x_0 \in X, \text{ fg is continuous on } X.$   $\text{Put } A = (a, \infty) \text{ and } B = (-\infty, b).$   $\text{Since } f, g \text{ are continuous } f^{-1}(A), g^{-1}(A), f^{-1}(B), g^{-1}(B) \text{ are open sets.}$   $\text{Now } (f \lor g)^{-1}(A) = \{ x / (f \lor g)(x) \in A \} = \{ x / \max [f(x), g(x)] > a \}$   $= \{ x / f(x) > a \} \cup \{ x / g(x) > a \}$   $= \{ x / f(x) \in A \} \cup \{ x / g(x) \in A \}$   $= f^{-1}(A) \cup g^{-1}(A) \text{ which is an open set.}$ 

$$\begin{split} (f \lor g)^{-1}(B) &= \{ \ x \ / \ (f \lor g)(x) \in B \} = \{ x \ / \ max \ [f(x), \ g(x)] < b \} \\ &= \{ x \ / \ f(x) < b \} \ \cap \ \{ x \ / \ g(x) < b \} \\ &= \{ x \ / \ f(x) \in B \} \ \cap \ \{ x \ / \ g(x) \in B \} \\ &= f^{-1}(B) \ \cap \ g^{-1}(B) \text{ which is an open set.} \end{split}$$

 $\Rightarrow$  (f  $\lor$  g)<sup>-1</sup>(A), (f  $\lor$  g)<sup>-1</sup>(B) are open sets on sub basic open sets A and B.

Hence  $(f \lor g)$  is continuous. Similarly, we can show that  $(f \land g)$  is continuous.

**Lemma**: Let X be a topological space, and  $\{f_n\}$  be a sequence of real or complex functions defined on X which converges uniformly to a function f defined on X. If all the  $f_n$ 's are continuous, then f is also continuous.

**Theorem**: Let  $C(X, \mathbb{R})$  be the set of all bounded continuous real functions defined on a topological space X. Then (i)  $C(X, \mathbb{R})$  is a real Banach space with respect to point wise addition and scalar multiplication and the norm defined by  $||f|| = \sup |f(x)|$ ; (ii) if multiplication is defined pointwise, then  $C(X, \mathbb{R})$  is a commutative real algebra with identity, in which  $||fg|| \le ||f|| ||g||$  and ||1|| = 1 and (iii) If  $f \le g$ is defined to mean that  $f(x) \le g(x)$  for all x, then  $C(X, \mathbb{R})$  is a lattice in which the greatest lower bound and least upper bound of a pair of functions f and g are given by  $(f \land g)(x) = \min \{f(x), g(x)\}$  and  $(f \lor g)(x) = \max \{f(x), g(x)\}$ .

<u>**Proof**</u>: (i) follow the proof of " $\mathcal{C}(X, \mathbb{R})$  is a real Banach space" in metric spaces with relevant changes.

(ii) Let f, g,  $h \in C(X, \mathbb{R})$ . Then for all  $x \in X$ ,  $f(gh)(x) = f(x)(gh)(x) = f(x)\{g(x)h(x)\} = \{f(x)g(x)\}h(x) = (fg)(x)h(x) = \{(fg)h\}(x)$ 

 $\therefore$  f(gh) = (fg)h.

Similarly we can prove that f(g + h) = fg + fh; (f + g)h = fh + gh;

 $\alpha(xy) = (\alpha x)y = x(\alpha y)$  for every scalar  $\alpha$  and fg = gf.

 $\therefore C(X, \mathbb{R})$  is a commutative algebra.

Define  $1(x) = 1 \forall x \in X$ .

Then for any  $f \in \mathcal{C}(X, \mathbb{R})$  and  $x \in X$ ,  $(f1)(x) = f(x)1(x) = f(x)1 = f(x) \therefore f1 = f$ . Similarly, 1f = f.  $\therefore 1$  is the identity element in  $\mathcal{C}(X, \mathbb{R})$ . Now ||1|| = sup|1(x)|= sup |1| = 1.

Let f, g  $\in C(X, \mathbb{R})$ . Then  $||fg|| = \sup |(fg)(x)| = \sup |f(x)||g(x)| \le \sup |f(x)|$ =  $\sup |g(x)| = ||f|| ||g||$ 

(iii) Define a relation  $\leq$  on  $\mathcal{C}(X, \mathbb{R})$  by  $f \leq g$  iff  $f(x) \leq g(x) \forall x \in X$ .

Then clearly  $\leq$  is a partial order on  $\mathcal{C}(X, \mathbb{R})$ .

By a lemma  $f \lor g$ ,  $f \land g$  are continuous  $\ni m_1 \le f(x) \le M_1$ ,  $m_2 \le g(x) \le M_2 \forall x \in X$ . Take  $m = \min \{m_1, m_2\}$  and  $M = \max \{M_1, M_2\}$ .

Then  $m \leq (f \land g)(x) \leq M$  and  $m \leq (f \lor g)(x) \leq M \ \forall x \in X$ .

 $\therefore$  f  $\land$  g, f  $\lor$  g are bounded continuous real valued on X.

 $\Rightarrow f \land g, f \lor g \in \mathcal{C}(X, \mathbb{R}).$ 

Now it can be easily verified that  $f \land g = glb \{f, g\}$  and  $f \lor g = lub \{f, g\}$ . Hence  $\mathcal{C}(X, \mathbb{R})$  is a lattice.

**Theorem**: Let  $C(\mathbf{X}, \mathfrak{C})$  be the set of all bounded continuous real functions defined on a topological space X. Then (i)  $C(\mathbf{X}, \mathfrak{C})$  is a complex Banach space with respect to pointwise addition and scalar multiplication and the norm defined by  $||f|| = \sup ||f(x)||$ ; (ii) if multiplication is defined point wise, then  $C(\mathbf{X}, \mathfrak{C})$  is a commutative complex algebra with identity, in which  $||fg|| \le ||f|| ||g||$  and ||I|| = 1 and (iii) If  $\overline{f}$  is defined by  $\overline{f}(x) = \overline{f(x)}$  the complex conjugate of f(x), then  $f \to \overline{f}$  is a mapping of the algebra  $C(\mathbf{X}, \mathfrak{C})$  into itself which has the following properties:  $\overline{f+g} = \overline{f} + \overline{g}$ ;  $\overline{af} = \overline{af}$ ;  $\overline{fg} = \overline{fg}$ ;  $\overline{\overline{f}} = f$ ;  $||f|| = ||\overline{f}||$ .

**<u>Proof</u>**: (i), (ii) Similar proof as in the above theorem. (iii) Let  $f \in \mathcal{C}(X, \mathfrak{C})$  and define  $\overline{f}(x) = \overline{f(x)} \forall x \in X$ . If f(x) = a + ib then  $\overline{f(x)} = a - ib$ .  $\therefore |\overline{f(x)}| = \sqrt{a^2 + b^2} = |\overline{f}(x)|$ .  $\therefore$  f is a bounded function from X to  $\mathfrak{C}$ . Clearly  $|\overline{f}(x) - \overline{f}(x_0)| = |\overline{f(x)} - \overline{f(x_0)}| = |\overline{f(x)} - \overline{f(f_0)}| = |f(x) - f(x_0)|$ . Let  $\varepsilon > 0$ . Since f is continuous,  $\exists$  a nbd G of  $x_0 \ni x \in G$ .  $\Rightarrow |f(x) - f(x_0)| < \varepsilon$ .  $\begin{array}{l} \left| \overline{f}(x) - \overline{f}(x_0) \right| &= |f(x) - f(x_0)| < \varepsilon. \text{ This is true } \forall x \in G. \\ \text{Hence } \overline{f} \text{ is continuous. } \left| \begin{array}{l} \cdot \cdot \overline{f} \text{ is bounded and continuous. } \cdot \cdot \overline{f} \in \mathcal{C}(X, \mathfrak{C}). \\ \text{So, } f \to \overline{f} \text{ is a mapping from } \mathcal{C}(X, \mathfrak{C}) \text{ into itself.} \\ \hline (f + g)(x) &= \overline{(f + g)(x)} = \overline{f(x) + g(x)} = \overline{f(x)} + \overline{g(x)} = \overline{f}(x) + \overline{g}(x) \\ &= (\overline{f} + \overline{g})(x) \forall x \in X. \\ \quad \cdot \cdot \overline{f + g} = \overline{f} + \overline{g}. \text{ Similarly } \overline{af} = \overline{a}\overline{f}; \overline{fg} = \overline{f}\overline{g} \text{ and } \overline{f} = f. \\ \text{Now } \|\overline{f}\| = \sup |\overline{f}(x)| = \sup |\overline{f}(x)| = \sup |f(x)| = \|f\|. \end{array}$ 



Fax: 08816-227318 off: 08816-224072, 224119,228342 Mobile: 9491334119 DANTULURI NARAYANA RAJU COLLEGE

(Autonomous) BHIMAVARAM, W.G.DIST, ANDHRA PRADESH, INDIA, PIN- 534202. (Accredited at 'B<sup>++</sup>, level by NAAC) (Affiliated to Adikavi Nannaya University, Rajamahendravaram)

E – CONTENT PAPER: M 104, TOPOLOGY M. Sc. I YEAR, SEMESTER - I UNIT – III: COMPACTNESS

PREPARED BY K, C. TAMMI RAJU, M. Sc. HEAD OF THE DEPARTMENT DEPARTMENT OF MATHEMATICS, PG COURSES DNR COLLEGE (A), BHIMAVARAM – 534202

#### <u>COMPACTNESS</u> 104: TOPOLOGY; UNIT III

## **COMPACT SPACES**

**Definitions:** (i) Let X be a topological space. A class  $\{Gi\}$  of open subsets of X is said to be an open cover if each point in X belongs to at least one  $G_i$ . i.e.  $X = \bigcup_i G_i$ .

(ii) A subset of an open cover which is itself an open cover is called a subcover.

(iii) A compact space is a topological space in which every open cover has a finite subcover.

(iv) Let  $(Y, \mathfrak{I}_Y)$  is a subspace of  $(X, \mathfrak{I}_X)$ . Y is said to be compact subspace of the topological space X, if Y is compact in its own rights.

**Theorem**: Any closed subspace of a compact space is compact.

**Proof**: Let X be a compact space and Y be a closed subspace of X. Let {G<sub>i</sub>}<sub>i∈I</sub> be an open cover of Y. Then for each i, ∃ an open subset H<sub>i</sub> of X ∋ G<sub>i</sub> = H<sub>i</sub> ∩ Y. Now  $Y \subseteq \bigcup_i G_i = \bigcup_{i \in I} (H_i \cap Y) \subseteq (\bigcup_i H_i) \cap Y$ So X = Y ∪Y' ⊆  $(\bigcup_i H_i) \cup Y'$ . ∴ Y' together with H<sub>i</sub>, i ∈ I forms an open cover for X since Y' is open. Since X is compact, ∃ a finite subcover  $H_{i_1}, H_{i_2}, ..., H_{i_n}, Y'$  of X such that X =  $H_{i_1} \cup H_{i_2} \cup ... \cup H_{i_n} \cup Y'$ . Now Y = Y ∩ X = Y ∩  $(H_{i_1} \cup H_{i_2} \cup ... \cup H_{i_n} \cup Y')$ =  $(Y \cap H_{i_1}) \cup (Y \cap H_{i_2}) \cup ... \cup (Y \cap H_{i_n}) \cup (Y \cap Y')$ =  $G_{i_1} \cup G_{i_2} \cup ... \cup G_{i_n} \cup \phi = G_{i_1} \cup G_{i_2} \cup ... \cup G_{i_n}$ . ∴  $G_{i_1}, G_{i_2}, ..., G_{i_n}$  forms a finite subcover to Y. Hence Y is compact.

<u>**Theorem</u>**: Any continuous image of a compact space is compact. <u>**Proof**</u>: Let  $f: X \to Y$  be a continuous mapping of a compact metric space X into a topological space Y.</u>

Let  $\{G_i\}_{i \in I}$  be an open cover of f(X). I.e.  $f(X) \subseteq \bigcup_{i \in I} G_i$  ...(i) Since f is continuous,  $f^{-1}(G_i)$  is open in X for all  $i \in I$ . From (i),  $X \subseteq f^{-1}{f(X)} \subseteq f^{-1}(\bigcup_{i \in I} G_i) = \bigcup_{i \in I} f^{-1}(G_i)$ .  $\therefore {f^{-1}(G_i)}_{i \in I}$  is an open cover for X. Since X is compact, this open cover has a finite subcover.

Ie.  $\exists f^{-1}(G_{i_1}), f^{-1}(G_{i_2}), \dots, f^{-1}(G_{i_n}) \ni X \subseteq f^{-1}(G_{i_1}) \cup f^{-1}(G_{i_2}) \cup \dots \cup f^{-1}(G_{i_n})$  $\Rightarrow f(X) \subseteq G_{i_1} \cup G_{i_2} \cup \dots \cup G_{i_n}. \therefore$  the open cover  $\{G_i\}_{i \in I}$  of f(X) has a finite subcover  $G_{i_1}, G_{i_2}, \dots, G_{i_n}$ . Hence f(X) is compact.

**Definition:** A class  $\{A_i\}_{i \in I}$  of sets X is said to have the finite intersection property if every finite subclass  $\{A_{i_1}, A_{i_2}, \dots, A_{i_n}\}$  has a non – empty intersection. I.e.  $A_{i_1} \cap A_{i_2} \cap \dots \cap A_{i_n} \neq \phi$ .

**Theorem:** A topological space is compact iff every class of closed sets with empty intersection has a finite subclass with empty intersection.

**<u>Proof</u>**: Let X be compact. Let  $\{F_i\}_{i \in I}$  be a class of closed sets such that  $\bigcap_{i \in I} F_i = \phi$ . For each  $i \in I$ , since  $F_i$  is closed,  $F_i'$  is open.  $\therefore X = \phi' = \{\bigcap_{i \in I} F_i\}' = \bigcup F_i', i \in I$ . Clearly  $\{F_i'\}_{i \in I}$  is an open cover for X. Since X is compact, this open cover has a finite subcover.  $\therefore \exists F_{i_1}', F_{i_2}', ..., F_{i_n}' \ni X = F_{i_1}' \cup F_{i_2}' \cup ... \cup F_{i_n}'$ 

$$\Rightarrow \phi = X' = \left(F_{i_1}' \cup F_{i_2}' \cup \ldots \cup F_{i_n}'\right)' = F_{i_1} \cap F_{i_2} \cap \ldots \cap F_{i_n}$$

Hence  $\exists$  a finite subclass  $F_{i_1}$ ,  $F_{i_2}$ ,...,  $F_{i_n}$  of the class  $\{F_i\}_{i \in I}$ .

Conversely suppose that every class of closed sets with empty intersection has a finite subclass with empty intersection. Let  $\{G_i\}_{i \in I}$  be an open cover for X. ie.  $X = \bigcup G_i \implies \phi = X' = (\bigcup G_i)' = \bigcap G_i'$ . Since  $\{G_i'\}_{i \in I}$  is a collection of closed sets whose intersection is empty, by assumption,  $\exists$  a finite subclass  $G_{i_1}', G_{i_2}', ..., G_{i_n}' \ni G_{i_1}' \cap G_{i_2}' \cap ... \cap F_{i_n}' = \phi$  $\Rightarrow X = \phi' = (G_{i_1}' \cap G_{i_2}' \cap ... \cap G_{i_n}')' = G_{i_1} \cup G_{i_2} \cup ... \cup G_{i_n} \therefore$  The cover  $\{G_i\}_{i \in I}$  of X has a finite subcover. Hence X is compact.

**Theorem:** A topological space is compact if and only if every class of closed sets with finite intersection property has nonempty intersection.

**<u>Proof</u>**: Let X be compact. Let  $\{F_i\}_{i \in I}$  be a class of closed sets with finite intersection property. In contrary suppose that  $\bigcap_{i \in I} F_i = \phi$ . By above theorem,  $\exists$  a finite subclass  $F_{i_1}, F_{i_2}, ..., F_{i_n} \ni F_{i_1} \cap F_{i_2} \cap ... \cap F_{i_n} = \phi$ , a contradiction to the finite intersection property. Hence  $\bigcap_{i \in I} F_i \neq \phi$ .

Conversely suppose that every class of closed sets with finite intersection property has nonempty intersection. If possible suppose that X is not compact. Then  $\exists$  an open cover  $\{G_i\}_{i \in I}$  which has no finite subcover. This means for any subcover

 $\begin{array}{l} G_{i_1}, G_{i_2}, ..., G_{i_n} \ni X \supseteq G_{i_1} \cup G_{i_2} \cup ... \cup G_{i_n}. \Rightarrow \phi = X' \neq \left(G_{i_1} \cup G_{i_2} \cup ... \cup G_{i_n}\right)' = G_{i_1}' \cap G_{i_2}' \cap ... \cap G_{i_n}'. \text{ Now } \{G_i'\}_{i \in I} \text{ is a class of closed sets with finite intersection} \\ \text{property.} \qquad \Rightarrow \cap G_i' \neq \phi, _{i \in I} \\ \Rightarrow (\cap G_i')' \neq \phi' = X \Rightarrow X \neq \cup G_i \text{ a contradiction, since } \{G_i\}_{i \in I} \text{ is an open cover of} \\ \text{X. Hence X is compact.} \end{array}$ 

**Definition**: Let X be a topological space. (i) an open cover of X whose sets are in some given open base is called a basic open cover. (ii) an open cover of X whose sets are in some given open subbase, is called a subbasic open cover.

<u>**Theorem</u>**: A topological space is compact if every basic open cover has a finite subcover.</u>

**Proof**: Suppose every basic open cover has a finite subcover. Now to show X is compact, take an open cover  $\{G_i\}_{i\in I}$  to X. Let  $\{B_i\}_{j\in J}$  be an open base. By the definition of open base  $G_i = \bigcup B_{j_k}$ . Fix  $k \in I$  and consider  $G_k$ . Since  $\{B_j\}_{j\in J}$  is an open base  $G_k = \bigcup B_j$ ,  $j \in j_k$  for some subclass  $\{B_j\}_{j\in jk}$ . Now  $X = \bigcup_{k\in I} G_k = \bigcup_{k\in I} \bigcup_{j\in j_k} B_j$ . Now those  $B_j$ 's form a basic open cover for X. By the hypothesis, this basic open cover has a finite subcover.  $\therefore \exists k_1, k_2, ..., k_n \in I$  and  $j_1 \in j_{k_1}$ ,  $J_2 \in j_{k_2}, ..., j_n \in j_{k_n}$  such that  $X = B_{j_1} \cup ... \cup B_{j_n} ... (i)$ . By the selection of  $j_k$ 's  $G_{k_1} = \bigcup_{j\in j_{k_1}} B_j \supseteq B_{j_1}(\text{since } j_1 \in j_{k_1}), G_{k_2} = \bigcup_{j\in j_{k_2}} B_j \supseteq B_{j_2} \text{since } j_2 \in j_{k_2}, ..., G_{k_n} = \bigcup_{j\in j_{k_n}} B_j \supseteq B_{j_n}(\text{since } j_n \in j_{k_2})$ . By (i)  $X = B_{j_1} \cup ... \cup B_{j_n} \subseteq G_{k_1} \cup ... \cup G_{k_n}$  which is a finite subcover of  $\{G_i\}_{i\in I}$ .

<u>**Theorem</u>**: A topological space is compact if every subbasic open cover has a finite subcover or equivalently if every class of subbasic closed sets with finite intersection property has non – empty intersection. **Proof**: Proof is out of the scope of this book.</u>

<u>Heine – Borel theorem</u>: Every closed and bounded subspace of the real line is compact. (M. Imp).

<u>**Proof</u>**: First we prove that any closed interval [a, b] of the real line is compact. Consider A = { [a, d) / a < d < b }  $\cup$  {(c, b] / a < c < b } We show that B = {(c, d) / a ≤ c < d ≤ b} forms an open base for [a, b].</u>

Let G be an open set in [a, b], and  $x \in G$ . Since G is open  $\exists r > 0 \exists S_r(x) \subseteq G$ .

 $\Rightarrow (x - r, x + r) \subseteq G. \text{ Now } (x - r, x + r) \subseteq G \subseteq [a, b] \Rightarrow a \le x - r \le x + r \le b. \text{ now if}$ 

we write c = x - r, d = x + r then  $(c, d) \in B$  and  $x \in (c, d) \subseteq G$ . Hence B is an open base for [a, b].

If we take [a, d) and (c, b] then [a, d)  $\cap$  (c, b] =  $\phi$  or (c, d). Therefore, every basic open set in B can be written as intersection of finite sets in A. Hence A is an open subbase for [a, b].

Consider  $F = \{Y' | Y \in A\} = \{[a, b] \setminus [a, d) | a < d < b\} \cup \{[a, b] \setminus (c, b] | a < c < b\} = \{[d, b] | a < d < b\} \cup \{[a, c] | a < c < b\}$ . Since A is an open subbase, we have that F is a closed subbase. These closed subbasic sets are of the form [a, c] or [d, b].

Consider  $G = \{[a, c_i]\}_{i \in I} \cup \{[d_j, b]\}_{j \in J}$  be a collection of subbasic closed sets with finite intersection property. To prove [a, b] is compact it is enough to prove that the intersection of the collection G is non - empty.

If G contains only the sets of the form  $[a, c_i]$  then their intersection contain a. If G contains only the sets of the form  $[d_j, b]$  then their intersection contain b. Now we assume that G contains both the forms.

Write  $d = \sup \{d_j / [d_j, b] \in G\}$ . Since  $d \ge d_j$ , we have  $d \in [d_j, b]$  for all  $j \in J$ . Now we wish to show that  $d \in [a, c_i]$  for all  $[a, c_i] \in G$ .

In a contrary way suppose  $d \notin [a, c_{i_0}]$  for some  $[a, c_{i_0}] \in G$ . Then  $d > c_{i_0}$ . Since d is the supremum, and  $c_{i_0} < d$ , we have that there exists  $d_{j_0}$  such that  $c_{i_0} < d_{j_0} < d$  and  $[d_{j_0,b}] \in G$ . Now  $[a, c_{i_0}] \cap [d_{j_0,b}] = \phi$ , a contradiction to finite intersection property.

Hence  $d \in [a, c_i]$  for all i. Therefore, the intersection of sets in G is non – empty.  $\therefore$  [a, b] is compact.

Let E be a bounded and closed subset of  $\mathbb{R}$ . Since E is bounded,  $\exists$  an upper bound b and a lower bound a for E. This implies that  $E \subseteq [a, b]$ . Since [a, b] is compact and E is a closed subset of [a, b], we have that E is compact.

## **PRODUCT SPACES**

**Definition**: Let  $(X_1, T_1)$  and  $(X_2, T_2)$  be topological spaces and for the product  $X = X_1 \times X_2$ , consider the class S of all subsets of X of the form  $G_1 \times X_2$  and  $X_1 \times G_2$  where  $G_1$  and  $G_2$  are open subsets of  $X_1$  and  $X_2$  respectively. The class T of all unions of finite intersections of sets in S is a topology on S called product topology on X. Her S is open subbase of T.

**Definition**: Let  $(X_i, \mathfrak{I}_i)_{i \in I}$  be a collection of topological spaces then  $P_iX_i$  is the Cartesian product of  $X_i$ . Here  $p_i: P_iX_i \to X_i$  is defined by  $p_i(\{x_j\}, j \in j) = x_i$ . Here  $S = \{P_i - 1 (G_i) / G_i \in \mathfrak{I}_i\}$ .  $S^* = \{P_iG_i / where G_i \in \mathfrak{I}_i \text{ and } G_i = X_i \text{ for all but a finite}\}$ 

number of  $i \in I$ }. Here  $P_iG_i = \{\{x_i\} \mid \text{where } x_i \in G_i \text{ for some finite number of } i's \text{ and there is no restriction on the other coordinates } xi\}$  now S\* is the open base for  $(X, \mathfrak{J})$ . S\* is the open base generated by the open subbase S.

**Definition**: The class defined above is called the defining open subbase for the product topology.  $F = \{ F / F' \in S \}$  = the class of all products of the form  $P_iF_i$  where  $F_i$  is a closed subset of  $X_i$  which equals to  $X_i$  for all i's but one, is called the defining closed subbase.

**Definition**: S\* defined above is called the defining open base for the product topology. Ie. the defining open base is a tipical one of its sets consists of all points  $x = \{x_i\}$  in the product such that i<sup>th</sup> coordinate  $x_i$  is required to lie in an open subset of  $G_i$  of  $X_i$  for the finite number of i's and all other coordinates being unrestricted.

**Definition**: The product of the non-empty class of topological spaces equipped with the product topology is called a product space.

<u>Tychonoff's theorem</u>: The product of any non – empty class of compact spaces is compact. (M. Imp).

**<u>Proof</u>**: Let  $\{X_i\}$  be a nonempty class compact spaces.

Let  $X = P_iX_i$ ,  $i \in I$ . Let  $\{F_j\}$ ,  $j \in J$ , be a nonempty subclass of the defining closed subbase with finite intersection property for the product topology on X.

This means that each  $F_j$  is a product of the form  $F_j = P_i F_{ij}$ ,  $i \in I$  where  $F_{ij}$  is a closed subset of  $X_i$  which equals  $X_i$  for all i's but one.

For a fixed i,  $\{F_{ij}\}_{j \in J}$  is a class of closed subsets of X<sub>i</sub>.

We now show that this class  $\{F_{ij}\}_{i \in I}$  has finite intersection property.

Let  $F_{ij_1}, F_{ij_2}, ..., F_{ij_n}$  be a finite number of sets in the class  $\{F_{ij}\}_{j \in J}$ . Since the class  $\{F_j\}_{j \in J}$  has the finite intersection property,  $F_{j_1} \cap F_{j_2} \cap ... \cap F_{j_n} \neq \phi$ . Let  $x \in F_{j_1} \cap F_{j_2} \cap ... \cap F_{j_n}$ . Then  $x \in F_{j_k}$  for k = 1, 2, ..., n.  $\therefore x(i) \in \bigcap F_{ij_k}$  for k = 1, 2, ..., n.  $\Rightarrow x(i) \in F_{ij_1} \cap F_{ij_2} \cap ... \cap F_{ij_n}$   $\Rightarrow F_{ij_1} \cap F_{ij_2} \cap ... \cap F_{ij_n} \neq \phi$ .  $\therefore$  the class  $\{F_{ij}\}_{i \in J}$  has finite intersection property. Since  $X_i$  is compact,  $\bigcap_{j \in J} F_{ij} \neq \phi$ . Let  $y_i \in \bigcap_{j \in J} F_{ij}, j \in J$  then  $y_i \in F_{ij} \forall j \in J$ . Define y by  $y(i) = y_i$ . Then  $y(i) \in F_{ij} \forall j \in J$ . Now  $y = \{y(i)\} \in P_i F_{ij} = F_j \forall j \in J$ .  $\Rightarrow y \in \bigcap_{j \in J} F_j$ .  $\therefore \bigcap_{j \in J} F_j \neq \phi$ . Hence X is compact.

<u>Generalised Heine Borel theorem</u>: Every closed and bounded subspace of  $\mathbb{R}^n$  is compact. (Imp).

**<u>Proof</u>**: For  $1 \le i \le n$ , consider  $X_i = [a_i, b_i]$ , the closed interval with endpoints  $a_i$ , and  $b_i$  with  $a_i < b_i$ . Now  $X_i = \prod_{i=1}^n X_i = \prod_{i=1}^n [a_i, b_i] = \{(x_1, x_2, ..., x_n) / a_i < x_i < b_i$  for  $1 \le i \le n\}$  is a closed rectangle in  $\mathbb{R}^n$ . First, we show that this closed rectangle X is compact. Since each  $[a_i, b_i]$  is a closed and bounded interval of  $\mathbb{R}$ , by Heine – Borel theorem, we have  $X_i = [a_i, b_i]$  is compact for  $1 \le i \le n$ .

 $\therefore$  By Tychonoff's theorem,  $X = \prod_{i=1}^{n} X_i$  is compact.

Let E be a closed and bounded subspace of  $\mathbb{R}^n$ . Since E is bounded,  $\exists a_i, b_i \in \mathbb{R}$  for  $1 \le i \le n$ , such that  $E \subseteq \{(x_1, x_2, ..., x_n) \in \mathbb{R}^n / a_i \le x_i \le b_i \text{ for } 1 \le i \le n\} = X$  say. Now E is a closed subset of X. By above part X is compact. Since E is a closed subset of the compact space X, by theorem we have that E is compact.  $\therefore$  Every closed and bounded subspace of  $\mathbb{R}^n$  is compact.

**Definition**: A topological space is said to be locally compact if each of its points has a neighbourhood with compact closure (compact closure means for any  $x \in X$ , there exists a nbd  $G_x$  such that  $x \in G_x$ ,  $\overline{G_x}$  is a compact set.

#### COMPACTNESS FOR METRIC SPACES

**Definition**: A metric space is said to have the Bolzano – Weierstrass property if every infinite subset has a limit point. (ii) A metric space is said to be sequentially compact if every sequence in it has a convergent subsequence.

<u>**Theorem</u>**: A metric space is sequentially compact if and only if it has the Bolzano Weierstrass property. (M. Imp)</u>

**<u>Proof</u>**: Let X be a metric space. Assume that X is sequentially compact.

Let A be an infinite subset of X. Let al be any point of A.

Having chosen  $a_1, a_2, a_3, ..., a_{n-1}$ , consider the set  $A - \{a_1, a_2, a_3, ..., a_{n-1}\}$ .

Since A is infinite and so choose an element  $a_n \in A - \{a_1, a_2, a_3, \dots a_{n-1}\}$ .

By induction we get a sequence  $\{a_n\}$  of distinct points from A.

Since X is sequentially compact, the sequence  $\{a_n\}$  has a convergent subsequence

 $\{a_{n_k}\}$  of distinct points converging to a (say). By a theorem, a is a limit point of the set  $\{a_{n_k}: k \ge 1\}$ . Since the set  $\{a_{n_k}: k \ge 1\} \subseteq A$ , a is a limit point of A. Hence X has Bolzano - Weierstrass property. Conversely suppose that X has the Bolzano – Weierstrass property. Let  $\{a_n\}$  be a sequence in X. Let A be the set of points of the sequence  $\{a_n\}$ .ie. A =  $\{a_n / n \ge 1\}$ . Case (i): Suppose A is finite. Then  $\exists$  a in A which repeats infinite times. So  $\exists$  a subsequence  $\{a_{n_k}\}$  of  $\{a_n\}$  such that  $a_{n_1} = a_{n_2} = \dots = a$ . Then clearly the sequence  $\{a_{n_k}\}$  converges to a. Case (ii): Assume that A is infinite. By hypothesis, A has a limit point say a. Take  $r_1 = 1$ . Now the open sphere  $S_{r_1}(a)$  contains a point of A.  $\therefore \exists$  a positive integer  $n_1 \ni a_{n_1} \in S_{r_1}(a)$ . Ie.  $d(a, a_{n_1}) < r_1 = 1$ . Take  $r_2 = \min \{ d(a, a_{n_1}), 1/2 \}$ . Since  $S_{r_2}(a) \cap A \neq \emptyset$ ,  $\exists n_2 > n_1 \ni a_{n_2} \in S_{r_2}(a)$ . I.e.  $d(a, a_{n_2}) < \frac{1}{2}$ . Having chosen  $n_1, n_2, ..., n_{k-1}$ , choose  $n_k \ni n_k > n_{k-1}$  and  $d(a, a_{n_k}) < \frac{1}{k}$ . By induction, we get a subsequence  $\{a_{n_k}\} \neq d(a, a_{n_k}) < \frac{1}{k} \forall k$ .

Clearly the subsequence  $\{a_{n_{k}}\}$  converges to a.

<u>**Theorem</u>**: Every compact metric space has the Bolzano Weierstrass property (less imp).</u>

**<u>Proof</u>**: Let X be a compact metric space. let A be an infinite subset of X. In a contrary way, suppose A has no limit point. If a is a point of X then a in not a limit point of A and hence there is an open sphere  $S_{r_a}(a)$  such that  $S_{r_a}(a) \cap A - \{a\} = \phi$ . i.e.  $S_{r_a}(a) \cap A \subseteq \{a\}$ . i.e.  $S_{r_a}(a) \cap A \subseteq \{a\}$ . i.e.  $S_{r_a}(a) \cap A = \{a\}$  or  $S_{r_a}(a) \cap A = \phi$ .

Consider the class  $\{S_{r_a}(a)/a \in X\}$  of all these open spheres. Clearly this is an open cover for X. Ie.  $X = \bigcup_{a \in X} S_{r_a}(a)$ . Since X is compact, this open cover has a finite subcover, say,  $S_{r_{a_1}}(a_1)$ ,  $S_{r_{a_2}}(a_2)$ , ...,  $S_{r_{a_m}}(a_m)$  where  $a_1, a_2, ..., a_m \in X$ .  $\therefore A = A \cap X = A \cap \{S_{r_{a_1}}(a_1) \cup S_{r_{a_2}}(a_2) \cup ... \cup S_{r_{a_m}}(a_m)\}$ .  $= \{A \cap S_{r_{a_1}}(a_1)\} \cup \{A \cap S_{r_{a_2}}(a_2)\} \cup ... \cup \{A \cap S_{r_{a_m}}(a_m)\}$  $\subseteq \{a_1\} \cup \{a_2\} \cup ... \cup \{a_m\} = \{a_1, a_2, ..., a_m\}$ .  $\Rightarrow$  A is finite which is a contradiction to the fact that A is infinite.

: A has a limit point. Hence X has the Bolzano -Weierstrass property.

**Definition**: Let  $\{G_i\}$  be an open cover of a metric space X. A real number a > 0 is called a Lebesgue number for the given open cover  $\{G_i\}$ , if each subset A of X with d(A) < a is contained in at least one  $G_i$ . I.e. a is the Lebesgue number, if  $A \subseteq X$ ,  $d(A) < a \Rightarrow A \subseteq G_i$  for some i.

**Definition**: Suppose X is a metric space and  $\{G_i\}_{i \in I}$  be an open cover. A subset A of X is said to be big if  $A \not\subseteq G_i$  for any  $i \in I$ .

<u>Note</u>: (i) Singleton subsets are not big sets. (ii) If A is a big set then A contains at least two points. <u>Sol</u>: (i) Let  $x \in X$ . Write  $A = \{x\}$ . Now  $x \in X \subseteq \bigcup G_i \Rightarrow x \in G_i$  for some  $i \Rightarrow A \subseteq$ 

G<sub>i</sub>. So A is not big.

**Example**: Let  $X = \{a, b, c\}$ . Define  $d : X \times X \rightarrow \mathbb{R}$  by d(x, y) = 0 if x = yand 1 if  $x \neq y$ . Then d is a metric on X. Every subset of X is open in X. Write  $B = \{\{a, b\}, \{b, c\}\}$ . Then B is an open cover for X. If  $A = \{a, c\}$  then A is a big set. Also  $\{a\}, \{b\}, \{c\}$  are not big sets. Let  $0 < s \le 1$ . We show that s is a Lebesgue number for B. Let G be any subset of X such that d(G) < s. Then d(G) <1.  $\Rightarrow$  G is a singleton set. If  $G = \{a\}$  or  $\{b\}$  then  $G \subseteq \{a, b\}$ . If  $G = \{c\}$  then  $G \subseteq$  $\{b, c\}$ . This shows that s is a Lebesgue number for B. Let s > 1. Then d(X) = 1 < s. But  $X \not\subseteq \{a, b\}$  and  $X \not\subseteq \{b, c\}$ .  $\therefore$  any real number s > 1 is not a Lebesgue number.

<u>**Theorem</u>**: Lebesgue's covering lemma: In a sequentially compact metric space every open cover has a Lebesgue number. (M. Imp)</u>

**<u>Proof</u>**: Let X be sequentially compact metric space and  $\{G_i\}_{i \in I}$  be an open cover of X. Case (i) Suppose X contains no big sets. In this case, we will show that every positive real number is Lebesgue number for the open cover  $\{G_i\}_{i \in I}$ . Let a > 0 be a real number. Let A be a subset of X such that d(A) < a. Since X contains no big sets, A is not a big set.  $\therefore \exists i \in I$  such that  $A \subseteq G_i$ . Hence a is a Lebesgue number for  $\{G_i\}$ .

Case (ii): Step (i): Suppose X contains big sets. Let  $a' = glb \{d(A) / A \text{ is a big set}\}$ . Clearly  $0 \le a' < \infty$ . Now we show that a' > 0. If possible, suppose a' = 0. Now we construct an infinite sequence  $\{x_n\}$  of distinct points. For this consider 1. Since 1 > 0, and  $a' = 0 = glb \{d(A) / A \text{ is a big set}\}$ , there is a big set  $B_1$  such that  $0 < d(B_1) < 1$ . Let  $x_1 \in B_1$ . Since  $\frac{1}{2} > 0 \exists$  a big set  $B_2$  such that  $0 < d(B_2) < \frac{1}{2}$ . Since  $B_2$  is a big set containing atleast two points, take  $x_2 \in B_2 \setminus \{x_1\}$ . Clearly  $x_1 \neq x_2$ . Write  $r_3 = \min \{1/3, d(\{x_1, x_2\})\}$ . Since  $x_1 \neq x_2$ , we have  $d(x_1, x_2) \neq 0$ .  $\therefore$   $r_3 > 0$ .now  $\exists$  a big set  $B_3$  such that  $0 < d(B_3) < r_3$ . Now if  $x_1 \in B_3$  then  $x_2 \notin B_3$  (if both  $x_1, x_2 \in B_3$  then  $d(B_3) \ge d(x_1, x_2) \ge r_3 > d(B_3)$ , a contradiction). Now  $x_1, x_2$  and  $x_3$  are distinct points. After constructing  $\{x_1, x_2, ..., x_n\}$ , write  $r_{n+1} = \min \{1/n, d(\{x_1, x_2, ..., x_n\})\}$ . Since  $r_{n+1} > 0 \exists$  a big set  $B_{n+1}$  such that  $0 < d(B_{n+1}) < r_{n+1}$ . Let  $x_{n+1} \in B_{n+1} \setminus \{x_1, x_2, ..., x_n\}$ . In this way we construct a sequence  $\{x_n\}$  of distinct points. Note that for each n, we have  $d(B_n) < 1/n$ . Step (ii): Since X is sequentially compact,  $\exists$  a subsequence  $\{x_{n_k}\}$  of  $\{x_n\}$ , which converges to a point  $x \in X$ . So,  $x \in G_i$  for some  $i \in I$ . Since  $G_i$  is open,  $\exists r > 0$  such that  $S_r(x) \subseteq G_i$ . Consider the open sphere  $S_{r/2}(x)$ . Since  $x_{n_k} \to x$ ,  $\exists$  m such that  $x_{n_m} \in S_{r/2}(x)$  for some  $m \ge k$ . Let  $m \ge k$  and  $0 < \frac{1}{n_m} < \frac{r}{2}$ . Take  $y \in B_{n_m}$ . Now  $y, x_{n_m} \in B_{n_m} \Rightarrow d(y, x_{n_m}) < \frac{1}{n_m} < \frac{r}{2}$ .  $\therefore d(x, y) \le d(x, x_{n_m}) + d(x_{n_m}, y) < \frac{r}{2} + \frac{r}{2} = r \Rightarrow y \in S_r(x) \subseteq G_i$ . Hence  $y \in B_{n_m}$  $\Rightarrow y \in G_i$ .  $\therefore B_{n_m} \subseteq G_i$ , a contradiction to the fact that  $B_{n_m}$  is a big set. So  $a' \neq 0$  Hence a' > 0. Now we show that a' is a big number. Let Y be any subset of X with d(Y) < a'.

Then Y is not a big set(If Y is a big set, then  $a' \le d(Y)$  (by construction of a') and so  $a' \le d(Y) < a'$ , a contradiction]. This means that  $Y \subseteq G$ , for some  $i \in I$ .  $\therefore$  a' is a Lebesgue number.

**Definition**: (i) Let X be a metric space and  $\varepsilon > 0$ . A subset A of X is called an  $\varepsilon$  – net if A is finite and  $X = \bigcup_{a \in A} S_{\varepsilon}(a)$ .

(ii) X is said to be totally bounded if it has an  $\varepsilon$  - net for each  $\varepsilon > 0$ .

**Theorem**: Every sequentially compact metric space is totally bounded. (M. Imp) **Proof**: Let X be a sequentially compact metric space. If possible suppose X is not totally bounded. Ie. X has no  $\varepsilon$  - net for some  $\varepsilon > 0$ . Take this  $\varepsilon$ . Let  $a_1 \in X$ . Since  $\{a_1\}$  is not an  $\varepsilon$  - net for X, X  $\nsubseteq S_{\varepsilon}(a_1)$ . Let  $a_2 \in X \setminus S_{\varepsilon}(a_1)$ . Clearly  $d(a_1, a_2) \ge \varepsilon$ . Consider  $\{a_1, a_2\}$ . Since this is not an  $\varepsilon$  - net,  $\exists a_3 \in X \setminus \{S_{\varepsilon}(a_1) \cup S_{\varepsilon}(a_2)\}$ . Clearly  $d(a_1, a_3) \ge \varepsilon$ ,  $d(a_3, a_2) \ge \varepsilon$ .

Having chosen  $a_1, a_2, ..., a_n$  select  $a_{n+1} \in X \setminus \{S_{\varepsilon}(a_1) \cup S_{\varepsilon}(a_2) \cup ... \cup S_{\varepsilon}(a_n)\}$ . Continuing this process  $\{a_n\}$  is a sequence of distinct points  $\ni d(a_i, a_j) \ge \varepsilon$  for  $i \ne j$ . Since X is sequentially compact  $\exists$  a convergent subsequence  $\{a_{n_k}\}$  of  $\{a_n\}$ . Since it is convergent it is also Cauchy sequence.

Since  $\varepsilon > 0$ ,  $\exists$  a positive integer k  $\ni d(a_{n_i}, a_{n_j}) < \varepsilon$  for all  $n_i, n_j \ge k$ , a contradiction to the fact that  $d(a_{n_i}, a_{n_j}) \ge \varepsilon$ . Hence X is totally bounded.

**Theorem:** Every sequentially compact metric space is compact. (very imp). **Proof**: Let X be a sequentially compact metric space.

Let  $\{G_i\}_{i \in I}$  be an open cover of X.

Since X is sequentially compact, by Lebesgue covering lemma, the open cover has a Lebesgue number a say.

Take  $\varepsilon = a / 3 > 0$ .

Since X is sequentially compact, X is totally bounded, and hence X has an  $\varepsilon$  - net, say  $A = \{x_1, x_2, x_3, ..., x_n\}$ .

 $\Rightarrow X = \bigcup_{k=1}^{n} S_{\varepsilon}(x_{k}).$  We know that  $d(S_{\varepsilon}(x_{k})) \le 2\varepsilon = 2a/3 < a$  for each  $1 \le k \le n$ . Since a is a Lebesgue number for the open cover  $\{G_{i}\}$  and  $d(S_{\varepsilon}(x_{k})) < a$ , we have that  $S_{\varepsilon}(x_{k}) \subseteq G_{i_{k}}$  for some  $i_{k} \in I$ .

$$\therefore X = \bigcup_{k=1}^{n} S_{\varepsilon}(x_k) \subseteq \bigcup_{k=1}^{n} G_{i_k} \subseteq X.$$
$$\Rightarrow X = \bigcup_{k=1}^{n} G_{i_k}.$$

Thus the open cover  $\{G_i\}$  has finite subcover  $\{G_{i_k}\}, k = 1, 2, ..., n$ .

Hence X is compact.

<u>**Theorem</u>**: Any continuous mapping of a compact metric space into a metric space is uniformly continuous. (Imp).</u>

**<u>Proof</u>**: Let f: X  $\rightarrow$  Y be a continuous mapping of a compact metric space X into a metric space Y. Let d<sub>1</sub> and d<sub>2</sub> be the metrics on X and Y respectively. We prove that f is uniformly continuous. Let  $\varepsilon > 0$ . For any  $x \in X$ , consider the open sphere  $S_{\frac{\varepsilon}{2}}{f(x)}$  with center f(x) and radius  $\varepsilon/2$  in Y. Since f is continuous, we have that  $f^{-1}\left[S_{\frac{\varepsilon}{2}}{f(x)}\right]$  is open in X. This is true for any  $x \in X$ .

Consider the family  $\mathfrak{A} = \left\{ f^{-1} \left[ S_{\frac{\varepsilon}{2}} \{ f(x) \} \right] / x \in X \right\}.$ 

It is clear that  $\mathfrak{A}$  is a family of open sets in X which forms an open cover for X. Since X is compact, it is sequentially compact.

So by Lebesgue covering lemma, the open cover  $\mathfrak{A}$  has a Lebesgue number, say  $\delta$ . Suppose  $x, x' \in X$  such that  $d_1(x, x') < \delta \Rightarrow d(\{x, x'\}) < \delta$ .

Since  $\delta$  is a Lebesgue number,  $\{x, x'\} \subseteq \left\{f^{-1}\left[S_{\frac{\varepsilon}{2}}\{f(y)\}\right]\right\}$  for some  $y \in X$   $\Rightarrow f(x), f(x') \in S_{\frac{\varepsilon}{2}}\{f(y)\} \Rightarrow d_2(f(x), f(y)) < \varepsilon / 2$  and  $d_2(f(x'), f(y)) < \varepsilon / 2$ . Consider  $d_2(f(x), f(x'))$ . Now  $d_2(f(x), f(x')) \le d_2(f(x), f(y)) + d_2(f(y), f(x')) < \varepsilon / 2 + \varepsilon / 2 = \varepsilon$ . So  $\exists \delta > 0$  for any  $x, x' \in X$  such that  $d_1(x, x') < \delta \Rightarrow d_2(f(x), f(x')) < \varepsilon$ .

Hence f is uniformly continuous.

<u>**Theorem</u>**: A metric space is compact if and only if it is complete and totally bounded. (very imp)</u>

**<u>Proof:</u>** Suppose (X, d) be a metric space. Suppose X is compact.

Then X is sequentially compact.  $\Rightarrow$  X is totally bounded.

Claim: X is complete. Let  $\{x_n\}$  be a Cauchy sequence in X. Since X is sequentially compact  $\{x_n\}$  has a convergent subsequence  $\{x_{n_k}\}$ . By a problem  $\{x_n\}$  is

convergent. Hence X is complete.

Conversely suppose that X is complete and totally bounded.

Claim: X is sequentially compact.

Claim: Every sequence has a Cauchy subsequence.

Let  $S_1 = \{x_{11}, x_{12}, x_{13}, ...\}$  be an arbitrary sequence in X. If the set of points  $S_1$  is finite, then there exists an element which repeats infinite number of times.

∴ S<sub>1</sub> has a constant subsequence which is convergent. Suppose the set of points of S<sub>1</sub> is infinite. Since X is totally bounded X has an ½ -net say {y<sub>1</sub>, y<sub>2</sub>, ..., y<sub>n</sub>} ⇒  $X = \bigcup_{i=1}^{n} S_{\frac{1}{2}}(y_i)$ 

Then 
$$S_1 = S_1 \cap X = S_1 \cap \left\{ \bigcup_{i=1}^n S_{\frac{1}{2}}(y_i) \right\} = \bigcup_{i=1}^n \left\{ S_1 \cap S_{\frac{1}{2}}(y_i) \right\}$$

Since  $S_1$  is infinite,  $S_1 \cap S_{\frac{1}{2}}(y_i)$  is infinite for at least one i.

 $\therefore$  S<sub>1</sub> has a subsequence, S<sub>2</sub> = {x<sub>21</sub>, x<sub>22</sub>, x<sub>23</sub>, ...} and all of the points of S<sub>2</sub> lie in the same open sphere of radius <sup>1</sup>/<sub>2</sub>. We continue like this we have S<sub>1</sub>, S<sub>2</sub>, ..., S<sub>n</sub>, ... such that S<sub>n</sub> is a subsequence of S<sub>n-1</sub> and all of the points of S<sub>n</sub> lie in some open sphere of radius 1/n.

Then  $S = \{x_{11}, x_{22}, x_{33}, ...\}$  is a diagonal subsequence of  $S_i$ , i = 1, 2, ...Claim: S is a Cauchy subsequence.

Let  $\varepsilon > 0$ . We can choose an integer M > 0 such that  $2/M < \varepsilon$ . Since  $S_i$  is a subsequence of  $S_{i-1}$ , for all  $n, m \ge M, x_{nn}, x_{mm} \in S_M$ .  $\Rightarrow x_{nn}, x_{mm} \in S_{1/M}(y)$  for

some  $y \in X$ .

 $\Rightarrow d(x_{nn}, y) \leq 1/M, \, d(x_{mm}, y) \leq 1/M \ \Rightarrow d(x_{nn}, x_{mm}) \leq 2/M \leq \epsilon.$ 

 $\therefore$  S is a Cauchy subsequence of S<sub>1</sub>. Since X is complete, S is convergent sequence.

 $\therefore$  S is a convergent subsequence of S1.  $\therefore$  X is sequentially compact.

Hence X is compact.

Theorem: A closed subspace of a complete metric space is compact iff it is totally bounded.

**Definition:** Let X be a compact metric space with metric d and let A be a nonempty set of continuous real or complex valued functions defined on X. A is said to be **equicontinuous** if for each  $\varepsilon > 0$  there exists  $\delta > 0$  such that  $x, x' \in X, d(x, x') < \delta \Rightarrow |f(x) - f(x')| < \varepsilon$  for all  $f \in A$ .

## ASCOLI'S THEOREM.

<u>**Theorem</u>**: If X is a compact metric space, then a closed subspace F of  $C(X, \mathbb{R})$  or  $C(X, \mathbb{C})$  is compact iff it is bounded and equicontinuous.</u>

**<u>Proof</u>**: Let X be a compact metric space and F be a closed subspace of  $C(X, \mathbb{R})$  or  $C(X, \mathbb{C})$ .

Suppose F is compact.

Since F is compact subspace of  $C(X, \mathbb{R})$  or  $C(X, \mathbb{C})$ , F is bounded.

Since X is compact every  $f \in C(X, \mathbb{R})$  or  $C(X, \mathbb{C})$  is uniformely continuous.

Claim: F is equicontinuous.

Let  $\varepsilon > 0$ .

Since F is compact, F is sequentially compact and hence F is totally bounded.

 $\therefore \text{ F has an } \varepsilon/3 - \text{net, say } \{f_1, f_2, f_3, ..., f_n\} \Rightarrow F = \bigcup_i^n S_{\varepsilon/3}(f_i).$ 

Let  $f \in F$ .

 $\Rightarrow f \in S_{\varepsilon_{/3}}(f_k) \text{ for some } k.$   $\Rightarrow ||f - f_k|| < \varepsilon_{/3}.$   $\Rightarrow |f(x) - f_k(x)| < \varepsilon_{/3} \text{ for all } x \in X...(i)$ Since each  $f_k \in F$  is uniformly continuous, for each  $k = 1, 2, 3, ..., n, \exists \delta_k > 0$  such that  $d(x, x') < \delta_k \Rightarrow |f_k(x) - f_k(x')| < \varepsilon_{/3}$ Let  $\delta = \min \{\delta_1, \delta_2, ..., \delta_n\}.$ Suppose  $d(x, x') < \delta.$   $\Rightarrow d(x, x') < \delta_k \text{ for all } k = 1, 2, ..., n.$   $\Rightarrow |f_k(x) - f_k(x')| < \varepsilon_{/3} \text{ for } k = 1, 2, ..., n \quad ...(ii)$ Now  $|f(x) - f(x')| \le |f(x) - f_k(x)| + |f_k(x) - f_k(x')| + |f(x') - f_k(x')| < \varepsilon_{/3} + \varepsilon_{/3} + \varepsilon_{/3} = \varepsilon.$ Hence F is equicontinuous.

**Converse**: Suppose F is bounded and equicontinuous. **Claim:** F is sequentially compact. Part (i):  $C(X, \mathbb{R})$  is complete and F is closed in  $C(X, \mathbb{R})$ .  $\therefore$  F is complete. Since X is compact it is separable.  $\therefore$  X has a countable dense subset say  $A = \{x_2, x_3, ..., x_n, ...\}$ , say. Part II: Let  $S_1 = \{f_{11}, f_{12}, f_{13}, ...\}$  be an arbitrary sequence.

Since F is bounded  $\exists$  a real number k > 0 such that  $||f|| \le k$  for all  $f \in F$ .  $\Rightarrow |f(x)| < k$  for all  $f \in F$  and  $x \in X$ . Then  $\{f_{1i}(x_2)\}$  is a bounded sequence of real numbers. : This sequence has a convergent subsequence. Let  $S_2 = \{f_{21}, f_{22}, f_{23}, ...\}$  be a subsequence of  $S_1$  such that  $\{f_{2i}(x_2)\}$  is convergent. [Then  $\{f_{2i}(x_3)\}$  is a bounded sequence of real numbers. As above this sequence has a convergent subsequence  $S_3 = \{f_{31}, f_{32}, f_{33}, ...\}$  of  $S_2$ such that  $\{f_{3i}(x_3)\}$  is convergent.] Continuing in this way we have  $S_1 = \{f_{11}, f_{12}, f_{13}, ...\}, S_2 = \{f_{21}, f_{22}, f_{23}, ...\}, ...,$  $S_i = \{f_{i1}, f_{i2}, f_{i3}, ...\}$ ... such that  $S_i$  is a subsequence of  $S_{i-1}$ , and  $\{f_{ij}(x_i)\}$  is convergent. Part III: Then  $S = \{f_{11}, f_{22}, f_{33}, ...\}$  is a diagonal sequence of  $S_i$ , i = 1, 2, ... and subsequence of  $S_1$ . Write  $f_n = f_{nn}$ .  $\therefore \{ f_n(x_i) \}$  is a convergent subsequence for each  $x_i \in A$ . Claim: S is a Cauchy sequence. Let  $\varepsilon > 0$ . Since F is equicontinuous,  $\exists \delta > 0 \ni d(x, x') < \delta \Rightarrow |f_n(x) - f_n(x')| < \varepsilon/2...(i)$ Since A is dense in X,  $\mathcal{B} = \{ S_{\delta}(x_i) : x_i \in A \}$  is an open cover for X. [For  $x \in X = \overline{A} \Rightarrow S_{\delta}(x) \cap A \neq \phi \Rightarrow x_i \in S_{\delta}(x)$  for some  $x_i \in A \Rightarrow d(x, x_i) < \delta$  $\Rightarrow$  x  $\in$   $S_{\delta}(x_i) \Rightarrow$  x  $\in \bigcup S_{\delta}(x_i).$ Since X is compact  $X \subseteq \bigcup_{i=2}^{t} S_{\delta}(x_i)$  for some positive integer t. Since  $\{f_n(x_i)\}\$  is a convergent subsequence for each  $x_i \in A$ ,  $\{f_n(x_i)\}\$  is a Cauchy sequence for each  $x_i \in A$ .  $\therefore$  {f<sub>n</sub>(x<sub>i</sub>)} is a Cauchy sequence for each x<sub>2</sub>, x<sub>3</sub>, ..., x<sub>t</sub>.  $\Rightarrow$  For each i = 2, 3, ..., t  $\exists$  integer M<sub>i</sub>  $\Rightarrow$   $|f_n(x_i) - f_m(x_i)| < \mathcal{E}/_3 \quad \forall n, m \ge M_i.$ Write M = max {M<sub>i</sub>, i = 2, 3, ..., t}. Then  $|f_n(x_i) - f_m(x_i)| < \frac{\varepsilon}{3} \forall n, m \ge M$ . Let  $\mathbf{x} \in \mathbf{X} \subseteq \bigcup_{i=2}^{t} S_{\delta}(x_i)$  $\Rightarrow$  x  $\in S_{\delta}(x_i)$  for some i,  $2 \le i \le t$  $\Rightarrow$  d(x, x') <  $\delta$  for n, m  $\geq$  M.  $\therefore |f_n(x) - f_m(x)| \le |f_n(x) - f_n(x_i)| + |f_n(x_i) - f_m(x_i)| + |f_m(x_i) - f_m(x)|$  $< \frac{\varepsilon}{3} + \frac{\varepsilon}{3} + \frac{\varepsilon}{3} = \varepsilon$  for all n, m  $\ge$  M.  $\Rightarrow \sup \{ |f_n(x) - f_m(x)| \} < \varepsilon \Rightarrow ||f_n - f_m|| < \varepsilon \text{ for all } n, m \ge M.$  $\therefore$  S is a Cauchy sequence.  $\therefore$  S is convergent subsequence of S<sub>1</sub>. : F is sequentially compact and hence F is compact.



Fax: 08816-227318 off: 08816-224072, 224119,228342 Mobile: 9491334119

DANTULURI NARAYANA RAJU COLLEGE

(Autonomous) BHIMAVARAM, W.G.DIST, ANDHRA PRADESH, INDIA, PIN- 534202. (Accredited at 'B<sup>++</sup>, level by NAAC) (Affiliated to Adikavi Nannaya University, Rajamahendravaram)

E – CONTENT PAPER: M 104, TOPOLOGY M. Sc. I YEAR, SEMESTER - I UNIT – IV: SEPARATION



## M 104: TOPOLOGY

# UNIT IV - SEPARATION

# T<sub>1</sub> - SPACES AND HAUSDORFF SPACES

**Definition**: A T<sub>1</sub> - space is a topological space in which given any pair of distinct elements, each has a neighbourhood which does not contain the other. (equivalently, if x and y are elements such that  $x \neq y$  then there exists neighbourhoods G and H of x and y respectively such that  $y \notin G$  and  $x \notin H$ .

**Example** (i): Suppose  $X = \{a, b, c\}, \mathfrak{I} = \{\phi, \{a\}, \{a, b\}, X\}$ . Then X is not a  $T_1$  – space.

(ii) Let X be an infinite set. Write  $\Im = \{A \subseteq X : A' \text{ is finite}\} \cup \{\phi\}.$ 

Then X is a T<sub>1</sub> - space. Let  $x, y \in X$  such that  $x \neq y$ .

Then  $\{x\}'$  and  $\{y\}'$  are open sets in X; and  $x \in \{y\}'$  and  $y \in \{x\}'$  but  $x \notin \{x\}'$ ,

 $y \notin \{y\}'$ . Hence X is a T<sub>1</sub> Space.

**Note**: Every discrete topological space is a  $T_1$  - Space. **Remark**: Every subspace of a  $T_1$ -Space is also a  $T_1$ -Space. **Proof**: Let X be a  $T_1$ -space and Y be any subspace of X. Let  $y_1, y_2$  where  $y_1 \neq y_2$  be any two - points in Y.  $\because Y \subseteq X, X$  is a  $T_1$ -space,  $\exists$  an open sets G and H in X  $\ni y_1 \in G, y_2 \notin G, y_2 \in H, y_1 \notin H$ . Put  $A = G \cap Y$  and  $B = H \cap Y$ . Then A and B are open sets in  $Y \ni y_1 \in A, y_2 \notin A, y_2 \in B$  and  $y_1 \notin B$ .  $\therefore Y$  is a  $T_1$ -Space. Thus every subspace of a T. Space is also a T. Space

Thus every subspace of a  $T_1$ -Space is also a  $T_1$ -Space.

**Theorem:** A topological space is a T<sub>1</sub>-space if and only if each point is a closed set.

**<u>Proof</u>**: Let X be a topological space. Assume that X is a  $T_1$  space.

Let  $x \in X$ . Now we show that  $\{x\}$  is a closed set.

To prove this, it is enough to prove  $\{x\}'$  is open.

Let  $y \in \{x\}'$ . Then  $y \neq x$ . Since X is a T<sub>1</sub>-space and x,  $y \in X$  such that  $x \neq y$ , there exists neighbourhood H of y such that H does not contain x.

Now  $y \in H \subseteq \{x\}'$ . This shows that y is an interior point of  $\{x\}'$ .

Hence  $\{x\}'$  is open.

Converse: Suppose that each point is a closed set.

Let x, y be any two points of X such that  $x \neq y$ . Put G = {y}' and H = {x}'. By hypothesis, G and H are open sets such that  $x \in G$ ,  $y \notin G$  and  $y \in H$ ,  $x \notin H$ . Therefore, X is a T<sub>1</sub>-space.

**Definition**: A Hausdorff space is a topological space in which each pair of distinct points can be separated by open sets (equivalently, if  $x \neq y$  are distinct points, then there exists open sets G and H such that  $x \in G$ ,  $y \in H$  and  $G \cap H = \phi$ ).

**Result**: (i) Every discrete topological space is a Hausdorff space. **Proof**: Let  $(X, \mathfrak{I})$  be a discrete topological space. Let x, y  $\in$  X and x  $\neq$  y. Then {x}, {y} are open such that x  $\in$  {x}, y  $\in$  {y} and {x}  $\cap$  {y} =  $\phi$ .  $\therefore$  (X,  $\mathfrak{I}$ ) is Hausdorff space.

**Result** (ii) Every metric space is a Hausdorff space. **Proof**: Let (X, d) be a metric space. Let  $x, y \in X$  and  $x \neq y$ . Then d(x, y) > 0. Let r = d(x, y).

Then  $S_{\frac{r}{2}}(x)$ ,  $S_{\frac{r}{2}}(y)$  are open sets,  $x \in S_{\frac{r}{2}}(x)$ ,  $y \in S_{\frac{r}{2}}(y)$  and  $S_{\frac{r}{2}}(x) \cap S_{\frac{r}{2}}(y) = \phi$  $\therefore$  (X, d) is Hausdorff space.

**Result (iii)**: Every Hausdorff space is a  $T_1$ -space. But the converse need not be true.

**Proof:** Let  $(X, \mathfrak{I})$  be a Hausdorff space and  $x, y \in X \ni x \neq y$ .

Then  $\exists$  open sets G and H  $\ni x \in G$ ,  $y \in H$  and  $G \cap H = \phi$ . Clearly  $y \notin G$  and  $x \notin H$ .  $\therefore$  Every Hausdorff space is a  $T_1$  – space.

Converse need not be true. For this consider the following example.

Let X be an infinite set. Write  $\Im = \{A \subseteq X : A' \text{ is finite}\} \cup \{\phi\}.$ 

Then  $(X, \mathfrak{I})$  is a T<sub>1</sub> - Space (see example).

Now we will show that X is not a Hausdorff space.

In a contrary way, suppose that X is a Hausdorff space.

Take x,  $y \in X$  such that  $x \neq y$ . Since X is Hausdorff there exists neighbourhoods G and H of x and y respectively such that  $G \cap H = \phi$  (by def.).

Since G and H are non-empty open sets, we have G' and H' are finite.

Now 
$$G \cap H = \phi \Longrightarrow (G \cap H)' = \phi' \Longrightarrow G' \cup H' = X$$

This shows that X is finite, a contradiction. Hence X is not Hausdorff.

Result (iv): Every subspace of a Hausdorff space is a Hausdorff space.

**Proof**: Let X be a Hausdorff space and Y be any subspace of X.

Let  $y_1 \neq y_2$  be two - points in Y.

: X is Hausdorff,  $\exists$  open sets G and H in X  $\ni$   $y_1 \in G$ ,  $y_2 \in H$ , and  $G \cap H = \phi$ . Put A = G  $\cap$  Y and B = H  $\cap$  Y.

Then A and B are open sets in Y. Clearly  $y_1 \in A$ ,  $y_2 \in B$  and  $A \cap B \subseteq G \cap H = \phi$ .  $\therefore$  Y is a Hausdorff space.

Hence every subspace of a Hausdorff space is a Hausdorff space.

**Theorem:** The product of any non-empty class of Hausdorff spaces is Hausdorff. **Proof**: Let  $\{X_i\}$  be a non-empty class of Hausdorff spaces.

Let  $X = P_i X_i$  be the Product of X<sub>i</sub>'s.

Let  $x = \{x_i\}$  and  $y = \{y_i\}$  be any two distinct points in X.

Then  $x_{i_0} \neq y_{i_0}$  for at least one index  $i_0$ .

Since  $X_{i_0}$  is a Hausdorff space and  $x_{i_0} \neq y_{i_0}$  are distinct points in  $X_{i_0}$  there exists open sets  $G_{i_0}$  and  $H_{i_0}$ , in  $X_{i_0}$  such that  $x_{i_0} \in G_{i_0}$ ,  $y_{i_0} \in H_{i_0}$  and  $G_{i_0} \cap H_{i_0} = \phi$ . Define  $A = P_i A_i$  where  $A_i = X_i$  for  $i \neq i_0$  and  $A_{i_0} = G_{i_0}$  and  $B = P_i B_i$  where  $B_i = X_i$ for  $i \neq i_0$  and  $B_{i_0} = H_{i_0}$ .

Now A and B are open sets in X such that  $A \cap B = \phi$ ,  $x \in A$  and  $y \in B$ . Hence X is Hausdorff.

**Theorem**: In a Hausdorff space, any point and a disjoint compact subspace can be separated by open sets. In the sense that they have disjoint neighbourhoods (that is, if x is any point and if C is a compact subspace such that  $x \notin C$  then there exists disjoint open sets G and H such that  $x \in G$  and  $C \subseteq H$ ).

**Proof**: Let X be a Hausdorff space. Let x be any point in X, and let C be any disjoint compact subspace. Now if  $y \in C$ , then  $x \neq y$  (since  $x \notin C$ ). Since X is a Hausdorff space, there exists open sets  $G_y$  and  $H_y$  such that  $x \in G_y$ ,  $y \in H_y$ , and  $G_y \cap H_y = \phi$ . Now  $\{H_y\}_y$  is a class of open sets such that  $C \subseteq \bigcup_{y \in C} H_y$ . Since C is compact, there exists a finite subclass of  $\{H_y\}$ , which we denote by  $\{H_{y_1}, H_{y_2}, \dots, H_{y_n}\}$  such that  $C \subseteq H_{y_1} \cup H_{y_2} \cup \dots \cup H_{y_n}$ . Let  $G_{y_1}, G_{y_2}, \dots, G_{y_n}$  be open sets which corresponds to the sets  $H_{y_1}, H_{y_2}, \dots, H_{y_n}$ . Put  $G = \bigcap_{i=1}^n G_{y_i}$ , and  $H = \bigcup_{i=1}^n H_{y_i}$ . Now for  $1 \le i \le n$ , consider  $G \cap H_{y_i} \subseteq G_{y_i} \cap H_{y_i} = \phi$ . (since  $G_y \cap H_y = \phi$ )  $\Rightarrow G \cap H_{y_i} = \phi$ . Therefore  $G \cap H = G \cap [\bigcup_{i=1}^n H_{y_i}] = \bigcup_{i=1}^n [G \cap H_{y_i}] = \phi$ . Hence G and H are disjoint open sets such that  $x \in G$  and  $C \subseteq H$ . **Theorem**: Every compact subspace of a Hausdorff space is closed.

**<u>Proof</u>**: Let C be a compact subspace of a Hausdorff space X. To prove C is closed, it is enough to prove that C' is open.

If C' is empty then clearly it is open. We assume that C' is non-empty. Let  $x \in C'$ . Then  $x \notin C$ . By above theorem, there exists disjoint open sets G and H such that  $x \in G$  and  $C \subseteq H$ . Since  $G \cap H = \phi$ , we have  $G \subseteq H'$  and  $H' \subseteq C'$  (since  $C \subseteq H$ ). Therefore  $G \subseteq C'$  and  $x \in G \subseteq C'$ . Therefore C' is open which implies that C is closed.

<u>**Theorem</u> 8\***: A one - to - one continuous mapping of a compact space onto a Hausdorff space is a homeomorphism.</u>

**<u>Proof</u>**: Let f:  $X \rightarrow Y$  be a one - to - one continuous mapping of a compact metric space X onto a Hausdorff space Y. We must show that f(G) is open in Y whenever G is open in X. To prove this, we first show that f(F) is closed in Y whenever F is closed in X.

If F is empty, then  $f(F) = \phi$  and hence it is closed. Assume that F is non-empty. Since X is compact, we have F is compact. Since f is continuous, f(F) is compact. Therefore, by a theorem, f(F) is closed. Thus, we proved that f(F) is closed in Y whenever F is closed in X.

If G is open in X, then G' is closed in X. Now f(G') is closed in Y. But f(G') = (f(G))'. Therefore (f(G))' is closed in Y

 $\Rightarrow$  f(G) = [{f(G)}']' is open in Y. Thus, f is a homeomorphism.

# COMPLETELY REGULAR SPACES AND NORMAL SPACES

**<u>Definition</u>**: A normal space is a  $T_1$ -space in which each pair of disjoint closed sets can be separted by open sets. In the sense that they have disjoint neighbourhoods. **<u>Remark</u>**: Every normal space is Hausdorff.

**<u>Proof</u>**: Let X be a normal space. Let x and y be distinct points in X.

Now  $\{x\}$  and  $\{y\}$  are disjoint closed sets. Since X is normal, there exists disjoint

open sets G and H such that  $\{x\} \subseteq G$  and  $\{y\} \subseteq H.$ 

Now G and H are disjoint neighbourhoods of x and y respectively.

Therefore, X is Hausdorff. Hence every normal space is Hausdorff.

**Theorem: (11\*)** Every compact Hausdorff space is normal.

**<u>Proof</u>**: Let X be a compact Hausdorff space. Since X is Hausdorff, it is a  $T_1$ -space. Let A and B be a pair of disjoint closed sets. If either of the closed sets is empty, we can take the empty set as a neighbourhood of it, and the full space as the neighbourhood of the other. So, we may assume that both A and B are non-empty

sets. Since X is compact, we have that A and B are compact sets. Let  $x \in A$ . Now  $x \in X$  and B is a compact subspace such that  $x \notin B$ . Since X is Hausdorff, we have that x and B have disjoint neighbourhoods, say  $G_x$  and  $H_x$  respectively. Therefore  $\{G_x\}_{x\in A}$ , is a class of open sets such that  $A \subseteq \bigcup G_x$ ,  $x \in A$ . Since A is compact, there exists a finite subclass of the class of  $\{G_x\}_{x\in A}$ , which we denote by  $\{G_{x_1}, G_{x_2}, \dots, G_{x_n}\}$  such that  $A \subseteq G_{x_1} \cup G_{x_2} \cup \dots \cup G_{x_n}$ . Let  $H_{x_1}$ ,  $H_{x_2}, \dots, H_{x_n}$  be the neighbourhoods of B which corresponds to  $G_{x_1}, G_{x_2}, \dots, G_{x_n}$  Put  $G = \bigcup_{i=1}^n G_{x_i}$  and  $H = \bigcap_{i=1}^n H_{x_i}$ . Now G and H are neighbourhoods of A and B respectively, such that  $G \cap H = (\bigcup_{i=1}^n G_{x_i}) \cap H = \bigcup_{i=1}^n (G_{x_i} \cap H) \subseteq \bigcup_{i=1}^n (G_{x_i} \cap H_{x_i}) = \phi$ .

Therefore  $G \cap H = \phi$ . Hence X is normal.

**<u>Problem</u>**: (1\*): Let X be a T<sub>1</sub> - space. Show that X is normal if and only if each neighbourhood of a closed set F contains the closure of some neighbourhood of F (that is, if O is a neighbourhood of F then there exists neighbourhood G of F such that  $F \subseteq G \subseteq \overline{G} \subseteq O$ .

Solution: Assume that X is normal. Let O be a neighbourhood of F.

Then  $F \cap O' = \phi$  (since  $F \subseteq O$ ). Now F and O' are disjoint closed sets.

Since X is normal,  $\exists$  disjoint open sets G and H  $\ni$  F  $\subseteq$  G and O'  $\subseteq$  H.

Since  $G \cap H = \phi$ , we have  $G \subseteq H'$ . Now  $O' \subseteq H \Rightarrow H' \subseteq (O')' = O$ .

Since H' is closed, we have that  $\overline{G} \subseteq H'$ .  $\therefore$ ,  $F \subseteq G \subseteq \overline{G} \subseteq H' \subseteq O$ .

Hence  $F \subseteq G \subseteq \overline{G} \subseteq O$ , and G is open.

Conversely, suppose that X has the stated property. Let A and B be disjoint closed sets. Since  $A \cap B = \phi$ , we have  $A \subseteq B'$ . ie B' is a neighbourhood of A.

Now by converse hypothesis, there exists an open set G such that  $A \subseteq G \subseteq \overline{G} \subseteq B'$ . Since  $\overline{G} \subseteq B'$ , we have  $(B')' \subseteq \overline{G}' \Rightarrow B \subseteq \overline{G}'$ .

Since  $\overline{G}'$  is a neighbourhood of B, again by converse hypothesis, there exists an open set H such that  $B \subseteq H \subseteq \overline{H} \subseteq \overline{G}'$ .

Now consider  $G \cap H \subseteq \overline{G} \cap \overline{H} = \phi$ . (since  $\overline{H} \subseteq \overline{G}'$ )  $\Rightarrow$  G and H are disjoint. Thus, G and H are disjoint neighbourhoods of A and B. Hence X is normal.

### URYSHONS LEMMA AND TETZE EXTENSION THEOREM

<u>URYSOHN'S LEMMA</u>: Let X be a normal space and let A and B be disjoint closed subspaces of X. Then there exists continuous real valued function f on X, all of whose values lie in the closed unit interval [0, 1] such that f(A) = 0 and f(B) = 1. <u>Proof</u>: For each pair of rational numbers r, s we define an open set  $G_r$  such that  $r < s \Rightarrow \overline{G_r} \subseteq G_s$ , if r < 0, define  $G_r = \phi$ ; if r > 1, define  $G_r = X$ . Let  $\{r_1, r_2, ..., r_n, ...\}$  be a listing of rational numbers in [0, 1] with  $r_1 = 0$  and  $r_2 = 1$ . Define  $G_{r_2} = B'$ .

Then  $G_{r_2}$  is a neighbourhood of A (since  $A \cap B = \phi$ , we have  $A \subseteq B'$ ).

By hypothesis (:: X is normal), there exists an open set  $G_{r_1} \ni A \subseteq G_{r_1} \subseteq \overline{G_{r_1}} \subseteq G_{r_2}$ . Suppose we have defined  $G_{r_1}, G_{r_2}, \dots, G_{r_{n-1}}$ 

We now define  $G_{r_n}$  as follows: Choose largest  $r_i$  and smallest  $r_j$  such that i, j < nand  $r_i < r_n < r_j$ . Now  $r_i < r_j \Rightarrow \overline{G}_{r_i} \subseteq G_{r_j}$ .

$$\begin{split} \mathbf{A} &\subseteq G_{r_1} \subseteq \overline{G_{r_1}} \subseteq G_{r_2} \\ \overline{G_{r_1}} &\subseteq G_{r_3} \subseteq \overline{G_{r_3}} \subseteq G_{r_2} ; \\ \overline{G_{r_1}} &\subseteq G_{r_4} \subseteq \overline{G_4} \subseteq G_{r_3} \subseteq \overline{G_{r_3}} \subseteq G_{r_5} \subseteq \overline{G_5} \subseteq G_{r_2} \\ \overline{G_{r_1}} &\subseteq G_{r_6} \subseteq \overline{G_{r_6}} \subseteq \overline{G_{r_4}} \subseteq \overline{G_4} \subseteq \overline{G_{r_7}} \subseteq \overline{G_{r_7}} \subseteq G_{r_3} \subseteq \overline{G_{r_3}} \subseteq \overline{G_{r_8}} \subseteq \overline{G_{r_5}} \subseteq \overline{G_5} \subseteq G_{r_9} \subseteq \overline{G_{r_9}} \subseteq \overline{G_{r_9}}$$

$$\frac{\overline{G_{r_1}} \subseteq G_{r_4} \subseteq \overline{G_4}}{\overline{G_{r_1}} \subseteq G_{r_6} \subseteq \overline{G_{r_6}} \subseteq \overline{G_{r_4}} \subseteq \overline{G_4} \subseteq \overline{G_{r_7}} \subseteq \overline{G_{r_7}} \subseteq \overline{G_{r_3}} \subseteq \overline{G_{r_3}} \subseteq \overline{G_{r_8}} \subseteq \overline{G_{r_8}} \subseteq \overline{G_{r_5}} \subseteq \overline{G_5} \subseteq \overline{G_{r_9}} \subseteq \overline{G_{r$$

Again, by hypothesis,  $\exists$  an open set  $G_{r_n}$   $\ni$ 

$$\begin{split} \bar{G}_{r_i} &\subseteq G_{r_n} \subseteq \bar{G}_{r_n} \subseteq G_{r_j}.\\ \text{By induction for each rational number } r_n \exists \text{ an open set } G_{r_n} \ni r_n < r_m \Rightarrow \bar{G}_{r_n} \subseteq G_{r_m}\\ \text{Define f: } X \to R \text{ by } f(x) &= \inf \{r: x \in G_r\}.\\ \text{We now show that } f(x) &\in [0, 1] \text{ for all } x \in X.\\ \text{Let } x \text{ be any arbitrary point in } X.\\ \text{By the definition of } G_r\text{'s}, x \in G_r \Rightarrow r \ge 0. \text{ Therefore } f(x) \ge 0.\\ \text{If } f(x) > 1, \text{ then choose a rational number 'r' such that } f(x) > r > 1.\\ \text{Now } r > 1 \Rightarrow G_r = X. \text{ Let } x \in X \Rightarrow x \in G_r \Rightarrow f(x) \le r, \text{ a contradiction to } f(x) > r.\\ \text{Thus, for } x \in X, 0 \le f(x) \le 1. \text{ Therefore } f(x) \in [0, 1].\\ \text{If } a \in A, \text{ then } a \in G_{r_1} \Rightarrow f(a) \le r_1 \Rightarrow f(a) \le 0 = r_1 \Rightarrow f(a) = 0 \text{ (since } f(a) \ge 0).\\ \text{Therefore } f(A) = 0. \text{ Suppose } b \in B. \text{ Then } b \in G_r \Rightarrow r \ge 1, \text{ for if } r < 1 = r_2 \text{ then } \\ \bar{G}_r \subseteq G_{r_2} \text{ which } \Rightarrow b \in G_{r_2} = B', \text{ a contradiction.}\\ \therefore, f(b) \ge 1. \text{ But } f(b) \le 1 \text{ (since } f(x) \le 1 \text{ for all } x). \text{ Hence } f(b) = 1. \end{split}$$

Since  $b \in B$  is arbitrary, we have that f(B) = 1.

We show that f is continuous: All the intervals of the form (a, b) where a and b are real, form an open base for the real number system R.

∴, to show f is continuous, it suffices to show  $f^{-1}(a, b)$  is open, for any reals a, b. For this, first we show that  $f(x) < b \Leftrightarrow x \in G_r$  for some r < b. Suppose f(x) < b. By def. of f(x) there exists a rational number r such that  $x \in G_r$ , and r < b. Conversely suppose that  $x \in G_r$  for some r < b. Then  $f(x) \le r$  and  $r < b \Rightarrow f(x) < b$ . Consider  $f^{-1}[(-\infty, b)] = \{x \in X: f(x) < b\} = \bigcup_{r < b} G_r \Rightarrow f^{-1}[(-\infty, b)]$  is open. Similarly, we can prove that  $f^{-1}[(a, \infty)] = \bigcup_{r > a} (\overline{G_r})'$ . Therefore  $f^{-1}[(a, \infty)]$  is open. Now  $f^{-1}[(a, b)] = f^{-1}[(-\infty, b)] \cap f^{-1}[(a, \infty)]$ . Hence  $f^{-1}[(a, b)]$  is open. Thus, f is continuous.

**Definition**: A completely regular space is a  $T_1$ -space X with the property that if x is any point and 'F' is any closed subspace which does not contain x, then there exists a real continuous function f on X, all of whose values lie in [0, 1] such that f(x) = 0 and f(F) = 1.

**Theorem** (1\*): Every normal space is completely regular.

**<u>Proof</u>**: Let X be a normal space. Then X is  $T_1$ -space. Let  $x \in X$  and F be any closed subspace of X which does not contain x. Put  $A = \{x\}$ . Now A and F are disjoint closed subspaces. By Uryshon's lemma, there exists a continuous real function f, all of whose values lie in the closed interval [0, 1] such that f(A) = 0, f(F) = 1. Therefore f(x) = 0 & f(F) = 1. Hence X is completely regular.

**Theorem**: Every completely regular space is Hausdroff.

**Proof:** Let X be a completely regular space.

Let x and y be any two distinct elements in X.

Put  $F = \{y\}$ . Now  $x \in X$  and F is a closed subspace, which does not contain x. Since X is completely regular, there exists a continuous function f:  $X \rightarrow R$  such that f(x) = 0 and f(F) = 1. Let r be any real number such that 0 < r < 1. Now  $\{z \in X: f\{z\} > r\}$ , and  $\{z \in X: f(z) < r\}$  are disjoint neighbourhoods of 'y' and 'x' respectively. Therefore, X is Hausdorff.

**Theorem**: Every subspace of a completely regular space is completely regular. **Proof**: Let X be a completely regular space and let Y be a subspace of X. Let  $x \in Y$ , and F be a closed subspace of Y, which does not contain x. Then  $F = Y \cap H$ , where H is a closed subspace of X. Also,  $x \notin H$ . Since X is completely regular, there exists a continuous function f:  $X \rightarrow R$ , all of whose values lie in [0, 1], such that f(x) = 0 and  $f\{H\} = 1$ . Define 'g' to be the restriction

of f to Y. Then g:  $Y \rightarrow R$  is continuous and  $g(y) = f(y) \in [0, 1]$  for all  $y \in Y$ . Since  $x \in Y$ , we have  $0 = f(x) = g(x) \Rightarrow g(x) = 0$ . Now  $y \in F = Y \cap H$  $\Rightarrow y \in Y$  and  $y \in H \Rightarrow g(y) = f(y)$  and  $f(y) = 1 \Rightarrow g(y) = 1$ . Therefore g(F) = 1. Hence Y is completely regular.

#### <u>**Theorem</u>**: (9\*) (TIETZE EXTENSION THEOREM)</u>

Let X be a normal space 'F' a closed subspace of X, and f a continuous real function defined on F whose values lie in the closed interval [a, b]. Then f has a continuous extension f<sup>1</sup> defined on all of X whose values also lie in [a, b]. **Proof**: Step (i): If a = b then the function f<sup>1</sup> defined by  $f^1(x) = a$  for all  $x \in X$  is a continuous function of X into [a, b] such that  $f^1(x) = f(x)$  for all  $x \in F$ . Step (ii): Suppose a < b. Assume that [a, b] is the smallest closed interval containing the range of f and without loss of generality a = -1 and b = 1. Write  $f_0 = f$ . Then the domain of  $f_0$  is F.

Now we define two subsets A<sub>0</sub> and B<sub>0</sub> of F as A<sub>0</sub> =  $\left\{x \in F: f_0(x) \leq -\frac{1}{2}\right\}$  and B<sub>0</sub> = { $x \in F: f_0(x) \ge \frac{1}{3}$ }. Since [-1, 1] is the smallest closed interval containing the range of f<sub>0</sub>, we have A<sub>0</sub> and B<sub>0</sub> are non-empty. Clearly A<sub>0</sub> and B<sub>0</sub> are disjoint. Since  $f_0$  is continuous, we have that  $A_0 = f_0^{-1} \left[ -1, \frac{1}{3} \right]$  and  $B_0 = f_0^{-1} \left[ \frac{1}{3}, 1 \right]$  are closed in F. Since F is a closed subspace of X, we have  $A_0$  and  $B_0$  are closed in X. [Now X is a normal space,  $A_0$ ,  $B_0$  are disjoint closed subspaces of X, and  $\left[-\frac{1}{3},\frac{1}{3}\right]$  is a closed interval.] Then by the Uryshon's lemma, there exists continuous function  $g_0: X \rightarrow \left[-\frac{1}{3}, \frac{1}{3}\right]$  such that  $g_0(A_0) = -\frac{1}{3}$  and  $g_0(B_0) = \frac{1}{3}$ . Write  $f_1 = f_0 - g_0$ . Then  $f_1$  is a continuous function of F and  $|f_1(x)| < \frac{2}{3} \forall x \in F$ . Next, we define two subsets A<sub>1</sub> and B<sub>1</sub> of F as A<sub>1</sub> =  $\left\{x \in F: f_1(x) \leq \left(-\frac{1}{3}\right) \left(\frac{2}{3}\right)\right\}$  and B<sub>1</sub> = { $x \in F: f_1(x) \ge \left(\frac{1}{3}\right)\left(\frac{2}{3}\right)$ }. Then A<sub>1</sub> and B<sub>1</sub> are non-empty disjoint closed subsets of F and hence A<sub>1</sub> and B<sub>1</sub> are disjoint closed subspaces of X. Since X is normal by Urysohn's lemma, there exists a continuous function  $g_1: X \rightarrow \left[ \left( -\frac{1}{3} \right) \left( \frac{2}{3} \right), \left( \frac{1}{3} \right) \left( \frac{2}{3} \right) \right] \Rightarrow g_1(A_1) = \left( -\frac{1}{3} \right) \left( \frac{2}{3} \right), \text{ and } g_1(B_1) = \left( \frac{1}{3} \right) \left( \frac{2}{3} \right).$ Write  $f_2 = f_1 - g_1 = f_0 - (g_0 + g_1)$ . Then  $f_2$  is a continuous function on F, and  $|f_2(x)| \leq \left(\frac{2}{2}\right)^2$  for all  $x \in F$ .

If we continue this process, we get a sequence  $\{f_n\}$  of continuous functions defined on F and  $\{g_n\}$  of continuous functions defined on X with the property that:

$$f_n = f_0 - (g_0 + g_1 + ... + g_{n-1}) \text{ and } |f_n(x)| \le \left(\frac{2}{3}\right)^n \forall x \in F \text{ and } |g_n(x)| \le \left(\frac{1}{3}\right) \left(\frac{2}{3}\right)^n.$$

Step (iii). Write  $s_n = g_0 + g_1 + ... + g_{n-1}$ . Then  $\{s_n\}$  is a sequence of partial sums of an infinite series of functions of C(X, R).

C(X, R) is complete and  $|g_n(x)| \le \left(\frac{1}{3}\right) \left(\frac{2}{3}\right)^n$ . Now  $\sum_{n=0}^{\infty} \left(\frac{1}{3}\right) \left(\frac{2}{3}\right)^n = 1$ . By Cauchy's criterion for uniform convergence,  $\sum g_n(x)$  converges uniformly to a bounded continuous real function f<sup>1</sup> defined on X such that  $|f^1(x)| \le 1$ . That is,  $\{s_n\}$  converges uniformly to f<sup>1</sup> on X. ie.,  $\lim s_n = f^1$  on X ... (i). Since the sequence  $\left\{ \left(\frac{2}{3}\right)^n \right\}$  converges to 0, for  $\varepsilon > 0$  there exists a positive integer N such that  $\left(\frac{2}{3}\right)^n < \varepsilon$  for all  $n \ge N$ .  $\Rightarrow |f_n(x)| < \varepsilon$  for all  $n \ge N$  and for all  $x \in F$   $\Rightarrow f_n \to 0$  uniformly on  $F \Rightarrow \lim s_n = f_0$  on F ... {ii) From (i) and (ii)  $f_0 = f^1$  on F. That is,  $f^1/F = f_0$ , that is,  $f^1/F = f$ . This shows that  $f^1$  is a continuous extension of f on X.

**Note**: If X is a normal space which contains only a finite number of points, then the topology on X is the discrete topology.

**<u>Problem</u>**: Deduce the Urysohn's lemma from Tietze extension theorem. <u>**Proof**</u>: Let A, B be two disjoint closed subsets of a normal space X. Since A, B are closed, we have that  $F = A \cup B$  is also a closed subset of X.

Define f:  $F \rightarrow [0, 1]$  by f(a) = 0 for all  $a \in A$  and f(b) = 1 for all  $b \in B$ .

Since  $A \cap B = \phi$ , we have that f is well defined. Clearly f is a constant function on A and also on B. So, f is continuous on both A and B and hence f is continuous on  $F = A \cup B$  (since  $A \cap B = \phi$ ).

Now by Tietze extension theorem, there exists a continuous function  $f': X \rightarrow [0, 1]$  such that f' is an extension of f. Now f'(A) = f(A) = 0 and f'(B) = f(B) = 1. This completes the proof.

# THE URYSOHN'S IMBEDDING THEOREM

**Definition**: A topological space X is said to be metrizable if and only if there exists a metric 'd' for X which induces the same topology as the topology of X. **Note**: If X is a metric space with finite number of points then the topology on X induced by the given metric is the discrete topology on X.

**Verification**: Let (X, d) be a metric space with finite number of points.

So, take  $X = \{x_1, x_2, ..., x_n\}$ . Write  $r = \min \{d(x_i, x_j): i \neq j, 1 \le i \le n; 1 \le j \le n\}$ .

Then for any  $x_i \in X$ ,  $S_r(x_i) = \{x_i\}$  which is an open set. This shows that singleton sets are open in X. Hence the topology on X is the discrete topology on X.

#### **URYSOHN'S IMBEDDING THEOREM:** (3\*)

If X is a second countable normal space then there exists a homeomorphism f of X onto a subspace of  $R^{\infty}$ , and therefore X is metrizable.

<u>**Proof**</u>: we may assume that X has infinitely many points, for otherwise it would be finite and discrete, and clearly homeomorphic to any subspace of  $\mathbb{R}^{\infty}$  with the same number of points.

Since X is second countable, X has a countable infinite open base  $B = \{G_1, G_2, ...\}$ whose members are different from  $\phi$  and X. Let  $G_j \in B$  and  $x \in G_j$ . Then  $\{x\}$  is a closed set. Since X is normal, there exists  $G_i \in B$  such that  $x \in G_i \subseteq \overline{G_i} \subseteq G_j$ .

So, for a given  $G_j$  and  $x \in G_j$ , we have a pair  $(G_i, G_j)$  of open sets in B such that  $\overline{G}_i \subseteq G_j$ . The set of all ordered pairs  $(G_i, G_j)$  is countably infinite.

So, we can arrange them as a sequence  $P_1, P_2, ..., for any arbitrary n, P_n = (G_i, G_j)$ . By Urysohn's lemma there exist continuous functions  $f_n: X \to [0, 1]$  such that  $f_n(\bar{G}_i) = 0$  and  $f_n(G_j') = 1$ .

Now define f:X  $\rightarrow \mathbb{R}^{\infty}$  by setting f(x) = {f\_1(x),  $\frac{f_2(x)}{2}, \frac{f_3(x)}{3}, \dots$ } for all  $x \in X$ .

For any integer  $n \ge 1$ ,  $f_n(x) \in [0, 1] \Rightarrow 0 \le f_n(x) \le 1 \Rightarrow \frac{f_n(x)}{n} \le \frac{1}{n}$ 

$$\Rightarrow \sum_{n=1}^{\infty} \left| \frac{f_n(x)}{n} \right|^2 \le \sum_{n=1}^{\infty} \frac{1}{n^2}$$
Since  $\sum_{n=1}^{\infty} \frac{1}{n^2}$  is convergent, we have  $\sum_{n=1}^{\infty} \left| \frac{f_n(x)}{n} \right|^2$  is also convergent.  
So,  $f(x) = \{f_1(x), \frac{f_2(x)}{2}, \frac{f_3(x)}{3}, \ldots\} \in \mathbb{R}^{\infty}$ . It is clear that f:  $X \to \mathbb{R}^{\infty}$  is a function.  
Next we will show that f is one-one: Let x,  $y \in X$  such that  $x \neq y$ .  
Since X is a  $T_1$  - space,  $\exists G_j \in B \ni x \in G_j$  and  $y \notin G_j$ . That is,  $x \in G_j$  and  $y \in G_j'$ .  
By the above fact we have an ordered pair  $\mathbb{P}_n = (G_i, G_j)$  such that  $x \in G_i \subseteq \overline{G}_i \subseteq G_j$ .  
 $\Rightarrow f_n(\overline{G}_i) = 0$  and  $f_n(G_j') = 1$ . So,  $f_n(x) = 0$  and  $f_n(y) = 1$ .  
 $\Rightarrow f_n(x) \neq f_n(y) \Rightarrow f(x) \neq f(y)$ . Therefore, f is  $1 - 1$ .  
Now we show that f is continuous: Let  $x \in X$ , and  $\varepsilon > 0$ .  
Since  $\sum_{n=1}^{\infty} \frac{1}{n^2}$  is convergent,  $\exists$  a positive integer  $\mathbb{N} \ni \sum_{n=N+1}^{\infty} \frac{1}{n^2} < \frac{\varepsilon^2}{4} \dots(i)$ .  
For  $n = 1, 2, \dots, \mathbb{N}$ ,  $f_n$  is continuous  $\Rightarrow \exists$  an open set  $H_n$  containing  $x \ni y \in H_n$   
 $\Rightarrow |f_n(x) - f_n(y)| < \frac{n\varepsilon}{\sqrt{2N}}$  for  $= 1, 2, \dots, \mathbb{N}$ .  
Write  $G = \bigcap_{n=1}^{N-1} H_n$ . Then G is an open set containing x.

Let 
$$y \in G$$
. Consider  $||f(x) - f(y)||^2 = \sum_{n=1}^{\infty} \left|\frac{f_n(x) - f_n(y)}{n}\right|^2$   
 $\leq \sum_{n=1}^{N} \left|\frac{f_n(x) - f_n(y)}{n}\right|^2 + \sum_{n=N+1}^{\infty} \left|\frac{f_n(x)}{n}\right|^2 + \sum_{n=N+1}^{\infty} \left|\frac{f_n(x)}{n}\right|^2$   
 $< \sum_{n=1}^{N} \frac{\varepsilon^2}{2N} + \sum_{n=N+1}^{\infty} \frac{1}{n^2} + \sum_{n=N+1}^{\infty} \frac{1}{n^2} < \frac{\varepsilon^2}{2} + \frac{\varepsilon^2}{4} + \frac{\varepsilon^2}{4} = \varepsilon^2$ .  
 $\Rightarrow ||f(x) - f(y)||^2 < \varepsilon^2 \Rightarrow ||f(x) - f(y)|| < \varepsilon$ . So,  $y \in G \Rightarrow ||f(x) - f(y)|| < \varepsilon$ .  
This shows that f is continuous at x.  
Since x is arbitrary, we have that f is continuous on X.  
Now we show that f is an open mapping: Let  $G_j$  be any basic open set.  
Now we claim that  $f(G_j)$  is open in  $f(X)$ .  
Let  $z \in f(G_j) \Rightarrow z = f(x)$  for some  $x \in G_j$ .  
 $x \in G_j \Rightarrow$  There exists  $G_i \in B$  such that  $x \in G_i \subseteq \overline{G}_i \subseteq G_j$ .  
Write  $P_{n_0} = (G_i, G_j) \Rightarrow f_{n_0}(\overline{G}_i) = 0, f_{n_0}(G_j') = 1$ . Choose  $\varepsilon$  such that  $0 < \varepsilon < \frac{1}{2n_0}$ .  
Consider  $S_{\varepsilon}(z)$ , the open set in  $\mathbb{R}^{\infty}$ . Then  $S_{\varepsilon}(z) \cap f(X)$  is open in  $f(X)$ .  
Let  $f(y) \in S_{\varepsilon}(z) \cap f(X) \Rightarrow ||f(x) - f(y)|| < \varepsilon \Rightarrow \left[\sum_{n=1}^{\infty} \left|\frac{f_n(x) - f_n(y)}{n}\right|^2\right]^{1/2} < \varepsilon$ .  
 $\Rightarrow \sum_{n=1}^{\infty} \left|\frac{f_{n_0}(x) - f_n(y)}{n}\right|^2 < \varepsilon^2 < \left(\frac{1}{2n_0}\right)^2$   
 $\Rightarrow \left|\frac{f_{n_0}(x) - f_{n_0}(y)}{n}\right|^2 < \left(\frac{1}{2n_0}\right)^2 \Rightarrow \left|\frac{f_{n_0}(x) - f_{n_0}(y)}{n_0}\right| < \frac{1}{2n_0} \Rightarrow |f_{n_0}(x) - f_{n_0}(y)| < \frac{1}{2}$   
 $\Rightarrow |f_{n_0}(y)| < \frac{1}{2}$  (since  $x \in G_i$  and  $f_{n_0}(G_i) = 0$ ).  $\Rightarrow y \in G_j$ . (If  $y \notin G_j$ , then  $y \in G_j'$ .  
This shows that  $S_{\varepsilon}(z) \cap f(X) \cong f(G_j)$ : Thus, we have an open set  $G = S_{\varepsilon}(z) \cap f(X)$   
in  $f(X)$  such that  $z \in G \subseteq f(G_j) \Rightarrow f(G_j)$  is open in  $f(X)$ .  
Consequently f:  $X \to f(X)$  is open mapping.  
Hence X is homeomorphic to a subspace  $f(X)$  of  $\mathbb{R}^{\infty}$ . Thus, X is metrizable.

#### **STONE-CECH COMPACTIFICATION.**

**Theorem**: Let X be an arbitrary completely regular space. Then there exists a compact Hausdorff space  $\beta(X)$  with the following properties: (i) X is dense subspace of  $\beta(X)$ ; (ii) every bounded continuous real function defined on X has a unique extension to a bounded continuous real function defined on  $\beta(X)$ .